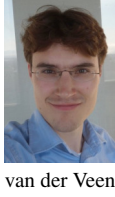




The Strongest Genuinely Computable Knot Invariant in 2024

Abstract. “Genuinely computable” means we have computed it for random knots with over 300 crossings. “Strongest” means it separates prime knots with up to 15 crossings better than the less-computable HOMFLY-PT and Khovanov homology taken together.



van der Veen

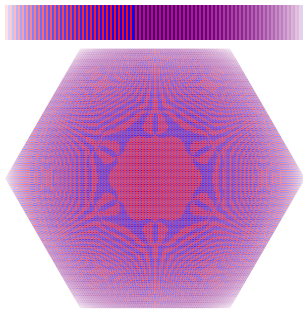
And hey, it’s also meaningful and fun. Continues Rozansky, Garoufalidis, Kricker, and Ohtsuki, joint with van der Veen.

Acknowledgement. This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

Strongest. Testing $\Theta = (\Delta, \theta)$ on prime knots up to mirrors and reversals, counting the number of distinct values (with deficits in parenthesis):

reign	knots	$(\rho_1: [\text{Ro1, Ro2, Ro3, Ov, BV1}])$			
		(H, Kh)	(Δ, ρ_1)	$\Theta = (\Delta, \theta)$	together
		2005-22	2022-24	2024-	
xing ≤ 10	249	248 (1)	249 (0)	249 (0)	249 (0)
xing ≤ 11	801	771 (30)	787 (14)	798 (3)	798 (3)
xing ≤ 12	2,977	(214)	(95)	(19)	(18)
xing ≤ 13	12,965	(1,771)	(959)	(194)	(185)
xing ≤ 14	59,937	(10,788)	(6,253)	(1,118)	(1,062)
xing ≤ 15	313,230	(70,245)	(42,914)	(6,758)	(6,555)

Genuinely Computable. Here’s Θ on a random 300 crossing knot (from [DHOEBL]). For almost every other invariant, that’s science fiction.



Fun. There’s so much more to see in 2D pictures than in 1D ones! Yet almost nothing of the patterns you see we know how to prove. We’ll have fun with that over the next few years.

Would you join?

Meaningful. Θ gives a genus bound (unproven yet with confidence). We hope (with reason) it says something about ribbon knots.

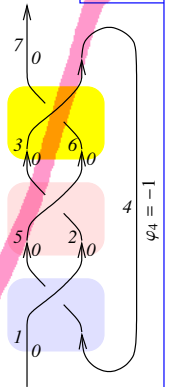
The Bad(?). Θ art is more glass blowing than pottery.



Jones:

Formulas stay; stories change with time.

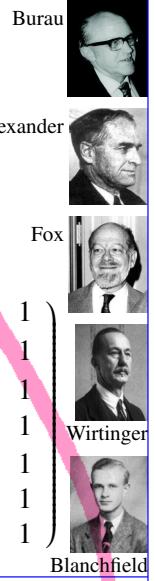
Formulas. Draw an n -crossing knot K as on the right: all crossings face up, and the edges are marked with a running index $k \in \{1, \dots, 2n + 1\}$ and with rotation numbers φ_k . Let A be the $(2n + 1) \times (2n + 1)$ matrix constructed by starting with the identity matrix I , and adding a 2×2 block for each crossing:



$$c: \begin{matrix} s = +1 & s = -1 \\ j+1 \uparrow & i+1 \uparrow \\ i+1 \uparrow & j+1 \uparrow \\ i & j \end{matrix} \rightarrow \begin{matrix} A & \text{col } i+1 & \text{col } j+1 \\ \text{row } i & -T^s & T^s - 1 \\ \text{row } j & 0 & -1 \end{matrix}$$

Let $G = (g_{\alpha\beta}) = A^{-1}$. For the trefoil example, it is:

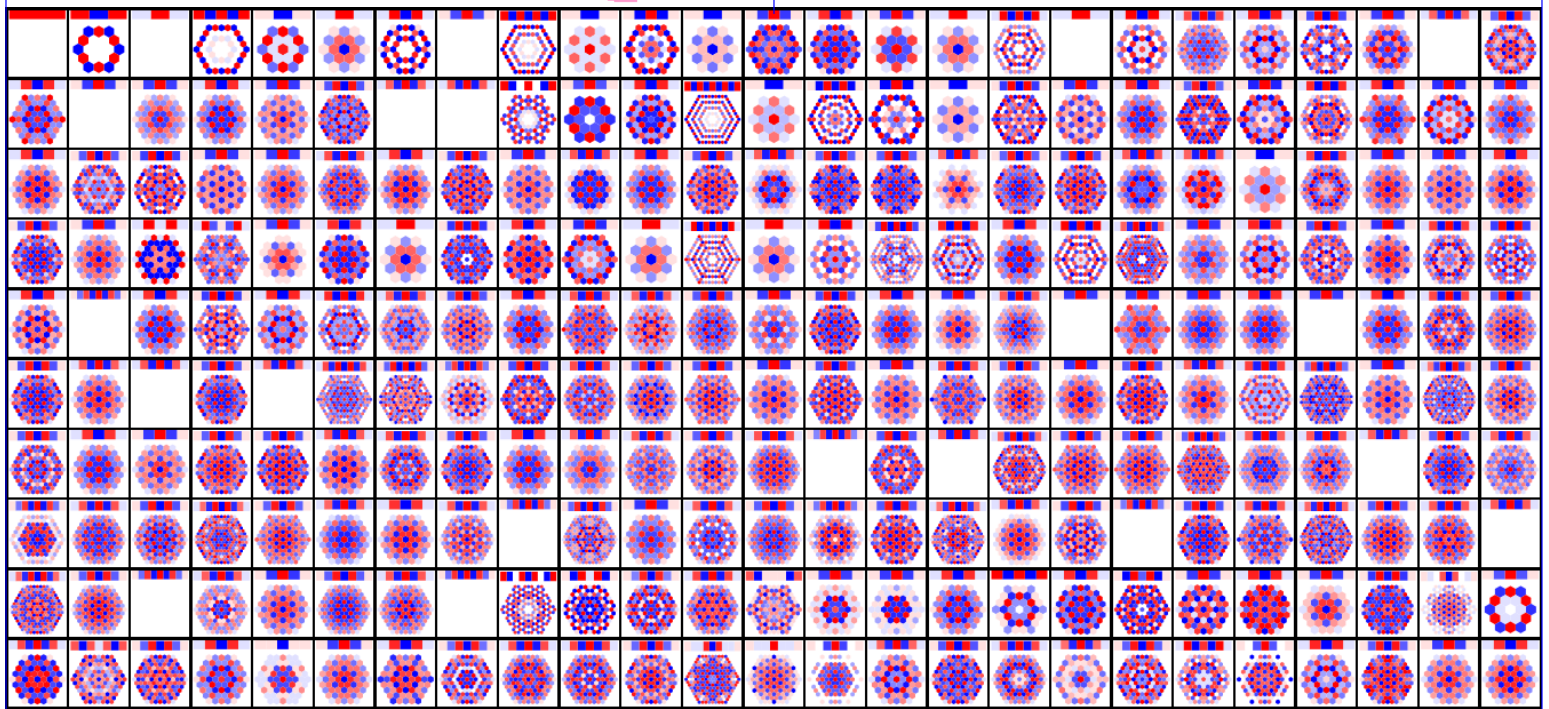
$$A = \begin{pmatrix} 1 & -T & 0 & 0 & T-1 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & -T & 0 & 0 & T-1 \\ 0 & 0 & 0 & 1 & -1 & 0 & 0 \\ 0 & 0 & T-1 & 0 & 1 & -T & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad G = \begin{pmatrix} 1 & T & 1 & T & 1 & T & 1 \\ 0 & 1 & \frac{1}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T^2}{T^2-T+1} & 1 \\ 0 & 0 & \frac{T-1}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T-1}{T^2-T+1} & \frac{T^2-T+1}{T^2-T+1} & 1 \\ 0 & 0 & \frac{T^2-T+1}{1-T} & \frac{T^2-T+1}{T} & \frac{T^2-T+1}{1-T} & \frac{T^2-T+1}{T} & 1 \\ 0 & 0 & \frac{1-T}{T^2-T+1} & -\frac{T^2-T+1}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{T^2-T+1}{T^2-T+1} & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$



“The Green Function”

Note. The Alexander polynomial Δ is given by $\Delta = T^{(-\varphi-w)/2} \det(A)$, with $\varphi = \sum_k \varphi_k$, $w = \sum_c s$.

Classical Topologists: This is boring. Yawn.



New Stuff. Now let T_1 and T_2 be indeterminates and let $T_3 = T_1 T_2$. For $\nu = 1, 2, 3$ let Δ_ν and $G_\nu = (g_{\nu\alpha\beta})$ be Δ and G subject to the substitution $T \rightarrow T_\nu$. Define

$$\theta(K) := \Delta_1 \Delta_2 \Delta_3 \left(\sum_c R_1(c) + \sum_{c_0, c_1} \theta(c_0, c_1) + \sum_k \Gamma_1(\varphi_k, k) \right),$$

where the first summation is over crossings $c = (s, i, j)$, the second is over pairs of crossings ($c_0 = (s_0, i_0, j_0), c_1 = (s_1, i_1, j_1)$), and the third is over edges k , and where

$$R_1(c) := s \left[1/2 - g_{3ii} + T_2^s g_{1ii} g_{2ji} - T_2^s g_{3jj} g_{2ji} - (T_2^s - 1) g_{3ii} g_{2ji} + (T_3^s - 1) g_{2ji} g_{3ji} - g_{1ii} g_{2jj} + 2 g_{3ii} g_{2jj} + g_{1ii} g_{3jj} - g_{2ii} g_{3jj} \right] + \frac{s}{T_2^s - 1} \left[(T_1^s - 1) T_2^s (g_{3jj} g_{1ji} - g_{2jj} g_{1ji} + T_2^s g_{1ji} g_{2ji}) + (T_3^s - 1) (g_{3ji} - T_2^s g_{1ii} g_{3ji} + g_{2ij} g_{3ji} + (T_2^s - 2) g_{2jj} g_{3ji}) - (T_1^s - 1) (T_2^s + 1) (T_3^s - 1) g_{1ji} g_{3ji} \right]$$

$$\theta(c_0, c_1) := \frac{s_1 (T_1^{s_0} - 1) (T_3^{s_1} - 1) g_{1j_1 i_0} g_{3j_0 i_1}}{T_2^{s_1} - 1} \cdot (T_2^{s_0} g_{2i_1 i_0} + g_{2j_1 j_0} - T_2^{s_0} g_{2j_1 i_0} - g_{2i_1 j_0})$$

