



## Knot Invariants from Finite Dimensional Integration

**Abstract.** For the purpose of today, an "I-Type Knot Invariant" is a knot invariant computed from a knot diagram by integrating the exponential of a Lagrangian which is a sum over the features of that diagram (crossings, edges, faces) of locally defined quantities, over a product of finite dimensional spaces associated to those same features.

**Q.** Are there any such things?

**A.** Yes.

**Q.** Are they any good?

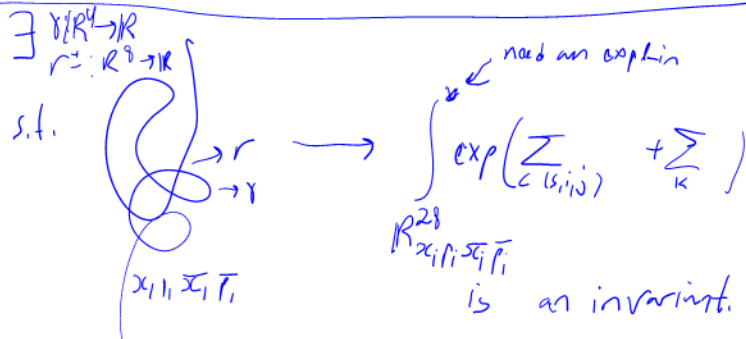
**A.** They are the strongest we know per CPU cycle, and are excellent in other ways too.

**Q.** Didn't Witten do that back in 1988 with path integrals?

**A.** No. His constructions are infinite dimensional and far from rigorous.

**Q.** But integrals belong in analysis!

**A.** Ours only use squeaky-clean algebra.



There is in once


Formulas of  $\gamma, r^\pm$ .

So what? \* yet another philosophy for invariants.

\* strongest per CPU cycle?

\* Easy, despite appearances.

\* Has applications to topology, many have crazy good ones (not today, but see...)

Knots:  / R123 invariants something simple  
 Knot table in background.

The good: 1. At the cost of low dim top  
 2. "Invariants" connect to pretty much all of algebra

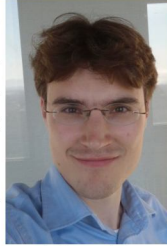
The ugly: 1 & 2 don't talk well to each other  
 \* Not enough topological applications of all these invariants  
 \* The fancy algebra doesn't come naturally to ~ topologist.

⇒ we're still missing something about the relationship between knots & algebra



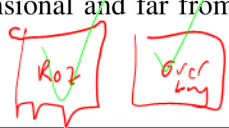
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joint with R. van der Veen

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## Knots.



invariants → Somethings simple: Numbers, polynomials, matrices, etc.

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The sl<sub>2</sub> example (R<sub>p<sub>4</sub>x<sub>4</sub></sub> block (all))

blue  $r^+(p_3, p_7, x_3, x_7)$

green  $r^+(p_6, p_2, x_6, x_2)$

red  $r^+(p_1, p_5, x_1, x_5)$

measure: Euclidean  $2\pi$

$\int_{\mathbb{R}^{14}} \exp(i \int d\theta d\psi + d\phi d\eta) d\mu$

optional measure: Euclidean  $(2\pi)^7$

with  $d(X) = \dots$   
 $d(C) = \dots$

So  $Z = \int_{\mathbb{R}^{14}} \exp(\dots) =$

## (Alternative) Gaussian Integration.

**Goal.** Compute

$$I_1(0) := \int_{\mathbb{R}^n} d^{\times}x \exp\left(-\frac{1}{2}a^{ij}x_i x_j + V(x)\right).$$

**Solution.** Set

$$I_\lambda(x) := \int_{\mathbb{R}^n} d^{\times}y \exp\left(-\frac{1}{2\lambda}a^{ij}y_i y_j + V(x+y)\right).$$

Then  $I_1(0)$  is what we want,  $I_0(x) = (\det A)^{-1/2} \exp V(x)$ , and

$$\partial_\lambda I_\lambda(x) = \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} d^{\times}y a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda}a^{ij}y_i y_j + V(x+y)\right)$$

While with  $g_{ij}$  the inverse matrix of  $a^{ij}$ , and noting that

$$\frac{1}{2}g_{ij}\partial_{x_i}\partial_{x_j}I_\lambda(x) =$$

$$\frac{1}{2} \int_{\mathbb{R}^n} d^{\times}y g_{ij}(\partial_{x_i} - \partial_{y_i})(\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda}a^{ij}y_i y_j + V(x+y)\right)$$

$$= \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} d^{\times}y a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda}a^{ij}y_i y_j + V(x+y)\right).$$

