

Les Diablerets Dogma Handout on 170829

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- 1. Verify links.
- 2. Partition.

Dror Bar-Natan: Talks: LesDiablerets-1708:

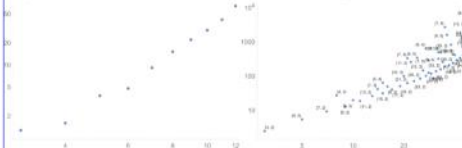
The Dogma is Wrong

Follows Rozansky [Ro1, Ro2, Ro3] and Overbay [Ov], joint with van der Veen. Preliminary writeup [BV1], fuller writeup [BV2]. More at oezf/talks.

Abstract. It has long been known that there are knot invariants associated to semi-simple Lie algebras, and there has long been a dogma as for how to extract them: "quantize and use representation theory". We present an alternative and better procedure: "centrally extend, approximate by solvable, and learn how to re-order exponentials in a universal enveloping algebra". While equivalent to the old invariants via a complicated process, our invariants are in practice stronger, faster to compute (poly-time vs. exp-time), and clearly carry topological information.

KiW 43 Abstract (oezf/kiw). Whether or not you like the formulas on this page, they describe the strongest truly computable knot invariant we know.

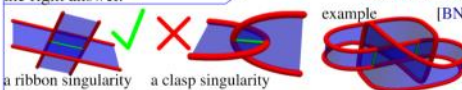
Experimental Analysis (oezf/Exp). Log-log plots of computation time (sec) vs. crossing number, for all knots with up to 12 crossings (mean times) and for all torus knots with up to 48 crossings:



Power. On the 250 knots with at most 10 crossings, the pair (ω, ρ_1) attains 250 distinct values, while (Khovanov, HOMFLY-PT) attains only 249 distinct values. To 11 crossings the numbers are (802, 788, 772) and to 12 they are (2978, 2883, 2786).

Genus. Up to 12 xings, always ρ_1 is symmetric under $t \leftrightarrow t^{-1}$. With ρ_1^+ denoting the positive-degree part of ρ_1 , always $\deg \rho_1^+ \leq 2g - 1$, where g is the 3-genus of K (equality for 2530 knots). This gives a lower bound on g in terms of ρ_1 (conjectural, but undoubtedly true). This bound is often weaker than the Alexander bound, yet for 10 of the 12-xing Alexander failures it does give the right answer.

Ribbon Knots.



Now define $gl_n^e := \mathcal{D}(\nabla, b, e\delta)$. Schematically, this is $\nabla, \nabla] = \nabla, [\nabla, \nabla] = \epsilon \nabla$, and $[\nabla, \nabla] = \nabla + \epsilon \nabla$. In detail, it is

$[x_{ij}, x_{kl}] = \delta_{jk}x_{il} - \delta_{il}x_{kj}$	$[y_{ij}, y_{kl}] = \epsilon\delta_{jk}y_{il} - \epsilon\delta_{il}y_{kj}$
$[x_{ij}, y_{kl}] = \delta_{jk}(\epsilon\delta_{i<j}x_{il} + \delta_{il}(b_j + \epsilon a_j)/2 + \delta_{i>j}y_{il}) - \delta_{il}(\epsilon\delta_{k<j}x_{kj} + \delta_{kj}(b_j + \epsilon a_j)/2 + \delta_{k>j}y_{kj})$	$[a_i, x_{jk}] = (\delta_{ij} - \delta_{ik})x_{jk}$
$[a_i, y_{jk}] = (\delta_{ij} - \delta_{ik})y_{jk}$	$[b_i, x_{jk}] = \epsilon(\delta_{ij} - \delta_{ik})x_{jk}$
$[a_i, y_{jk}] = (\delta_{ij} - \delta_{ik})y_{jk}$	$[b_i, y_{jk}] = \epsilon(\delta_{ij} - \delta_{ik})y_{jk}$

The Main sl_2 Theorem. Let $g^e = \langle t, y, a, x \rangle / ([t, \cdot] = 0, [a, x] = x, [a, y] = -y, [x, y] = t - 2\epsilon a)$ and let $g_k = g^e / (\epsilon^{k+1} = 0)$. The g_k -invariant of any S -component tangle K can be written in the form $Z(K) = \mathcal{O}(\omega e^{L+Q+P} : \otimes_{i \in S} y_i a_i x_i)$, where ω is a scalar (a rational function in the variables t_i and their exponentials $T_i := e^{b_i}$), where $L = \sum l_{ij} t_i a_j$ is a quadratic in t_i and a_j with integer coefficients l_{ij} , where $Q = \sum q_{ij} y_i x_j$ is a quadratic in the variables y_i and x_j with scalar coefficients q_{ij} , and where P is a polynomial in $\{\epsilon, y_i, a_j, x_k\}$ (with scalar coefficients) whose ϵ^d -term is of degree at most $2d + 2$ in $\{y_i, \sqrt{a_i}, x_i\}$. Furthermore, after setting $t_i = t$ and $T_i = T$ for all i , the invariant $Z(K)$ is poly-time computable.