$$\Gamma_1(\varphi, k) := \varphi(-1/2 + g_{3kk})$$

Theorem. θ and hence Θ are knot invariants.

```
⊙ Θ[{s0_, i0_, j0_}, {s1_, i1_, j1_}] :=
CF[s1 (T1^s0 - 1) (T2^s1 - 1)^-1 (T3^s1 - 1) g1,j1,i0 g3,j0,i1
((T2^s0 g2,i1,i0 - g2,i1,j0) - (T2^s0 g2,j1,i0 - g2,j1,j0))]
```

```
⊙ T1[φ_, k_] = -φ/2 + φ g3kk;
```

```
⊙ Θ[K_] :=
```

```
Module[{Cs, φ, n, A, s, i, j, k, Δ, G, ν, α,
β, gEval, c, z},
{Cs, φ} = Rot[K]; n = Length[Cs];
A = IdentityMatrix[2 n + 1];
Cases[Cs, {s_, i_, j_} >=
(A[[{i, j}, {i + 1, j + 1}]] += (T^s T^s - 1))];
Δ = T^(-Total[φ] - Total[Cs][[All, 1]])/2 Det[A];
G = Inverse[A];
gEval[ε_] :=
Factor[ε /. gν,α,β >= (G[[α, β]] /. T >= Tν)];
z = gEval[Sum[Sum[Cs[[k1]], Cs[[k2]]], {k1, 1, n}, {k2, 1, n}];
z += gEval[Sum[R1 @@ Cs[[k]], {k, 1, n}];
z += gEval[Sum[T1[φ[[k]], k], {k, 1, 2^n}];
{Δ, (Δ /. T >= T1) (Δ /. T >= T2) (Δ /. T >= T3) z} //
Factor];
```

Preliminaries

This is Theta.nb of <http://drorbn.net/ubc24/ap>.

```
⊙ Once[<< KnotTheory` ; << Rot.m; << PolyPlot.m];
```

```
⊙ C:\drorbn\AcademicPensieve\Projects\KnotTheory\KnotTheory
```

```
⊙ Loading KnotTheory` version
```

of September 27, 2024, 13:23:33.5336.

Read more at <http://katlas.org/wiki/KnotTheory>.

```
⊙ Loading Rot.m from http://drorbn.net/ubc24/ap
to compute rotation numbers.
```

```
⊙ Loading PolyPlot.m from http://drorbn.net/ubc24/ap
to plot 2-variable polynomials.
```

The Program

```
⊙ CF[ε_] :=
Module[{vs = Union@Cases[ε, g_., ∞], ps, c},
Total[CoefficientRules[Expand[ε], vs] /.
(ps_ >= c_) >= Factor[c] (Times @@ vs^ps)]];
```

```
⊙ T3 = T1 T2;
```

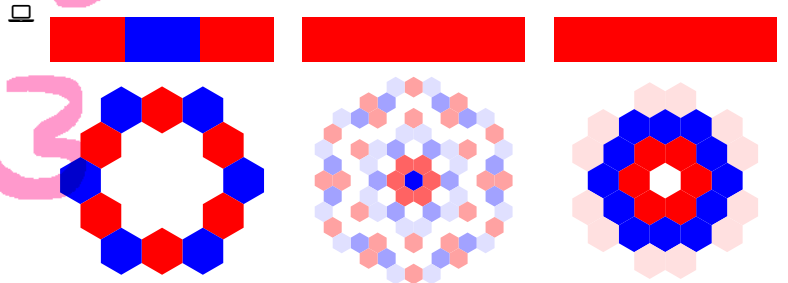
```
⊙ R1[s_, i_, j_] =
CF[
s (1/2 - g3ii + T2^s g1ii g2ji - g1ii g2jj -
(T2^s - 1) g2ji g3ii + 2 g2jj g3ii - (1 - T3^s) g2ji g3ji -
g2ii g3jj - T2^s g2ji g3jj + g1ii g3jj +
((T1^s - 1) g1ji (T2^s g2ji - T2^s g2jj + T2^s g3jj) +
(T3^s - 1) g3ji
(1 - T2^s g1ii - (T1^s - 1) (T2^s + 1) g1ji +
(T2^s - 2) g2jj + g2ij)) / (T2^s - 1)];
```

The Trefoil, Conway, and Kinoshita-Terasaka

```
⊙ Θ[Knot[3, 1]] // Expand
```

$$\left\{ -1 + \frac{1}{T} + T, -\frac{1}{T_1^2} - T_1^2 - \frac{1}{T_2} - \frac{1}{T_1 T_2} + \frac{1}{T_1 T_2^2} + \frac{1}{T_1^2 T_2} + \frac{T_1}{T_2} + \frac{T_2}{T_1} + T_1^2 T_2 - T_2^2 + T_1 T_2^2 - T_1^2 T_2^2 \right\}$$

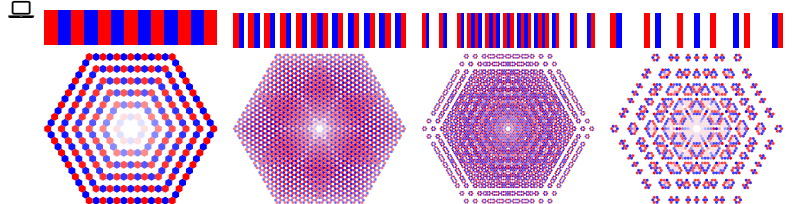
```
⊙ GraphicsRow[PolyPlot[Θ[Knot[#]]] & @
{"3_1", "K11n34", "K11n42"}]
```



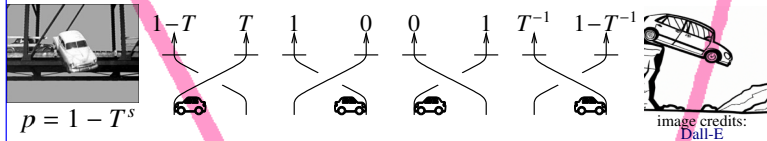
(Note that the genus of the Conway knot appears to be bigger than the genus of Kinoshita-Terasaka)

Some Torus Knots

```
⊙ GraphicsRow[PolyPlot[Θ[TorusKnot @@ #]] &
/@ {{13, 2}, {17, 3}, {13, 5}, {7, 6}},
Spacings -> Scaled@0.05]
```

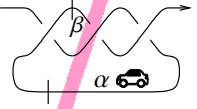


Cars, Interchanges, and Traffic Counters. Cars always drive forward. When a car crosses over a bridge it goes through with (algebraic) probability $T^s \sim 1$, but falls off with probability $1 - T^s \sim 0^*$. At the very end, cars fall off and disappear. See also [Jo, LTW].

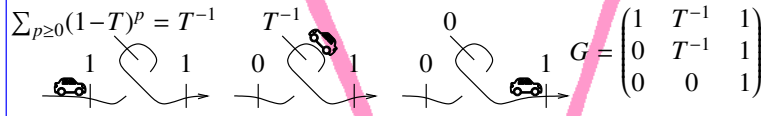


* In algebra $x \sim 0$ if for every y in the ideal generated by x , $1 - y$ is invertible.

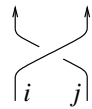
Theorem. The Green function $g_{\alpha\beta}$ is the reading of a traffic counter at β , if car traffic is injected at α (if $\alpha = \beta$, the counter is *after* the injection point).



Example.



Proof. Near a crossing c with sign s , incoming upper edge i and incoming lower edge j , both sides satisfy the g -rules:



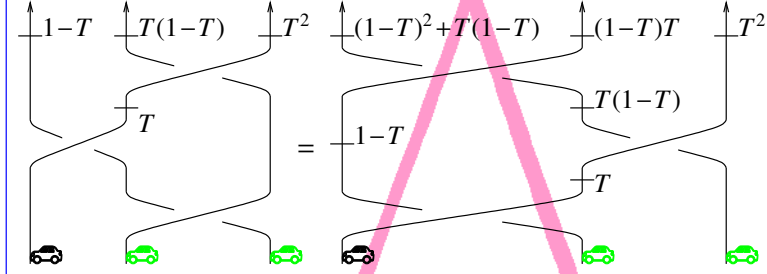
$$g_{i\beta} = \delta_{i\beta} + T^s g_{i+1,\beta} + (1 - T^s) g_{j+1,\beta}, \quad g_{j\beta} = \delta_{j\beta} + g_{j+1,\beta},$$

and always, $g_{\alpha,2n+1} = 1$: use common sense and $AG = I (= GA)$.

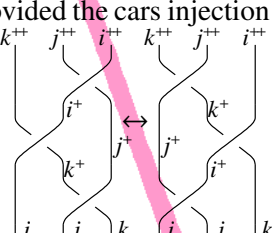
Bonus. Near c , both sides satisfy the further g -rules:

$$g_{\alpha i} = T^{-s}(g_{\alpha,i+1} - \delta_{\alpha,i+1}), \quad g_{\alpha j} = g_{\alpha,j+1} - (1 - T^s)g_{\alpha i} - \delta_{\alpha,j+1}.$$

Invariance of Θ . We start with the hardest, Reidemeister 3:



\Rightarrow Overall traffic patterns are unaffected by Reid3!
 \Rightarrow Green's $g_{\alpha\beta}$ is unchanged by Reid3, provided the cars injection site α and the traffic counters β are away.
 \Rightarrow Only the contribution from the R_1 and θ terms within the Reid3 move matters, and using g -rules the relevant $g_{\alpha\beta}$'s can be pushed outside of the Reid3 area:



$$\begin{aligned} \textcircled{1} \delta_{i,j} &:= \text{If}[i == j, 1, 0]; \\ \textcircled{2} \text{GR}_{s,i,j} &:= \{g_{v,i\beta} \Rightarrow \delta_{i\beta} + T^s g_{v,i+1,\beta} + (1 - T^s) g_{v,j+1,\beta}, \\ &g_{v,j\beta} \Rightarrow \delta_{j\beta} + g_{v,j+1,\beta}, g_{v,\alpha i} \Rightarrow T^{-s}(g_{v,\alpha i+1} - \delta_{\alpha i+1}), \\ &g_{v,\alpha j} \Rightarrow g_{v,\alpha j+1} - (1 - T^s) g_{v,\alpha i} - \delta_{\alpha j+1}\} \\ \textcircled{3} \text{DSum}[\text{Cs} _ _ _] &:= \text{Sum}[\text{R}_1 \text{@@ } c, \{c, \{\text{Cs}\}\}] + \\ &\text{Sum}[\theta[c0, c1], \{c0, \{\text{Cs}\}\}, \{c1, \{\text{Cs}\}\}] \\ \text{lhs} &= \text{DSum}[\{1, j, k\}, \{1, i, k^+\}, \{1, i^+, j^+\}, \\ &\{s, m, n\}] // \cdot \text{GR}_{1,j,k} \cup \text{GR}_{1,i,k^+} \cup \text{GR}_{1,i^+,j^+}; \\ \text{rhs} &= \text{DSum}[\{1, i, j\}, \{1, i^+, k\}, \{1, j^+, k^+\}, \\ &\{s, m, n\}] // \cdot \text{GR}_{1,i,j} \cup \text{GR}_{1,i^+,k} \cup \text{GR}_{1,j^+,k^+}; \\ \text{Simplify}[\text{lhs} = \text{rhs}] & \\ \square \text{True} & \end{aligned}$$

The other Reidemeister moves are treated in a similar manner. \square

Questions, Conjectures, Expectations, Dreams.