Hence

$$\partial_\lambda I_\lambda(x) = \frac{1}{2}g_{ij}\partial_{x_i}\partial_{x_j}I_\lambda(x),$$

and therefore

$$I_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2}g_{ij}\partial_{x_i}\partial_{x_j}\right) \exp V(x).$$

## References.

[CC] D. Cimasoni, A. Conway, *Colored Tangles and Signatures*, Math. Proc. Camb. Phil. Soc. **164** (2018) 493–530, [arXiv:1507.07818](#).

[Co] A. Conway, *The Levine-Tristram Signature: A Survey*, [arXiv:1903.04477](#).

[GG] J.-M. Gambaudo, É. Ghys, *Braids and Signatures*, Bull. Soc. Math. France **133-4** (2005) 541–579.

[Ka] R. Kashaev, *On Symmetric Matrices Associated with Oriented Link Diagrams*, in *Topology and Geometry, A Collection of Essays Dedicated to Vladimir G. Turaev*, EMS Press 2021, [arXiv:1801.04632](#).

[Li] J. Liu, *A Proof of the Kashaev Signature Conjecture*, [arXiv:2311.01923](#).

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**Acknowledgement.** This work was partially supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

Better the Goal.





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joint with R. van der Veen



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- Not enough topological applications for all these invariants.
- The fancy algebra doesn't arise naturally within topology.

⇒ We're still missing something about the relationship between knots and algebra.

**The  $sl_2^{\epsilon^2}$  Example.** With  $T$  an indeterminate and with  $\epsilon^2 = 0$ :

$$Z = \int_{\mathbb{R}^{14}_{p_i x_i}} \mathcal{L}(X_{15}^+) \mathcal{L}(X_{62}^+) \mathcal{L}(X_{37}^+) \mathcal{L}(C_4^{-1})$$

where  $\mathcal{L}(X_{ij}^s) = T^{s/2} e^{iL(X_{ij}^s)}$  and  $\mathcal{L}(C_i^\varphi) = T^{\varphi/2} e^{iL(C_i^\varphi)}$  ( $i = \sqrt{-1}$  is optional), and

$$L(X_{ij}^s) = x_i(p_{i+1} - p_i) + x_j(p_{j+1} - p_j) + (T^s - 1)x_i(p_{i+1} - p_{j+1}) + \frac{\epsilon s}{2} \left( x_i(p_i - p_j) \left( (T^s - 1)x_i p_j + 2(1 - x_j p_j) \right) \right)$$

$$L(C_i^\varphi) = x_i(p_{i+1} - p_i) + \epsilon \varphi (1/2 - x_i p_i)$$

improve the green

So  $Z = T \int e^{iL(\otimes)} dp_1 \dots dp_7 dx_1 \dots dx_7$ , where  $L(\otimes) =$

$$\sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \left( \begin{matrix} x_1(p_1 - p_5)((T^s - 1)x_1 p_5 + 2(1 - x_5 p_5)) \\ + x_6(p_6 - p_2)((T^s - 1)x_6 p_2 + 2(1 - x_2 p_2)) \\ + x_3(p_3 - p_7)((T^s - 1)x_3 p_7 + 2(1 - x_7 p_7)) \\ + 2x_4 p_4 - 1 \end{matrix} \right)$$

and so  $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^{-2}}\right)$ . Here  $\Delta$  is the Alexander polynomial and  $\rho_1$  is the Rozansky-Overbay polynomial [Ro1, Ro2, Ro3, Ov].

**Theorem.**  $Z$  is a knot invariant.

**Proof.** Use Fubini (details later).



Guido Fubini

To do. \* Human hard yet computer very easy (polynomial time!).  
\* strong  
\* proof of Theorem.  
\* where is it coming from? ✓  
\* Is there more like it?  
\* philosophical point: universal invariants are qualitatively better than rep-theory ones.