Theorem ([BNG], conjectured [MM], elucidated [Ro1]). Let $J_d(K)$ be the coloured Jones polynomial of K , in the d -dimensional representation of sl_2 . Writing

$$\left. \frac{(q^{1/2} - q^{-1/2})J_d(K)}{q^{d/2} - q^{-d/2}} \right|_{q=e^b} = \sum_{j,m \geq 0} a_{jm}(K) d^j h^m,$$

"below diagonal" coefficients vanish, $a_{jm}(K) = 0$ if $j > m$, and "on diagonal" coefficients give the inverse of the Alexander polynomial: $(\sum_{m=0}^{\infty} a_{mm}(K) h^m) \cdot \omega(K)(e^b) = 1$.

"Above diagonal" we have **Rozansky's Theorem** [Ro3, (1.2)]:

$$J_d(K)(q) = \frac{q^d - q^{-d}}{(q - q^{-1})\omega(K)(q^d)} \left(1 + \sum_{k=1}^{\infty} \frac{(q-1)^k \rho_k(K)(q^d)}{\omega^{2k}(K)(q^d)} \right).$$

The Yang-Baxter Technique. Given an algebra A (typically $\hat{\mathcal{U}}(\mathfrak{g})$ or $\hat{\mathcal{U}}_q(\mathfrak{g})$) and elements $R = \sum a_i \otimes b_i \in A \otimes A$ and $C \in A$, form

$$Z = \sum_{i,j,k} C a_i b_j a_k C^2 b_i a_j b_k C.$$

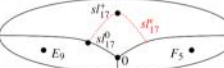
Problem. Extract information from Z .

The Dogma. Use representation theory. In principle finite, but *slow*.


The Loyal Opposition. For certain algebras, work in a homomorphic poly-dimensional "space of formulas".

$$m_i^j \circlearrowleft (\mathcal{F}_S) \xrightarrow{\cong} [A^{\otimes S}] \circlearrowright m_i^j$$

The (fake) moduli of Lie algebras on V , a quadratic variety in $(V^*)^{\otimes 2} \otimes V$ is on the right. We care about $sl_{17}^e := sl_{17}^e / (\epsilon^{k+1} = 0)$.



Recomposing gl_n . Half is enough! $gl_n \oplus \mathfrak{a}_n = \mathcal{D}(\nabla, b, \delta)$:



Now define $gl_n^e := \mathcal{D}(\nabla, b, e\delta)$. Schematically, this is $\nabla, \nabla] = \nabla, [\nabla, \nabla] = \epsilon \nabla$, and $[\nabla, \nabla] = \nabla + \epsilon \nabla$. In detail, it is

A → U
2
0

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The PBW Problem. In $\mathcal{U}(\mathfrak{g}^f)$, bring $Z = y^3 a^2 x^2 \cdot y^2 a^2 x$ into yax -order. In other words, find $g \in \mathbb{Z}[\varepsilon, t, y, a, x]$ such that $Z = \mathcal{O}(f = y^3 y_2^2 a_1^2 x_1^2 x_2 : y_1 a_1 x_1 y_2 a_2 x_2) = \mathcal{O}(g : yax)$.

Solution, Part 1. In $\mathcal{U}(\mathfrak{g}^f)$ we have

$$X_{\tau_1, \eta_1, \alpha_1, \xi_1, \tau_2, \eta_2, \alpha_2, \xi_2} := e^{\tau_1 t} e^{\eta_1 y} e^{\alpha_1 a} e^{\xi_1 x} e^{\tau_2 t} e^{\eta_2 y} e^{\alpha_2 a} e^{\xi_2 x} = e^{\tau t} e^{\eta y} e^{\alpha a} e^{\xi x} =: Y_{\tau, \eta, \alpha, \xi},$$

where τ, η, α, ξ are ugly functions of $\tau_1, \eta_1, \alpha_1, \xi_1$:

$$\begin{aligned} \tau &= \tau_1 + \tau_2 - \frac{\log(1 - \varepsilon \eta_2 \xi_1)}{\varepsilon} = \tau_1 + \tau_2 + \eta_2 \xi_1 + \frac{\varepsilon}{2} \eta_2^2 \xi_1^2 + \dots \\ \eta &= \eta_1 + \frac{e^{-\alpha_1} \eta_2}{(1 - \varepsilon \eta_2 \xi_1)} = \eta_1 + e^{-\alpha_1} \eta_2 + \varepsilon e^{-\alpha_1} \eta_2^2 \xi_1 + \dots \\ \alpha &= \alpha_1 + \alpha_2 + 2 \log(1 - \varepsilon \eta_2 \xi_1) = \alpha_1 + \alpha_2 - 2 \varepsilon \eta_2 \xi_1 + \dots \\ \xi &= \frac{e^{-\alpha_2} \xi_1}{(1 - \varepsilon \eta_2 \xi_1)} + \xi_2 = e^{-\alpha_2} \xi_1 + \xi_2 + \varepsilon e^{-\alpha_2} \eta_2 \xi_1^2 + \dots \end{aligned}$$

Note 1. This defines a mapping $\Phi: \mathbb{R}_{\tau_1, \eta_1, \alpha_1, \xi_1, \tau_2, \eta_2, \alpha_2, \xi_2}^8 \rightarrow \mathbb{R}_{\tau, \eta, \alpha, \xi}^4$.

Proof. \mathfrak{g}^f has a 2D representation ρ :

$$\rho t = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}; \rho y = \begin{pmatrix} 0 & \theta \\ -\theta & 0 \end{pmatrix};$$

$$\rho a = \begin{pmatrix} (1 + 1/\varepsilon) / 2 & 0 \\ 0 & -(1 - 1/\varepsilon) / 2 \end{pmatrix}; \rho x = \begin{pmatrix} 0 & 1 \\ \theta & 0 \end{pmatrix};$$

$$\text{Simplify } \theta(\rho a, \rho x - \rho x, \rho a) = \rho x, \rho a, \rho y - \rho y, \rho a = -\rho y, \rho x, \rho y - \rho y, \rho x = \rho t - 2 \varepsilon \rho a$$

(True, True, True)

It is enough to verify the desired identity in ρ :

ME = MatrixExp;

Simplify [

$$\begin{aligned} &\text{ME}[\tau_1 \rho t] \cdot \text{ME}[\eta_1 \rho y] \cdot \text{ME}[\alpha_1 \rho a] \cdot \text{ME}[\xi_1 \rho x] \cdot \text{ME}[\tau_2 \rho t] \cdot \\ &\text{ME}[\eta_2 \rho y] \cdot \text{ME}[\alpha_2 \rho a] \cdot \text{ME}[\xi_2 \rho x] = \\ &\text{ME}[\tau_0 \rho t] \cdot \text{ME}[\eta_0 \rho y] \cdot \text{ME}[\alpha_0 \rho a] \cdot \text{ME}[\xi_0 \rho x] / \cdot \\ &\left\{ \tau_0 \rightarrow \frac{\log(1 - \varepsilon \eta_2 \xi_1)}{\varepsilon} + \tau_1 + \tau_2, \eta_0 \rightarrow \eta_1 + \frac{e^{-\alpha_1} \eta_2}{1 - \varepsilon \eta_2 \xi_1}, \right. \\ &\left. \alpha_0 \rightarrow 2 \log[1 - \varepsilon \eta_2 \xi_1] + \alpha_1 + \alpha_2, \xi_0 \rightarrow \frac{e^{-\alpha_2} \xi_1}{1 - \varepsilon \eta_2 \xi_1} + \xi_2 \right\} \end{aligned}$$

True

Solution, Part 2. But now, with $D_{\varepsilon} = f(z) \mapsto \partial_{\varepsilon} = \partial_{\eta_1}^3 \partial_{\xi_1}^2 \partial_{\xi_2}^2 \partial_{\eta_2}^2 \partial_{\xi_2}$,

$$Z = D_{\varepsilon}^X X_{\tau_1, \eta_1, \alpha_1, \xi_1, \tau_2, \eta_2, \alpha_2, \xi_2} \Big|_{y=0} = D_{\varepsilon}^X Y_{\tau, \eta, \alpha, \xi} \Big|_{y=0} = \mathcal{O}(f : yax) = \mathcal{O}(g : yax):$$