Question 1. What's the relationship between Θ and the Garoufalidis-Kashaev invariants [GK, GL]?

Conjecture 2. On classical (non-virtual) knots, θ always has hexagonal (D_6) symmetry.

Conjecture 3. θ is the ϵ^1 contribution to the "solvable approximation" of the sl_3 universal invariant, obtained by running the quantization machinery on the double $\mathcal{D}(b, b, \epsilon\delta)$, where b is the Borel subalgebra of sl_3 , b is the bracket of b , and δ the cobracket. See [BV2, BN1, Sch]

Conjecture 4. θ is equal to the "two-loop contribution to the Kontsevich Integral", as studied by Garoufalidis, Rozansky, Kricker, and in great detail by Ohtsuki [GR, Ro1, Ro2, Ro3, Kr, Oh].

Fact 5. θ has a perturbed Gaussian integral formula, with integration carried out over over a space $6E$, consisting of 6 copies of the space of edges of a knot diagram D . See [BN2].

Conjecture 6. For any knot K , its genus $g(K)$ is bounded by the T_1 -degree of θ : $g(K) < [\text{deg}_{T_1} \theta(K)]$.

Conjecture 7. $\theta(K)$ has another perturbed Gaussian integral formula, with integration carried out over over the space $6H_1$, consisting of 6 copies of $H_1(\Sigma)$, where Σ is a Seifert surface for K .

Expectation 8. There are many further invariants like θ , given by Green function formulas and/or Gaussian integration formulas. One or two of them may be stronger than θ and as computable.

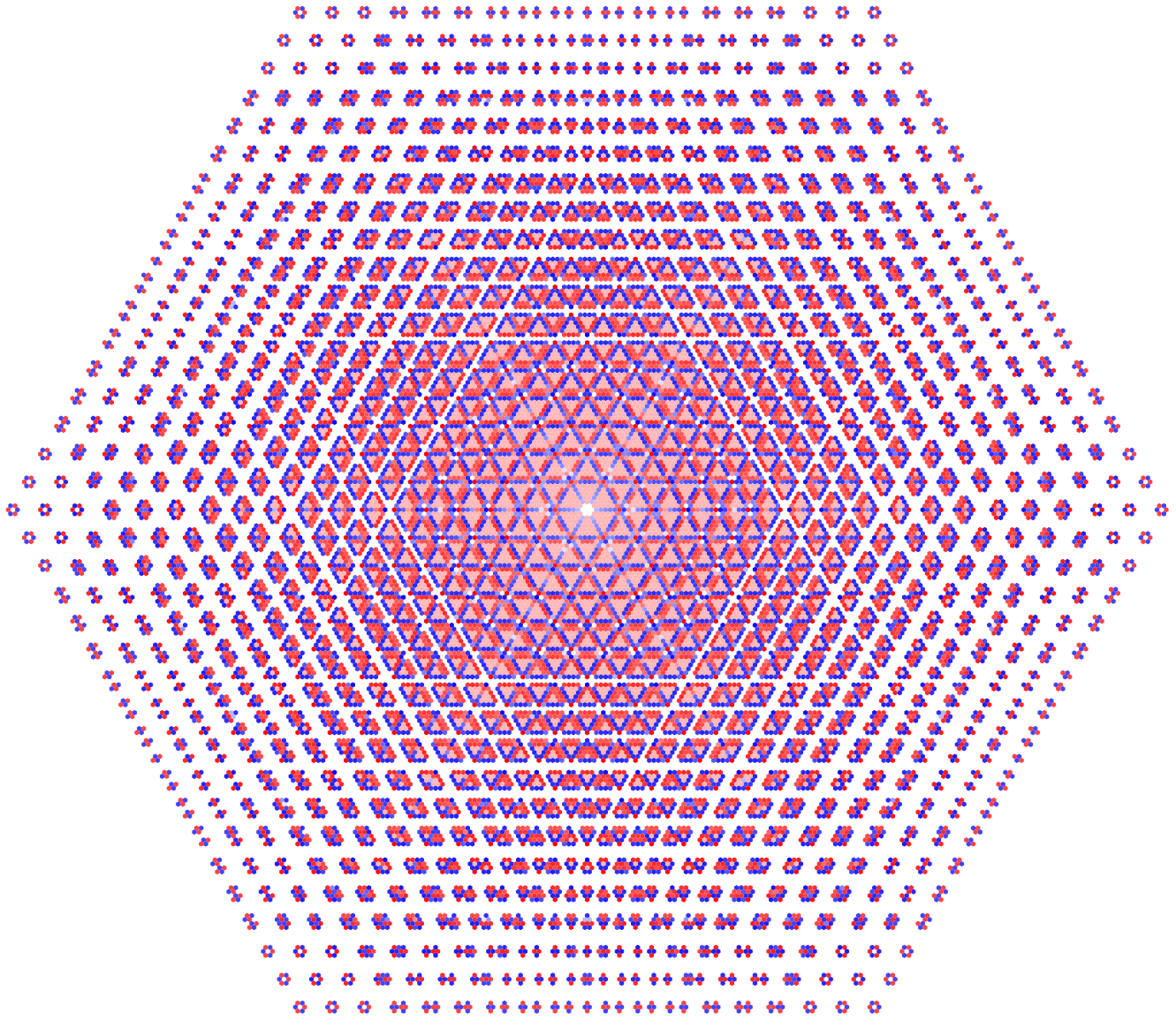
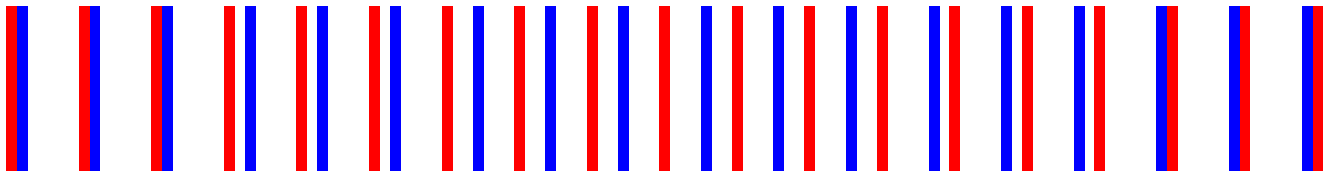
Dream 9. These invariants can be explained by something less foreign than semisimple Lie algebras.

Dream 10. θ will have something to say about ribbon knots.

[BN1] D. Bar-Natan, *Everything around sl_{2+} is DoPeGDO*. So what?, talk in Da Nang, May 2019. Handout and video at [oeβ/DPG](#).
 [BN2] —, *Knot Invariants from Finite Dimensional Integration*, talks in Beijing ([oeβ/jicbs24](#)) and in Geneva ([oeβ/ge24](#)).
 [BV1] —, R. van der Veen, *A Perturbed-Alexander Invariant*, to appear in Quantum Topology, [oeβ/APAI](#).
 [BV2] —, —, *Perturbed Gaussian Generating Functions for Universal Knot Invariants*, [arXiv:2109.02057](#).
 [DHOEBL] N. Dunfield, A. Hirani, M. Obeidin, A. Ehrenberg, S. Bhattacharyya, D. Lei, and others, *Random Knots: A Preliminary Report*, lecture notes at [oeβ/DHOEBL](#). Also a data file at [oeβ/DD](#).
 [GK] S. Garoufalidis, R. Kashaev, *Multivariable Knot Polynomials from Braided Hopf Algebras with Automorphisms*, [arXiv:2311.11528](#).
 [GL] —, S. Y. Li, *Patterns of the V_2 -polynomial of knots*, [arXiv:2409.03557](#).
 [GR] —, L. Rozansky, *The Loop Expansion of the Kontsevich Integral, the Null-Move, and S-Equivalence*, [arXiv:math.GT/0003187](#).
 [Jo] V. F. R. Jones, *Hecke Algebra Representations of Braid Groups and Link Polynomials*, *Annals Math.*, **126** (1987) 335-388.
 [Kr] A. Kricker, *The Lines of the Kontsevich Integral and Rozansky's Rationality Conjecture*, [arXiv:math/0005284](#).
 [LTW] X-S. Lin, F. Tian, Z. Wang, *Burau Representation and Random Walk on String Links*, *Pac. J. Math.*, **182-2** (1998) 289-302, [arXiv:q-alg/9605023](#).
 [Oh] T. Ohtsuki, *On the 2-loop Polynomial of Knots*, *Geom. Top.* **11** (2007) 1357-1475.
 [Ov] A. Overbay, *Perturbative Expansion of the Colored Jones Polynomial*, Ph.D. thesis, University of North Carolina, Aug. 2013, [oeβ/Ov](#).
 [Ro1] L. Rozansky, *A Contribution of the Trivial Flat Connection to the Jones Polynomial and Witten's Invariant of 3D Manifolds, I*, *Comm. Math. Phys.* **175-2** (1996) 275-296, [arXiv:hep-th/9401061](#).
 [Ro2] —, *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, *Adv. Math.* **134-1** (1998) 1-31, [arXiv:q-alg/9604005](#).
 [Ro3] —, *A Universal $U(1)$ -RCC Invariant of Links and Rationality Conjecture*, [arXiv:math/0201139](#).
 [Sch] S. Schaveling, *Expansions of Quantum Group Invariants*, Ph.D. thesis, Universiteit Leiden, September 2020, [oeβ/Scha](#).