**(Alternative) Gaussian Integration.**

**Goal.** Compute  $I_1(0) := \int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$ .

change I to Z

Move to first page

**Solution.** Set  $I_\lambda(x) := \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$ .

Then  $I_1(0)$  is what we want,  $I_0(x) = (\det A)^{-1/2} \exp V(x)$ , and with  $g_{ij}$  the inverse matrix of  $a^{ij}$  and noting that under the  $dy$  integral

$$\begin{aligned} \partial_y = 0, & \quad \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} I_\lambda(x) \\ = \frac{1}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i}) (\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ = \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \partial_\lambda I_\lambda(x) \end{aligned}$$

Include pictures of Gauss & Feynman

Hence  $\partial_\lambda I_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} I_\lambda(x)$ ,

and therefore  $I_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x)$ .

and we've just witnessed the birth of Feynman diagram.  
Even better with  $Z = \log Z$ , by a simple substitution, [The synthesis eqn]

**References.**

[Ov] A. Overbay, *Perturbative Expansion of the Colored Jones Polynomial*, Ph.D. thesis, University of North Carolina, August 2013, [omega/Ov](#).

[Ro1] L. Rozansky, *A Contribution of the Trivial Flat Connection to the Jones Polynomial and Witten's Invariant of 3D Manifolds, I*, *Comm. Math. Phys.* **175-2** (1996) 275–296, [arXiv:hep-th/9401061](#).

[Ro2] L. Rozansky, *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, *Adv. Math.* **134-1** (1998) 1–31, [arXiv:q-alg/9604005](#).

[Ro3] L. Rozansky, *A Universal U(1)-RCC Invariant of Links and Rationality Conjecture*, [arXiv:math/0201139](#).

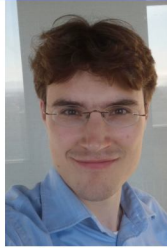
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Include a chain implementation of  $S_1$ , up to  $R_3$ .

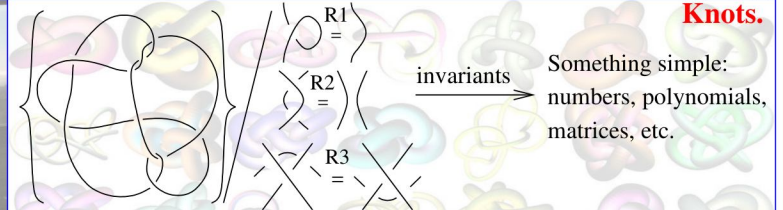


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Continues Rozansky Overbay

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## (Alternative) Gaussian Integration.



**Goal.** Compute  $\int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$ .

**Solution.** Set  $\mathcal{Z}_\lambda(x) := \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$ .

Then  $\mathcal{Z}_1(0)$  is what we want,  $\mathcal{Z}_0(x) = (\det A)^{-1/2} \exp V(x)$ , and with  $g_{ij}$  the inverse matrix of  $a^{ij}$  and noting that under the  $dy$  integral  $\partial_y = 0$ , *note*  $\frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x)$

$$= \frac{1}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i})(\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \partial_\lambda \mathcal{Z}_\lambda(x)$$

Hence  $(*) \quad \partial_\lambda \mathcal{Z}_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x)$

and therefore  $\mathcal{Z}_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x)$ .

We've just witnessed the birth of “Feynman Diagrams”.

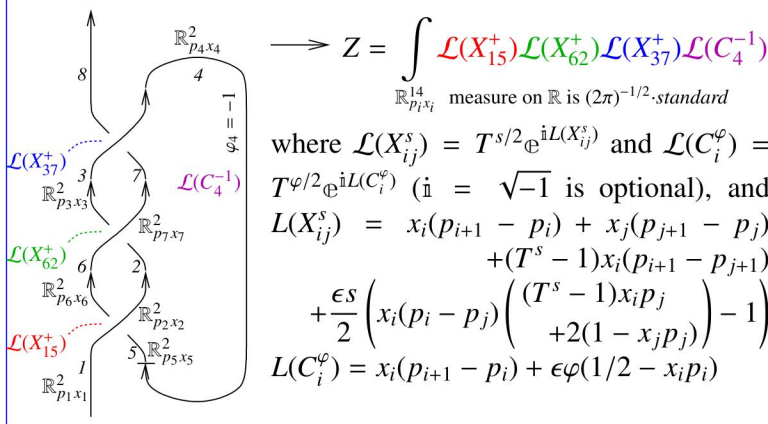
**Even better.** With  $Z := \log(\sqrt{\det A} \mathcal{Z})$ , by a simple substitution into (\*), we get the “Synthesis Equation”:

$$Z_0 = V, \quad \partial_\lambda Z_\lambda = \frac{1}{2} \sum_{i,j=1}^n g_{ij} (\partial_{x_i x_j} Z_\lambda + (\partial_{x_i} Z_\lambda)(\partial_{x_j} Z_\lambda))$$

an ODE (in  $\lambda$ ) whose solution is pure algebra.