$$\begin{aligned} &\text{Expand} \left[\partial_{(\eta_1, 3)} \partial_{(\alpha_1, 2)} \partial_{(\xi_1, 2)} \partial_{(\eta_2, 2)} \partial_{(\alpha_2, 2)} \partial_{(\xi_2, 1)} \text{Exp} \left[\right. \right. \\ &\quad \left. \left. \left(-\frac{\log(1 - \varepsilon \eta_2 \xi_1)}{\varepsilon} + \tau_1 + \tau_2 \right) t + \left(\eta_1 + \frac{e^{-\alpha_1} \eta_2}{1 - \varepsilon \eta_2 \xi_1} \right) y + \right. \right. \\ &\quad \left. \left. (2 \log[1 - \varepsilon \eta_2 \xi_1] + \alpha_1 + \alpha_2) a + \left(\frac{e^{-\alpha_2} \xi_1}{1 - \varepsilon \eta_2 \xi_1} + \xi_2 \right) x \right. \right. \\ &\quad \left. \left. \right] / \cdot (\tau | \eta | \alpha | \xi)_{12} \rightarrow 0 \end{aligned}$$

$$\begin{aligned} &2 a^4 t^2 x y^3 + 4 t x^2 y^4 - 16 a t x^2 y^4 + 24 a^2 t x^2 y^4 - 16 a^3 t x^2 y^4 + \\ &4 a^4 t x^2 y^4 + 16 x^3 y^3 - 32 a x^3 y^3 + 24 a^2 x^3 y^3 - 8 a^3 x^3 y^3 + a^4 x^3 y^3 + \\ &2 a^4 t x y^3 \varepsilon - 8 a^5 t x y^3 \varepsilon + 8 x^2 y^4 \varepsilon - 40 a x^2 y^4 \varepsilon + 80 a^2 x^2 y^4 \varepsilon - \\ &80 a^3 x^2 y^4 \varepsilon + 40 a^4 x^2 y^4 \varepsilon - 8 a^5 x^2 y^4 \varepsilon - 4 a^3 x y^3 \varepsilon^2 + 8 a^6 x y^3 \varepsilon^2 \end{aligned}$$

Note 2. We could have done similarly with $e^{\tau_1 t} e^{\eta_1 y} e^{\alpha_1 a} e^{\xi_1 x} = e^{\tau t + \eta y + \alpha a + \xi x}$, and with $S(e^{\tau_1 t} e^{\eta_1 y} e^{\alpha_1 a} e^{\xi_1 x}), \Delta(e^{\tau_1 t} e^{\eta_1 y} e^{\alpha_1 a} e^{\xi_1 x}), \prod_{i=1}^5 e^{\tau_i t} e^{\eta_i y} e^{\alpha_i a} e^{\xi_i x}$.

Note 3. Identifying f with D_{ε} (and likewise for α), we find that $\delta \Phi = \dots$

Note 4. The two great evils of mathematics are non-commutativity and non-linearity. We traded one for the other.

Fact. $R_{12} \rightarrow \exp(\partial_{\tau_1} \partial_{\alpha_2} + \partial_{y_1} \partial_{x_2})(1 + \sum_{d \geq 1} \varepsilon^d p_d)$, where the p_d are computable polynomials of a-priori bounded degrees.

Moral. We need to understand the pushforwards via maps like Φ of (formally ∞ -order) "differential operators at 0", that in themselves are perturbed Gaussians. This turns out to be the same problem as "0-dimensional QFT" (except no integration is ever needed), and if $\varepsilon^{k+1} = 0$, it is explicitly soluble.

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dog·ma (dɒgˈmɑː, dɒgˈ-) The Free Dictionary, [oeft/TFD](#)

n. pl. dog·mas or dog·ma·ta (-ma-tə)

1. a doctrine or a corpus of doctrines relating to matters such as morality and faith, set forth in an authoritative manner by a religion.
2. a principle or statement of ideas, or a group of such principles or statements, especially when considered to be authoritative or accepted uncritically: "Much education consists in the instilling of unfounded dogmas in place of a spirit of inquiry" (Bertrand Russell).

diagram	n_i^f	Alexander's ω^+ Today's / Rozansky's ρ_i^+	unknotting number / amphicheiral	genus / ribbon	diagram	n_i^f	Alexander's ω^+ Today's / Rozansky's ρ_i^+	unknotting number / amphicheiral	genus / ribbon
	0	1	0 / ✓	0 / ✓		3	$t - 1$	1 / X	1 / X
	4	$3 - t$	1 / X	1 / X		5	$t^2 - t + 1$	2 / X	2 / X
	0		1 / ✓	1 / ✓		6	$2t^2 + 3t$	2 / X	2 / X
	5	$2t - 3$	1 / X	1 / X		6	$5 - 2t$	1 / ✓	1 / ✓
	5	$t - 4$	1 / X	1 / X		7	$t - 4$	1 / X	1 / X