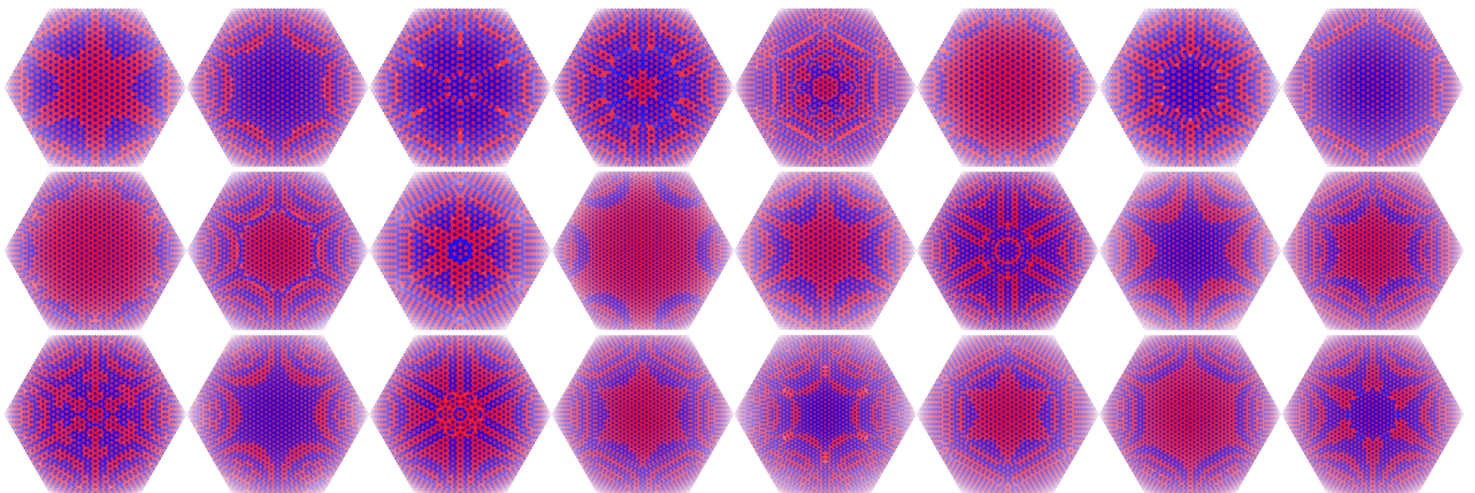
The torus knot $T_{22/7}$:

(many more at $\omega\epsilon\beta/\text{TK}$)



Random knots from [DHOEBL], with 50-73 crossings:

(many more at $\omega\epsilon\beta/\text{DK}$)

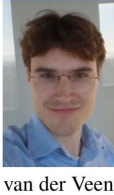




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Continues Rozansky, Garoufalidis, Kricker, and Ohtsuki, joint with van der Veen.



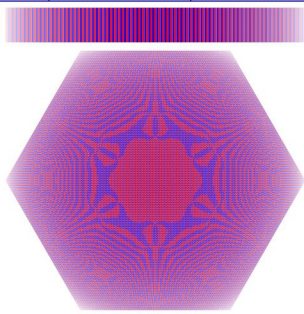
van der Veen

Acknowledgement. This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

Strongest. Testing $\Theta = (\Delta, \theta)$ on prime knots up to mirrors and reversals, counting the number of distinct values (with deficits in parenthesis):

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Genuinely Computable. Here’s Θ on a random 300 crossing knot (from [DHOEBL]). For almost every other invariant, that’s science fiction.



Fun. There’s so much more to see in 2D pictures than in 1D ones! Yet almost nothing of the patterns you see we know how to prove. We’ll have fun with that over the next few years.

Would you join?

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mention glass blowing?

Preliminaries: Diagram placement

Model T Traffic rules G, ρ_p

Example

The Traffic Fract,
The Green fract,
The Turbidity fract

Models $T_1, T_2, T_3 = T_1 T_2$

Thm There is a quartic

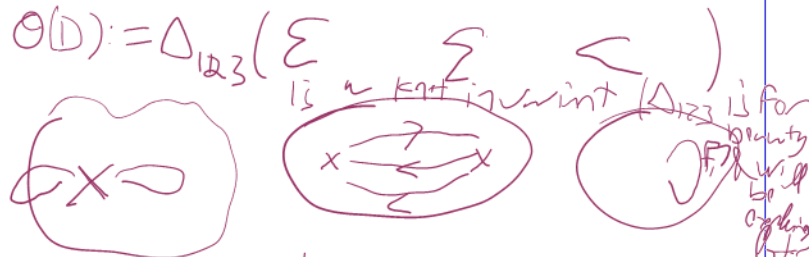
$$R_{11}(c) \in \mathbb{Q}[T_1] \left[\sum \rho_p: \alpha, \beta \in \{i, j\} \right]$$

a cubic

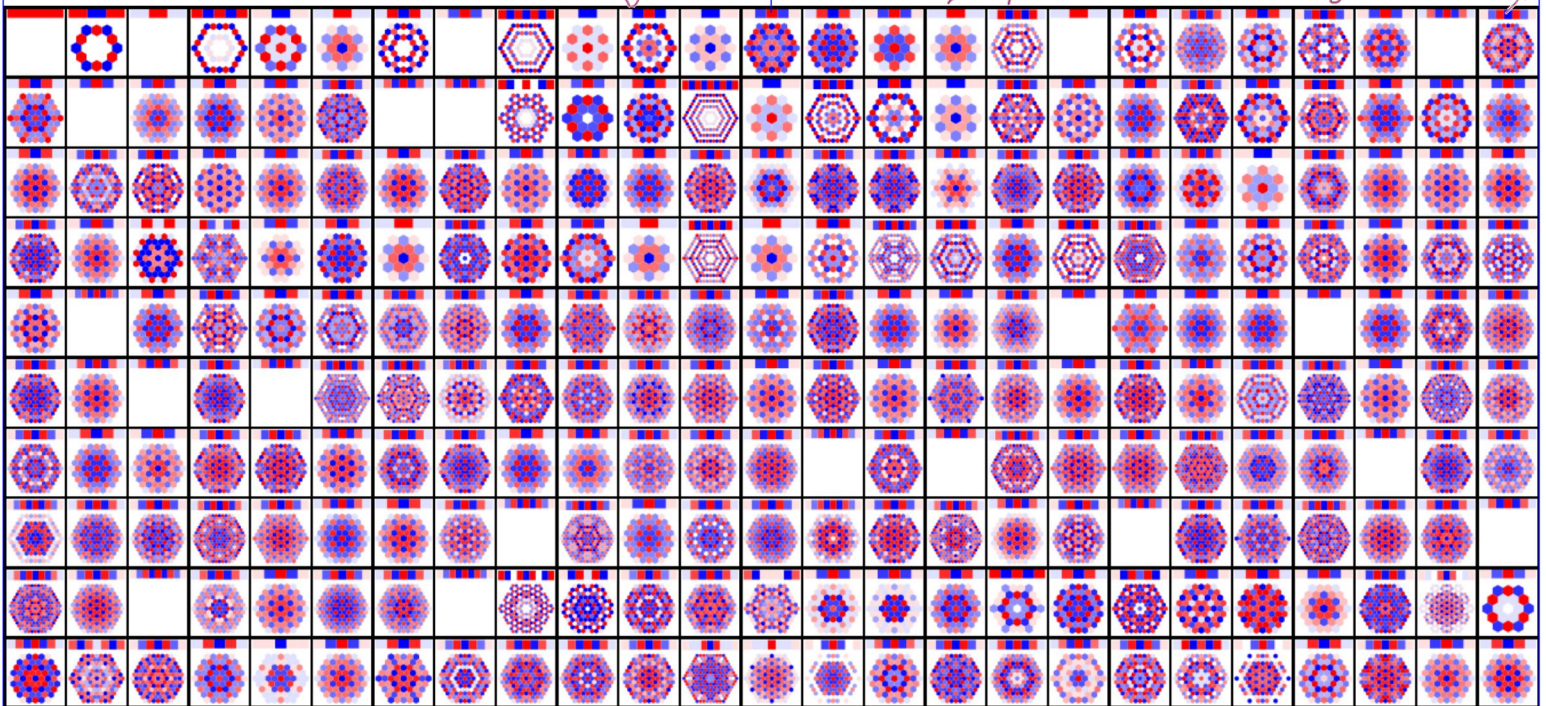
$$R_{12}(c_1, c_2) \in \mathbb{Q}[T_1] \left[\sum \rho_p: \alpha, \beta \in \{i, j, k\} \right]$$

and a linear $\Gamma_1(\rho, k) = \dots$

Such That



IF Rose pictures remind you of FO



$$R_{11} = \dots$$

$$R_{12} = \dots$$

The traffic matrix is relative to the



proof...

The g-rules

- | | |
|----|---|
| 1. | 4 |
| 2. | 5 |
| 3. | 6 |

Corollaries 1. The proof of invariance is now trivial.

2. G is easily computable!

Aside = Δ

Questions, Conjectures, Expectations, Dreams.

Question 1. What's the relationship between Θ and the Garoufalidis-Kashaev invariants [GK, GL]?

Conjecture 2. On classical (non-virtual) knots, θ always has hexagonal (D_6) symmetry.

Conjecture 3. θ is the ϵ^1 contribution to the "solvable approximation" of the sl_3 universal invariant, obtained by running the quantization machinery on the double $\mathcal{D}(b, b, \epsilon\delta)$, where b is the Borel subalgebra of sl_3 , b is the bracket of b , and δ the cobracket. See [BV2, BN1, Sch]

Conjecture 4. θ is equal to the "two-loop contribution to the Kontsevich Integral", as studied by Garoufalidis, Rozansky, Kricker, and in great detail by Ohtsuki [GR, Ro1, Ro2, Ro3, Kr, Oh].

Fact 5. θ has a perturbed Gaussian integral formula, with integration carried out over over a space $6E$, consisting of 6 copies of the space of edges of a knot diagram D . See [BN2].

Conjecture 6. For any knot K , its genus $g(K)$ is bounded by the T_1 -degree of θ : $g(K) < [\text{deg}_{T_1} \theta(K)]$.

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Dream 9. These invariants can be explained by something less foreign than semisimple Lie algebras.

Dream 10. θ will have something to say about ribbon knots.

[BN1] D. Bar-Natan, *Everything around sl_{2+} is DoPeGDO*. So what?, talk in Da Nang, May 2019. Handout and video at [omega-beta/DPG](#).

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[DHOEBL] N. Dunfield, A. Hirani, M. Obeidin, A. Ehrenberg, S. Bhattacharyya, D. Lei, and others, *Random Knots: A Preliminary Report*, lecture notes at [omega-beta/DHOEBL](#). Also a data file at [omega-beta/DD](#).