*Picard's method* *Picard*

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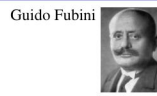


So  $Z = T \int e^{iL(\otimes)} dp_1 \dots dp_7 dx_1 \dots dx_7$ , where  $L(\otimes) =$

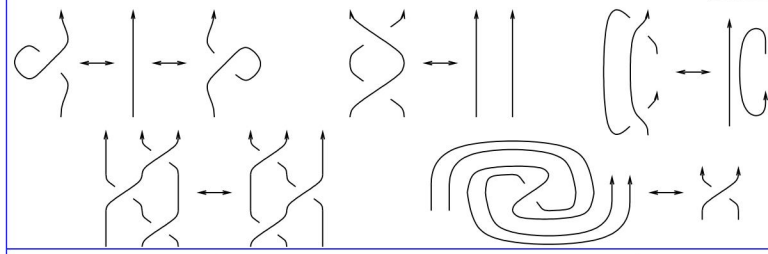
$$\sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \begin{pmatrix} x_1(p_1 - p_5)((T^s - 1)x_1 p_5 + 2(1 - x_5 p_5)) - 1 \\ + x_6(p_6 - p_2)((T^s - 1)x_6 p_2 + 2(1 - x_2 p_2)) - 1 \\ + x_3(p_3 - p_7)((T^s - 1)x_3 p_7 + 2(1 - x_7 p_7)) - 1 \\ + 2x_4 p_4 - 1 \end{pmatrix}$$

and so  $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^{-2}}\right)$ . Here  $\Delta$  is the Alexander polynomial and  $\rho_1$  is the Rozansky-Overbay polynomial [Ro, Ov, BV].

**Theorem.**  $Z$  is a knot invariant.



**Proof.** Use Fubini (details later).



- To Do.** • Human-hard but computer-very-easy (poly time!).
- Strong! • Details of the proof. • Where is it coming from?
- A philosophical point: “Universal invariants” are qualitatively better than representation theory ones.

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## Preliminaries

This is IType.nb of <http://drorbn.net/g24/ap>.

Once [`<< KnotTheory``; `<< Rot.m`];

Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

Loading Rot.m from

<http://drorbn.net/AP/Talks/Groningen-240530>

to compute rotation numbers.

```
CF[ω_. ε_ E] := CF[ω] × CF / @ ε;
```

```
CF[ε_List] := CF / @ ε;
```

```
CF[ε_] :=
```

```
Module[
```

```
{vs = Cases[ε, (x | p | ξ | π)_, ∞] ∪ {x, p, ε},
ps, c},
```

```
Total[CoefficientRules[Expand[ε], vs] /.
```

```
(ps_ → c_) ⇒ Factor[c] (Times @@ vsps) ]];
```

## Integration

Using Picard Iteration!

```
E /: E[A_] × E[B_] := E[A + B];
```

```
$π = Identity; (* hacks in pink *)
```

```
Unprotect[Integrate];
```

```
∫ ω_. E[L_] d(vs_List) :=
```

```
Module[{n, L0, Q, Δ, G, Z, e, λ, DZ, a, b},
```

```
n = Length@vs; L0 = L /. ε → 0;
```

```
Q = Table[(-∂vs[[a]], vs[[b]] L0) /. Thread[vs → 0] /.
```

```
(p | x) → 0, {a, n}, {b, n}];
```

```
If[(Δ = Det[Q]) == 0, Return@"Degenerate Q!"];
```

```
Z = CF@$π[L + vs.Q.vs / 2]; G = Inverse[Q];
```

```
DZa := ∂vs[[a]] Z; DZa,b := ∂vs[[b]] DZa;
```

```
While[e = CF@$π[
```

$$\left(\partial_\lambda Z\right) - \frac{1}{2} \sum_{a=1}^n \sum_{b=1}^n G[[a, b]] (DZ_{a,b} + DZ_a DZ_b) ];$$