[GK] S. Garoufalidis, R. Kashaev, *Multivariable Knot Polynomials from Braided Hopf Algebras with Automorphisms*, [arXiv:2311.11528](#).

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[Ov] A. Overbay, *Perturbative Expansion of the Colored Jones Polynomial*, Ph.D. thesis, University of North Carolina, Aug. 2013, [omega-beta/Ov](#).

[Ro1] L. Rozansky, *A Contribution of the Trivial Flat Connection to the Jones Polynomial and Witten's Invariant of 3D Manifolds, I*, *Comm. Math. Phys.* **175-2** (1996) 275-296, [arXiv:hep-th/9401061](#).

[Ro2] —, *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, *Adv. Math.* **134-1** (1998) 1-31, [arXiv:q-alg/9604005](#).

[Ro3] —, *A Universal $U(1)$ -RCC Invariant of Links and Rationality Conjecture*, [arXiv:math/0201139](#).

[Sch] S. Schaveling, *Expansions of Quantum Group Invariants*, Ph.D. thesis, Universiteit Leiden, September 2020, [omega-beta/Scha](#).

Preliminaries

This is Theta.nb of <http://drorbn.net/ubc24/ap>.

```

⊙ Once[<< KnotTheory` ; << Rot.m; << PolyPlot.m];
⊞ C:\drorbn\AcademicPensieve\Projects\KnotTheory\KnotTheory
⊞ Loading KnotTheory` version
  of September 27, 2024, 13:23:33.5336.
  Read more at http://katlas.org/wiki/KnotTheory.
⊞ Loading Rot.m from http://drorbn.net/ubc24/ap
  to compute rotation numbers.
⊞ Loading PolyPlot.m from http://drorbn.net/ubc24/ap
  to plot 2-variable polynomials.
  
```

The Program

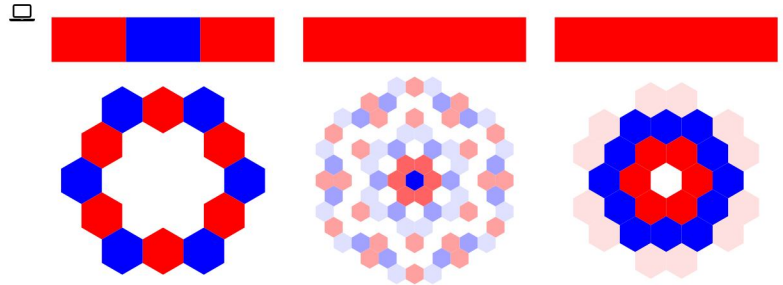
```

⊙ CF[ε_] :=
  Module[{vs = Union@Cases[ε, g_, ∞], ps, c},
    Total[CoefficientRules[Expand[ε], vs] /.
      (ps_ -> c_) -> Factor[c] (Times @@ vs^ps)];
⊙ T3 = T1 T2;
⊙ R1[s_, i_, j_] =
  CF[
    s (1/2 - g3ii + T2^5 g1ii g2ji - g1ii g2jj -
      (T2^5 - 1) g2ji g3ii + 2 g2jj g3ii - (1 - T3^5) g2ji g3ji -
      g2ii g3jj - T2^5 g2ji g3jj + g1ii g3jj +
      ((T1^5 - 1) g1ji (T2^5 g2ji - T2^5 g2jj + T2^5 g3jj) +
      (T3^5 - 1) g3ji
      (1 - T2^5 g1ii - (T1^5 - 1) (T2^5 + 1) g1ji +
      (T2^5 - 2) g2jj + g2ij)) / (T2^5 - 1)];
⊙ θ[{s0_, i0_, j0_}, {s1_, i1_, j1_}] :=
  CF[s1 (T1^s0 - 1) (T2^s1 - 1)^-1 (T3^s1 - 1) g1,j1,i0 g3,j0,i1
    ((T2^s0 g2,i1,i0 - g2,i1,j0) - (T2^s0 g2,j1,i0 - g2,j1,j0))]
⊙ T1[φ_, k_] = -φ / 2 + φ g3kk;
⊙ θ[K_] :=
  Module[{Cs, φ, n, A, s, i, j, k, Δ, G, v, α,
    β, gEval, c, z},
    {Cs, φ} = Rot[K]; n = Length[Cs];
    A = IdentityMatrix[2 n + 1];
    Cases[Cs, {s_, i_, j_} ->
      (A[[{i, j}, {i + 1, j + 1}]] += ( -T^s T^s - 1 ))];
    Δ = T^(-Total[φ] - Total[Cs[[All, 1]]) / 2) Det[A];
    G = Inverse[A];
    gEval[ε_] :=
      Factor[ε /. g_{v_, α_, β_} -> (G[[α, β]] /. T -> T_v)];
    z = gEval[Sum_{k1=1}^n Sum_{k2=1}^n θ[Cs[[k1]], Cs[[k2]]]];
    z += gEval[Sum_{k=1}^n R1 @@ Cs[[k]]];
    z += gEval[Sum_{k=1}^{2^n} T1[φ[[k]], k]];
    {Δ, (Δ /. T -> T1) (Δ /. T -> T2) (Δ /. T -> T3) z} //
      Factor];
  
```

The Trefoil, Conway, and Kinoshita-Terasaka

```

⊙ θ[Knot[3, 1]] // Expand
⊞ {-1 + 1/T + T, -1/T^2 - T^2 - 1/T^2 - 1/T^2 + 1/T^2 +
  1/T^2 T^2 + T^2/T + T^2/T + T^2 T^2 - T^2 + T^2 T^2 - T^2 T^2}
⊙ GraphicsRow[PolyPlot[θ[Knot[#]]] & /@
  {"3_1", "K11n34", "K11n42"}]
  
```



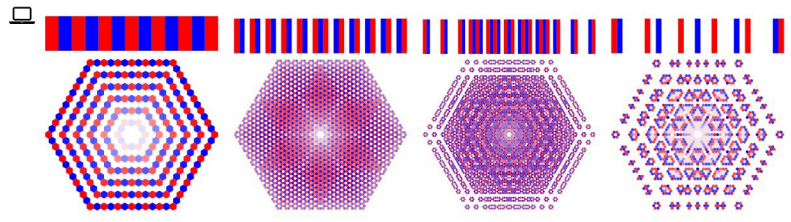
(Note that the genus of the Conway knot appears to be bigger than the genus of Kinoshita-Terasaka)

Inverse R3

Some Torus Knots

```

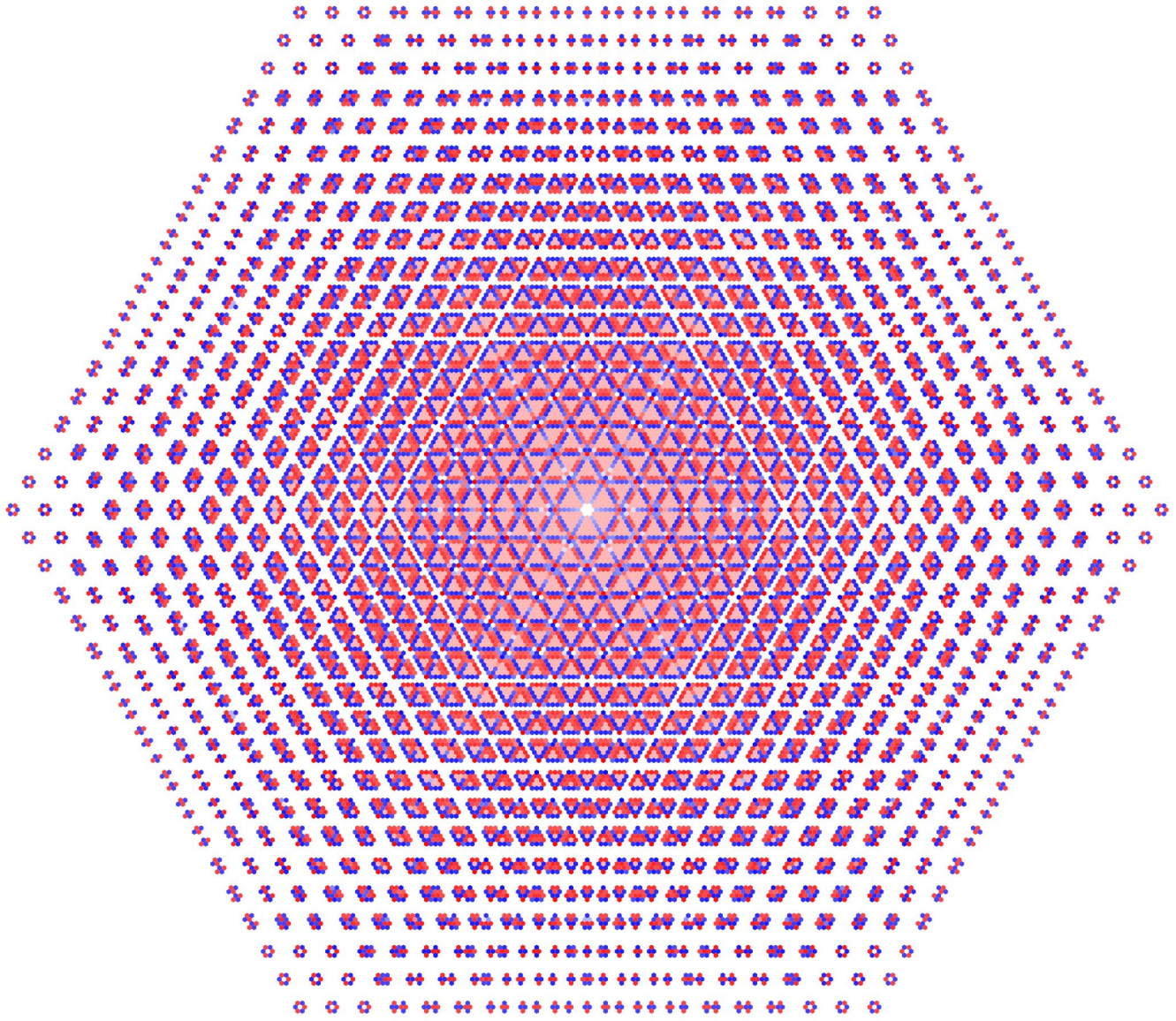
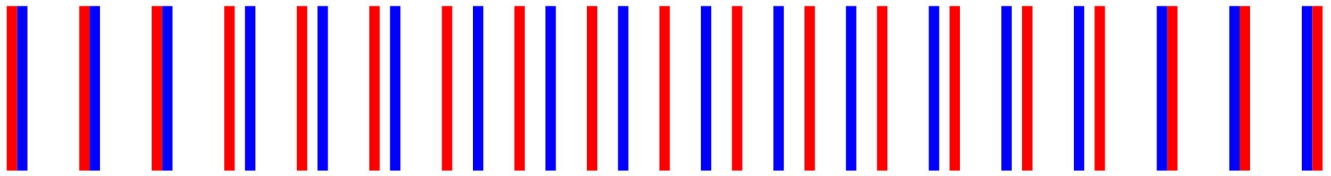
⊙ GraphicsRow[PolyPlot[θ[TorusKnot @@ #]] &
  /@ {{13, 2}, {17, 3}, {13, 5}, {7, 6}},
  Spacings -> Scaled@0.05]
  
```



Inverse under R3 computation

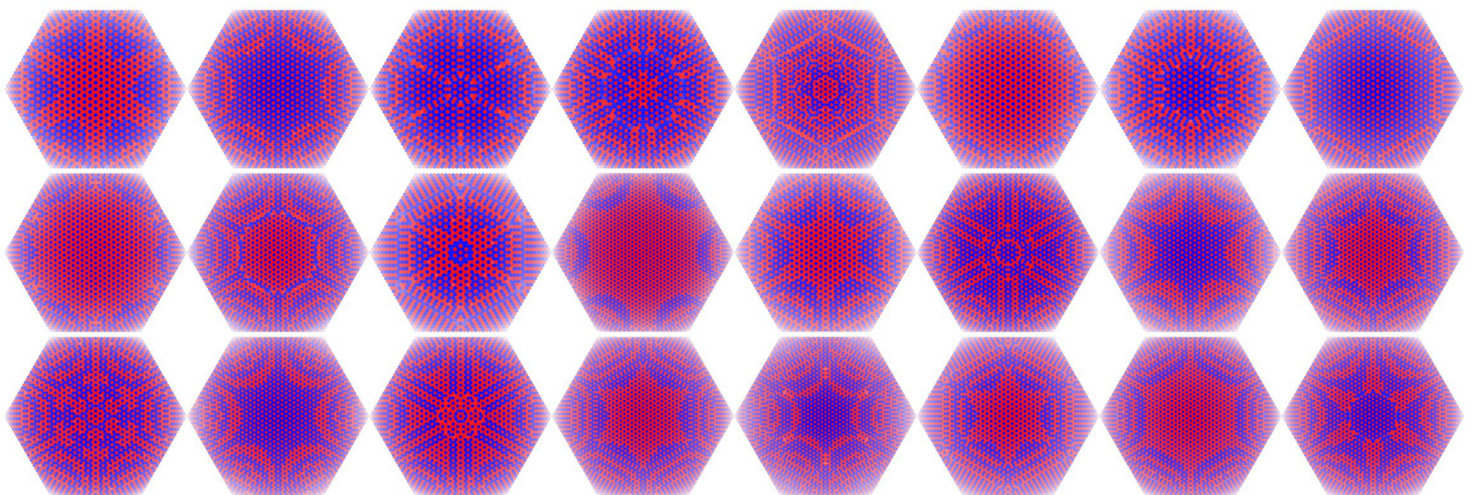
The torus knot $T_{22/7}$:

(many more at $\omega\epsilon\beta/\text{TK}$)



Random knots from [DHOEBL], with 50-73 crossings:

(many more at $\omega\epsilon\beta/\text{DK}$)





The Strongest Genuinely Computable Knot Invariant in 2024

Abstract. “Genuinely computable” means we have computed it for random knots with over 300 crossings. “Strongest” means it separates prime knots with up to 15 crossings better than the less-computable HOMFLY-PT and Khovanov homology taken together. And hey, it’s also meaningful and fun.



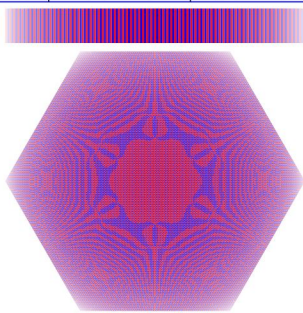
Continues Rozansky, Garoufalidis, Kricker, and Ohtsuki, joint with van der Veen.

Acknowledgement. This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

Strongest. Testing $\Theta = (\Delta, \theta)$ on prime knots up to mirrors and reversals, counting the number of distinct values (with deficits in parenthesis):

reign	knots	$(\rho_1: [\text{Ro1, Ro2, Ro3, Ov, BV1}])$			
		(H, Kh)	(Δ, ρ_1)	$\Theta = (\Delta, \theta)$	together
		2005-22	2022-24	2024-	
xing ≤ 10	249	248 (1)	249 (0)	249 (0)	249 (0)
xing ≤ 11	801	771 (30)	787 (14)	798 (3)	798 (3)
xing ≤ 12	2,977	(214)	(95)	(19)	(18)
xing ≤ 13	12,965	(1,771)	(959)	(194)	(185)
xing ≤ 14	59,937	(10,788)	(6,253)	(1,118)	(1,062)
xing ≤ 15	313,230	(70,245)	(42,914)	(6,758)	(6,555)

Genuinely Computable. Here’s Θ on a random 300 crossing knot (from [DHOEBL]). For almost every other invariant, that’s science fiction.

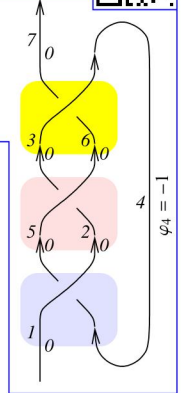


Fun. There’s so much more to see in 2D pictures than in 1D ones! Yet almost nothing of the patterns you see we know how to prove. We’ll have fun with that over the next few years. Would you join?

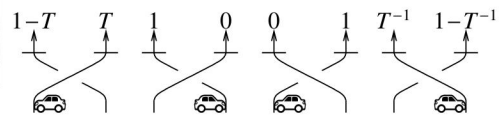
Meaningful. Θ gives a genus bound (unproven yet with confidence). We hope (with reason) it says something about ribbon knots.

Conventions. $T, T_1,$ and T_2 are indeterminates and $T_3 := T_1 T_2$.

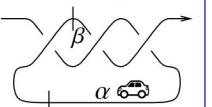
Preparation. Draw an n -crossing knot K as a diagram D as on the right: all crossings face up, and the edges are marked with a running index $k \in \{1, \dots, 2n + 1\}$ and with rotation numbers φ_k .



Model T Traffic Rules. Cars always drive forward. When a car crosses over a sign- s bridge it goes through with (algebraic) probability $T^s \sim 1$, but falls off with probability $1 - T^s \sim 0$. At the very end, cars fall off and disappear. On various edges **traffic counters** are placed. See also [Jo, LTW].



Definition. The **traffic function** $G = (g_{\alpha\beta})$ (also, the **Green function** or the **two-point function**) is the reading of a traffic counter at β , if car traffic is injected at α (if $\alpha = \beta$, the counter is *after* the injection point). There are also model- T_ν traffic functions $G_\nu = (g_{\nu\alpha\beta})$ for $\nu = 1, 2, 3$.



Example.

$$\sum_{p \geq 0} (1-T)^p = T^{-1}$$

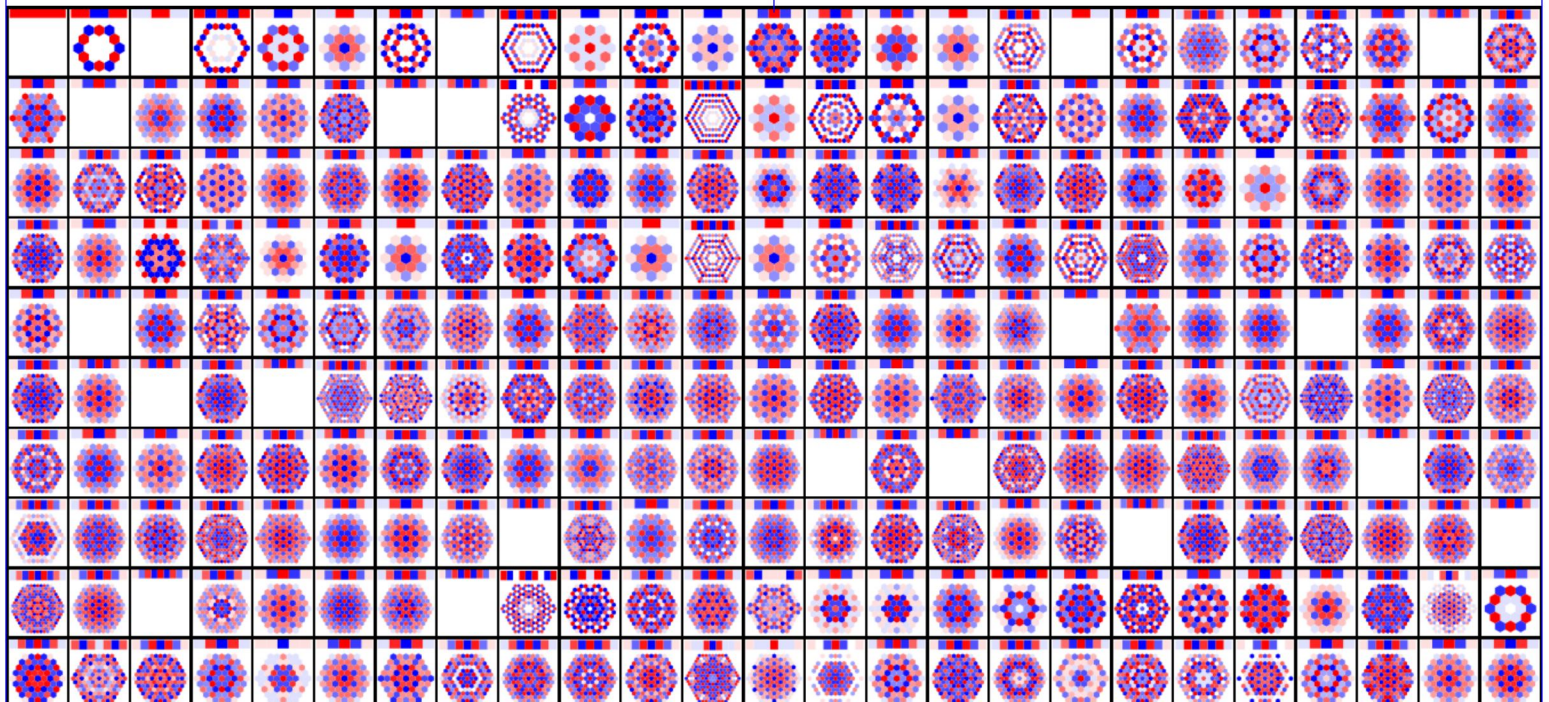
$$G = \begin{pmatrix} 1 & T^{-1} & 1 \\ 0 & T^{-1} & 1 \\ 0 & 0 & 1 \end{pmatrix}$$