$$\theta \neq e, Z \leftarrow \int_\theta^\lambda e \, d\lambda$$

```
];
```

```
PowerExpand@Factor[ω Δ-1/2] ×
```

```
E[CF[Z /. λ → 1 /. Thread[vs → 0]]]
```

```
];
```

```
Protect[Integrate];
```

$$\int \mathbb{E} \left[ \mathbf{i} \mu \mathbf{x}^2 / 2 + \mathbf{i} \xi \mathbf{x} \right] d\{\mathbf{x}\}$$

$$\frac{(-1)^{1/4} \mathbb{E} \left[ -\frac{\mathbf{i} \xi^2}{2\mu} \right]}{\sqrt{\mu}}$$

$$L = -\frac{1}{2} \{x_1, x_2\} \cdot \begin{pmatrix} a & b \\ b & c \end{pmatrix} \cdot \{x_1, x_2\} + \{\xi_1, \xi_2\} \cdot \{x_1, x_2\};$$

$$Z_{12} = \int \mathbb{E}[L] d\{x_1, x_2\}$$

$$\frac{\mathbb{E} \left[ \frac{c \xi_1^2}{2(-b^2+ac)} + \frac{b \xi_1 \xi_2}{b^2-ac} + \frac{a \xi_2^2}{2(-b^2+ac)} \right]}{\sqrt{-b^2+ac}}$$

$$\{Z_1 = \int \mathbb{E}[L] d\{x_1\}, Z_{12} = \int Z_1 d\{x_2\}\}$$

$$\left\{ \frac{\mathbb{E} \left[ -\frac{(-b^2+ac)x_2^2}{2a} - \frac{bx_2\xi_1}{a} + \frac{\xi_1^2}{2a} + x_2\xi_2 \right]}{\sqrt{a}}, \text{True} \right\}$$

$$\$π = \text{Normal}[\# + 0[\epsilon]^{13}] \&; \int \mathbb{E}[-x^2/2 + \epsilon x^3/6] d\{x\}$$

$$\mathbb{E} \left[ \frac{5\epsilon^2}{24} + \frac{5\epsilon^4}{16} + \frac{1105\epsilon^6}{1152} + \frac{565\epsilon^8}{128} + \frac{82825\epsilon^{10}}{3072} + \frac{19675\epsilon^{12}}{96} \right]$$

From <https://oeis.org/A226260>:

0 1 3 6 2 7  
: 13  
: 20  
23 12  
10 22 11 21

THE ON-LINE ENCYCLOPEDIA  
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(Greetings from [The On-Line Encyclopedia of Integer Sequences!](#))

A226260 Numerators of mass formula for connected vacuum graphs on 2n nodes for a phi^3 field theory.  
1, 5, 5, 1105, 565, 82825, 19675, 1282031525, 80727925, 1683480621875, 13209845125,  
2239646759308375, 19739117098375, 6320791709083309375, 32468078556378125, 38362676768845045751875,  
281365778405032973125, 2824650747089425586152484375, 776632157034116712734375 ([list](#); [graph](#); [refs](#); [listen](#);  
[history](#); [text](#); [internal format](#))

```
K = Knot[3, 1]; Features[K]
```

```
Features[7, C4[-1] X2,6[-1] X5,1[-1] X7,3[-1]]
```

$$\mathcal{L}[X_{i,j}[s_-]] := T^{s/2} \mathbb{E} \left[ \begin{aligned} & x_i (p_{i+1} - p_i) + x_j (p_{j+1} - p_j) + \\ & (T^s - 1) x_i (p_{i+1} - p_{j+1}) \\ & + \\ & \frac{\epsilon s}{2} (x_i (p_i - p_j) ((T^s - 1) x_i p_j + 2(1 - x_j p_j)) - \\ & 1) \end{aligned} \right];$$

```
ℒ[Ci[φ-]] :=
```

$$T^{\varphi/2} \mathbb{E} [x_i (p_{i+1} - p_i) + \epsilon \varphi (1/2 - x_i p_i)];$$

```
ℒ[K-] := CF[ℒ / @ Features[K][[2]]];
```

```
vs[K-] :=
```

```
Union@@Table[{pi, xi}, {i, Features[K][[1]]}];
```