Don't Look.

$$R_{11}(c) = s \left[1/2 - g_{3ii} + T_2^s g_{1ii} g_{2ji} - T_2^s g_{3jj} g_{2ji} - (T_2^s - 1) g_{3ii} g_{2ji} \right. \\ \left. + (T_3^s - 1) g_{2ji} g_{3ji} - g_{1ii} g_{2ji} + 2 g_{3ii} g_{2jj} + g_{1ii} g_{3jj} - g_{2ii} g_{3jj} \right] \\ + \frac{s}{T_2^s - 1} \left[(T_1^s - 1) T_2^s (g_{3jj} g_{1ji} - g_{2jj} g_{1ji} + T_2^s g_{1ji} g_{2ji}) \right. \\ \left. + (T_3^s - 1) (g_{3ji} - T_2^s g_{1ii} g_{3ji} + g_{2ij} g_{3ji} + (T_2^s - 2) g_{2ij} g_{3ji}) \right. \\ \left. - (T_1^s - 1) (T_2^s + 1) (T_3^s - 1) g_{1ji} g_{3ji} \right]$$

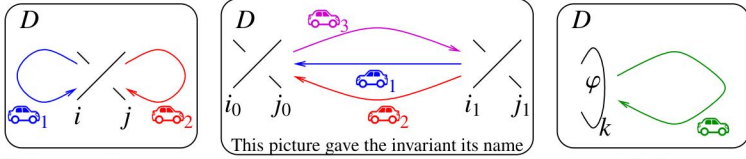
$$R_{12}(c_0, c_1) = \frac{s_1 (T_1^{s_0} - 1) (T_3^{s_1} - 1) g_{1ji} g_{3ji}}{T_2^{s_1} - 1} (T_2^{s_0} g_{2i i_0} + g_{2j_1 j_0} - T_2^{s_0} g_{2j_1 i_0} - g_{2i_1 j_0})$$

$$\Gamma_1(\varphi, k) = \varphi(-1/2 + g_{3kk})$$



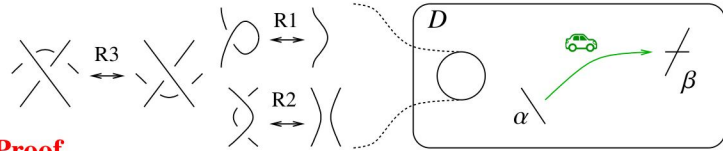
Theorem. With $c = (s, i, j)$, $c_0 = (s_0, i_0, j_0)$, and $c_1 = (s_1, i_1, j_1)$ denoting crossings, there is a quadratic $R_{11}(c) \in \mathbb{Q}(T_\nu)[g_{\nu\alpha\beta} : \alpha, \beta \in \{i, j\}]$, a cubic $R_{12}(c_0, c_1) \in \mathbb{Q}(T_\nu)[g_{\nu\alpha\beta} : \alpha, \beta \in \{i_0, j_0, i_1, j_1\}]$, and a linear $\Gamma_1(\phi, k)$ such that the following is a knot invariant:

$$\theta(D) := \underbrace{\Delta_1 \Delta_2 \Delta_3}_{\substack{\text{normalization,} \\ \text{see later}}} \left(\sum_c R_1(c) + \sum_{c_0, c_1} \theta(c_0, c_1) + \sum_k \Gamma_1(\phi_k, k) \right),$$

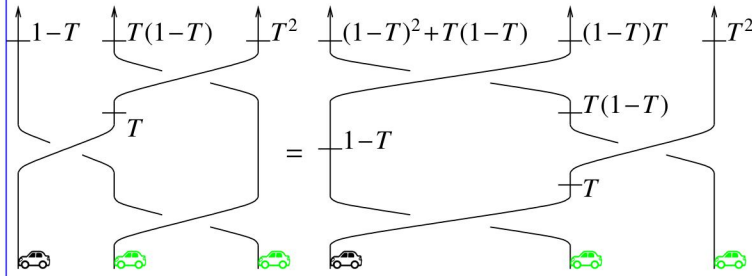


If these pictures remind you of Feynman diagrams, it's because they are Feynman diagrams [BN2].

Lemma 1. The traffic function $g_{\alpha\beta}$ is a “relative invariant”:



Proof.



Lemma 2. With $k^+ := k + 1$, the “g-rules” hold near a crossing $c = (s, i, j)$:

$$g_{i\beta} = g_{j^+\beta} + \delta_{i\beta} \quad g_{i\beta} = T^s g_{j^+\beta} + (1 - T^s) g_{j^+\beta} + \delta_{i\beta} \quad g_{2n^+, \beta} = \delta_{2n^+, \beta}$$

$$g_{\alpha i^+} = T^s g_{\alpha i} + \delta_{\alpha i^+} \quad g_{\alpha j^+} = g_{\alpha j} + (1 - T^s) g_{\alpha i} + \delta_{\alpha j^+} \quad g_{\alpha, 2n^+} = 1$$

Corollary 1. G is easily computable, for $AG = I (= GA)$, with A the $(2n+1) \times (2n+1)$ identity matrix with additional contributions:

$$c = (s, i, j) \mapsto \begin{array}{c|cc} & A & \text{col } i^+ & \text{col } j^+ \\ \hline \text{row } i & & -T^s & T^s - 1 \\ \text{row } j & & 0 & -1 \end{array}$$

For the trefoil example, we have:

$$A = \begin{pmatrix} 1 & -T & 0 & 0 & T-1 & 0 & 0 \\ 0 & 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & -T & 0 & 0 & T-1 \\ 0 & 0 & 0 & 1 & -1 & 0 & 0 \\ 0 & 0 & T-1 & 0 & 1 & -T & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

$$G = \begin{pmatrix} 1 & T & 1 & T & 1 & T & 1 \\ 0 & 1 & \frac{1}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T^2}{T^2-T+1} & 1 \\ 0 & 0 & \frac{1}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T}{T^2-T+1} & \frac{T^2}{T^2-T+1} & 1 \\ 0 & 0 & \frac{1}{1-T} & \frac{T}{1-T} & \frac{T}{1-T} & \frac{T^2}{1-T} & 1 \\ 0 & 0 & \frac{T^2-T+1}{1-T} & \frac{T^2-T+1}{(T-1)T} & \frac{T^2-T+1}{1-T} & \frac{T^2-T+1}{T} & 1 \\ 0 & 0 & \frac{1}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{1}{T^2-T+1} & \frac{1}{T^2-T+1} & 1 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

Note. The Alexander polynomial Δ is given by $\Delta = T^{(-\varphi-w)/2} \det(A)$, with $\varphi = \sum_k \varphi_k$, $w = \sum_c s$. We also set $\Delta_\nu := \Delta / T \rightarrow T_\nu$.

Questions, Conjectures, Expectations, Dreams.

Question 1. What's the relationship between Θ and the Garoufalidis-Kashaev invariants [GK, GL]?

Conjecture 2. On classical (non-virtual) knots, θ always has hexagonal (D_6) symmetry.

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Conjecture 6. For any knot K , its genus $g(K)$ is bounded by the T_1 -degree of θ : $g(K) < [\text{deg}_{T_1} \theta(K)]$.

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[BN2] —, *Knot Invariants from Finite Dimensional Integration*, talks in Beijing ([omega beta / icbs24](#)) and in Geneva ([omega beta / ge24](#)).

[BV1] —, R. van der Veen, *A Perturbed-Alexander Invariant*, to appear in Quantum Topology, [omega beta / APAI](#).

[BV2] —, —, *Perturbed Gaussian Generating Functions for Universal Knot Invariants*, arXiv:2109.02057.

[DHOEBL] N. Dunfield, A. Hirani, M. Obeidin, A. Ehrenberg, S. Bhattacharyya, D. Lei, and others, *Random Knots: A Preliminary Report*, lecture notes at [omega beta / DHOEBL](#). Also a data file at [omega beta / DD](#).

[GK] S. Garoufalidis, R. Kashaev, *Multivariable Knot Polynomials from Braided Hopf Algebras with Automorphisms*, arXiv:2311.11528.

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[LTW] X-S. Lin, F. Tian, Z. Wang, *Burau Representation and Random Walk on String Links*, Pac. J. Math., **182-2** (1998) 289-302, arXiv:q-alg/9605023.

[Oh] T. Ohtsuki, *On the 2-loop Polynomial of Knots*, Geom. Top. **11** (2007) 1357-1475.

[Ov] A. Overbay, *Perturbative Expansion of the Colored Jones Polynomial*, Ph.D. thesis, University of North Carolina, Aug. 2013, [omega beta / Ov](#).

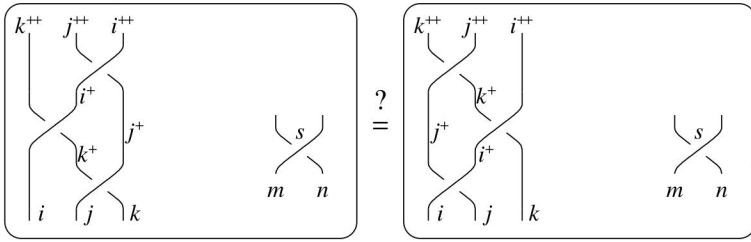
[Ro1] L. Rozansky, *A Contribution of the Trivial Flat Connection to the Jones Polynomial and Witten's Invariant of 3D Manifolds, I*, Comm. Math. Phys. **175-2** (1996) 275-296, arXiv:hep-th/9401061.

[Ro2] —, *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, Adv. Math. **134-1** (1998) 1-31, arXiv:q-alg/9604005.

[Ro3] —, *A Universal $U(1)$ -RCC Invariant of Links and Rationality Conjecture*, arXiv:math/0201139.

[Sch] S. Schaveling, *Expansions of Quantum Group Invariants*, Ph.D. thesis, Universiteit Leiden, September 2020, [omega beta / Scha](#).

Corollary 2. Proving invariance is easy:



Invariance under R3

This is Theta.nb of <http://drorbn.net/to24/ap>.

```

⊙ T3 = T1 T2;
⊙ CF[ε_] :=
Module[{vs = Union@Cases[ε, g_, ∞], ps, c},
Total[CoefficientRules[Expand[ε], vs] /.
(ps_ -> c_) -> Factor[c] (Times@@vs^ps)]];
⊙ R11[{s_, i_, j_}] =
CF[
s (1/2 - g3ii + T2^5 g1ii g2ji - g1ii g2jj -
(T2^5 - 1) g2ji g3ii + 2 g2jj g3ii - (1 - T3^5) g2ji g3ji -
g2ii g3jj - T2^5 g2ji g3jj + g1ii g3jj +
((T1^5 - 1) g1ji (T2^5 g2ji - T2^5 g2jj + T2^5 g3jj) +
(T3^5 - 1) g3ji
(1 - T2^5 g1ii - (T1^5 - 1) (T2^5 + 1) g1ji +
(T2^5 - 2) g2jj + g2ij)) / (T2^5 - 1)];
⊙ R12[{s0_, i0_, j0_}, {s1_, i1_, j1_}] :=
CF[s1 (T1^5 - 1) (T2^5 - 1)^-1 (T3^5 - 1) g1,j1,i0 g3,j0,i1
((T2^5 g2,i1,i0 - g2,i1,j0) - (T2^5 g2,j1,i0 - g2,j1,j0))]
⊙ T1[φ_, k_] = -φ / 2 + φ g3kk;
⊙ δi,j := If[i == j, 1, 0];
gR_{s,i,j} := {
g_{v,jβ} -> g_{vj+β} + δ_{jβ},
g_{v,iβ} -> T_v^s g_{vi+β} + (1 - T_v^s) g_{vj+β} + δ_{iβ},
g_{v,αi+} -> T_v^s g_{vai} + δ_{ai+},
g_{v,αj+} -> g_{vaj} + (1 - T_v^s) g_{vai} + δ_{aj+}
}
⊙ DSum[Cs___] := Sum[R11[c], {c, {Cs}}] +
Sum[R12[c0, c1], {c0, {Cs}}, {c1, {Cs}}]
lhs = DSum[{1, j, k}, {1, i, k+}, {1, i+, j+},
{s, m, n}] // . gR_{1,j,k} ∪ gR_{1,i,k+} ∪ gR_{1,i+,j+};
rhs = DSum[{1, i, j}, {1, i+, k}, {1, j+, k+},
{s, m, n}] // . gR_{1,i,j} ∪ gR_{1,i+,k} ∪ gR_{1,j+,k+};
Simplify[lhs == rhs]

```

True

The Main Program

```

⊙ Once[<< KnotTheory `; << Rot.m; << PolyPlot.m];
⊙ ⊙[K_] :=
Module[{Cs, φ, n, A, s, i, j, k, Δ, G, v, α,
β, gEval, c, θ},
{Cs, φ} = Rot[K]; n = Length[Cs];
A = IdentityMatrix[2 n + 1];
Cases[Cs, {s_, i_, j_} ->
(A[[{i, j}, {i + 1, j + 1}] += (-T^s T^s - 1)]];
Δ = T^(-Total[φ] - Total[Cs[All, 1]]) / 2 Det[A];
G = Inverse[A];
gEval[ε_] :=
Factor[ε /. g_{v,α,β} -> (G[[α, β]] /. T -> T_v)];
θ = gEval[Sum_{k1=1}^n Sum_{k2=1}^n R12[Cs[[k1], Cs[[k2]]]];
θ += gEval[Sum_{k=1}^n R11[Cs[[k]]]];
θ += gEval[Sum_{k=1}^{2n} T1[φ[[k], k]];
{Δ, (Δ /. T -> T1) (Δ /. T -> T2) (Δ /. T -> T3) θ} //
Factor];

```

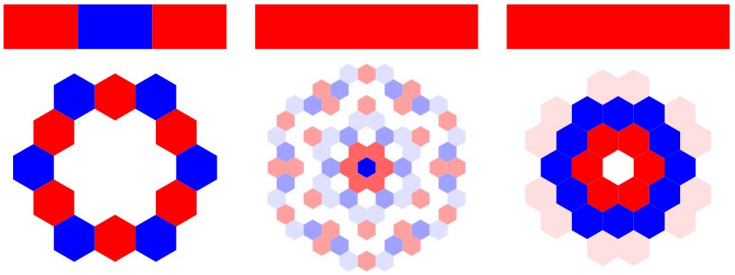
The Trefoil, Conway, and Kinoshita-Terasaka

```

⊙ ⊙[Knot[3, 1]] // Expand
-1 + 1/T + T, -1/T^2 + T, -1/T^2 - 1/T^2 - 1/T^2 + 1/T^2 +
1/T^2 T^2 + T1/T2 + T2/T1 + T1^2 T2 - T2^2 + T1 T2^2 - T1^2 T2^2
⊙ GraphicsRow[PolyPlot[⊙[Knot[#]]] & /@
{"3_1", "K11n34", "K11n42"}]

```

Knot Pictures



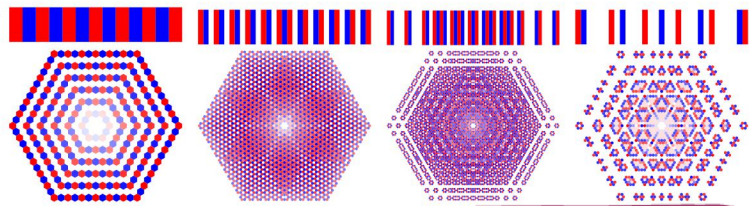
(Note that the genus of the Conway knot appears to be bigger than the genus of Kinoshita-Terasaka)

Some Torus Knots

```

⊙ GraphicsRow[PolyPlot[⊙[TorusKnot @@ #]] &
/@ {{13, 2}, {17, 3}, {13, 5}, {7, 6}},
Spacings -> Scaled@0.05]

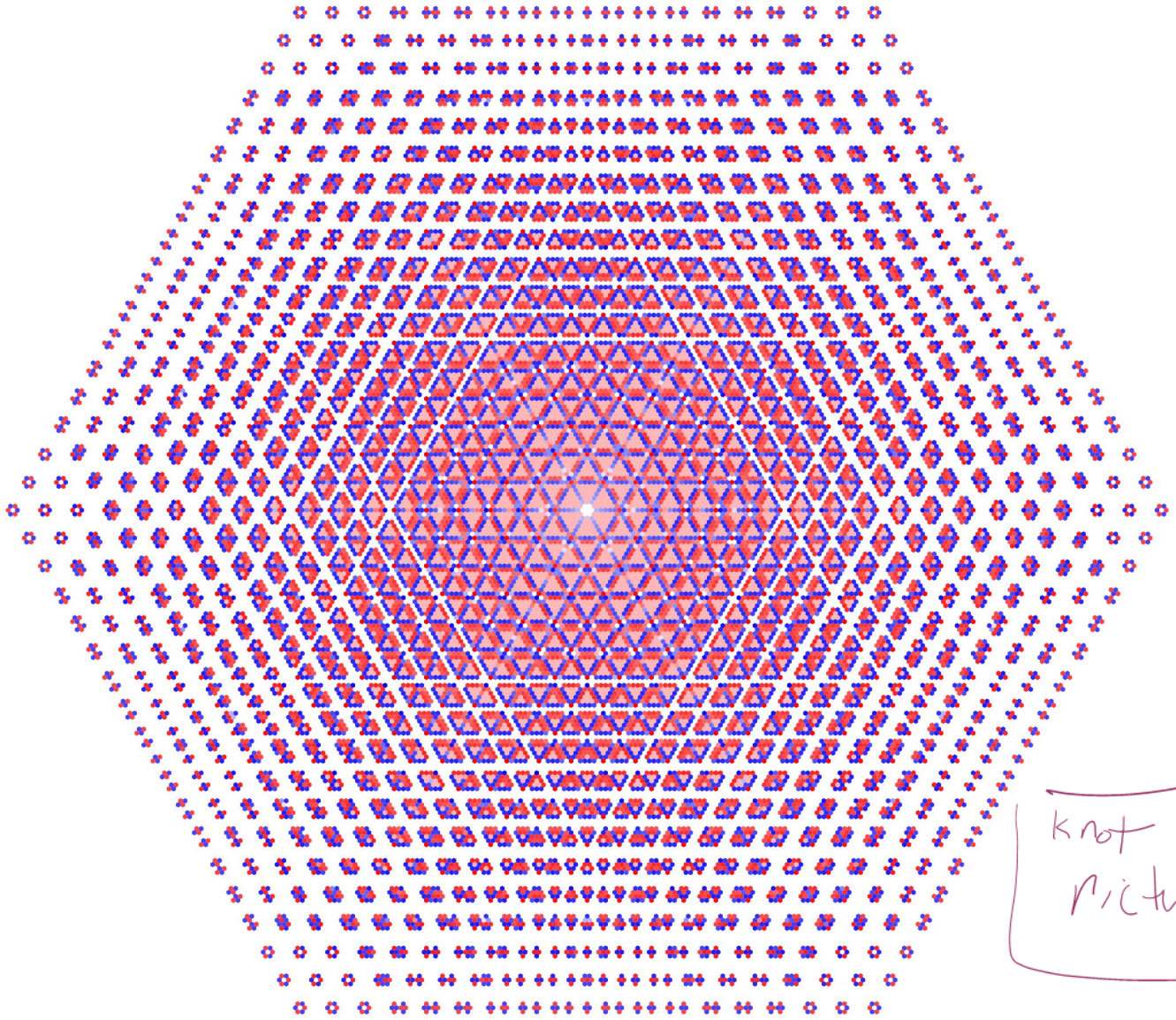
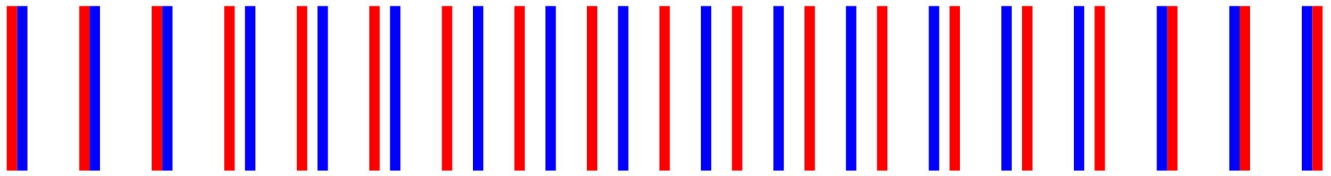
```



Knot pictures

The torus knot $T_{22/7}$:

(many more at $\omega\epsilon\beta/\text{TK}$)



knot
picture

Random knots from [DHOEBL], with 50-73 crossings:

(many more at $\omega\epsilon\beta/\text{DK}$)