$\{vs[K], \mathcal{L}[K]\}$

$$\left\{ \{p_1, p_2, p_3, p_4, p_5, p_6, p_7, x_1, x_2, x_3, x_4, x_5, x_6, x_7\}, \right. \\ \left. \frac{1}{T^2} \mathbb{E} \left[ \begin{aligned} & -p_1 x_1 + p_2 x_1 - p_2 x_2 - \in p_2 x_2 + \frac{p_3 x_2}{T} + \\ & \in p_6 x_2 + \frac{(-1+T) p_7 x_2}{T} + \frac{(-1+T) \in p_2 p_6 x_2^2}{2T} - \\ & \frac{(-1+T) \in p_6^2 x_2^2}{2T} - p_3 x_3 + p_4 x_3 - p_4 x_4 + \in p_4 x_4 + \\ & p_5 x_4 + \in p_1 x_5 + \frac{(-1+T) p_2 x_5}{T} - p_5 x_5 - \in p_5 x_5 + \\ & \frac{p_6 x_5}{T} - \in p_1^2 x_1 x_5 + \in p_1 p_5 x_1 x_5 - \frac{(-1+T) \in p_1^2 x_5^2}{2T} + \\ & \frac{(-1+T) \in p_1 p_5 x_5^2}{2T} - p_6 x_6 + p_7 x_6 + \in p_2 p_6 x_2 x_6 - \\ & \in p_6^2 x_2 x_6 + \in p_3 x_7 + \frac{(-1+T) p_4 x_7}{T} - p_7 x_7 - \\ & \in p_7 x_7 + \frac{p_8 x_7}{T} - \in p_3^2 x_3 x_7 + \in p_3 p_7 x_3 x_7 - \\ & \left. \frac{(-1+T) \in p_3^2 x_7^2}{2T} + \frac{(-1+T) \in p_3 p_7 x_7^2}{2T} \right] \right\} \end{aligned}$$

$$\$ \pi = \text{Normal}[\# + 0[\epsilon]^2] \&; \int \mathcal{L}[K] \, d\mathbb{1}(vs@K)$$

$$\frac{i T \mathbb{E} \left[ \frac{(-1+T)^2 (1+T^2) \in}{(1-T+T^2)^2} \right]}{1 - T + T^2}$$

$$\text{lhs} = \int (\mathcal{L} / @ (X_{i,j}[1] X_{i+1,k}[1] X_{j+1,k+1}[1]))$$

$$d\mathbb{1}\{x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, x_{j+1}, x_{k+1}\}$$

$$\text{rhs} = \int (\mathcal{L} / @ (X_{j,k}[1] X_{i,k+1}[1] X_{i+1,j+1}[1]))$$

$$d\mathbb{1}\{x_i, x_j, x_k, x_{i+1}, p_{i+1}, p_{j+1}, p_{k+1}, x_{j+1}, x_{k+1}\};$$

lhs == rhs

Degenerate Q!

True

lhs =

$$\int (\mathbb{E}[\pi_i p_i + \pi_j p_j + \pi_k p_k] \times$$

$$\mathcal{L} / @ (X_{i,j}[1] X_{i+1,k}[1] X_{j+1,k+1}[1]))$$

$$d\mathbb{1}\{p_i, p_j, p_k, x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, \\ x_{j+1}, x_{k+1}\}$$

rhs =

$$\int (\mathbb{E}[\pi_i p_i + \pi_j p_j + \pi_k p_k] \times$$

$$\mathcal{L} / @ (X_{j,k}[1] X_{i,k+1}[1] X_{i+1,j+1}[1]))$$

$$d\mathbb{1}\{p_i, p_j, p_k, x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, \\ x_{j+1}, x_{k+1}\};$$

lhs == rhs

$$T^{3/2} \mathbb{E} \left[ -\frac{3\in}{2} + T^2 p_{2+i} \pi_i - \right.$$

$$(-1+T) T p_{2+j} \pi_i + T^2 \in p_{2+j} \pi_i + (1-T) p_{2+k} \pi_i +$$

$$T \in p_{2+k} \pi_i + \frac{1}{2} (-1+T) T^3 \in p_{2+i} p_{2+j} \pi_i^2 -$$

$$\frac{1}{2} (-1+T) T^3 \in p_{2+j}^2 \pi_i^2 + \frac{1}{2} (-1+T) T^2 \in p_{2+i} p_{2+k} \pi_i^2 -$$

$$\frac{1}{2} (-1+T)^2 T \in p_{2+j} p_{2+k} \pi_i^2 - \frac{1}{2} (-1+T) T \in p_{2+k}^2 \pi_i^2 +$$

$$T p_{2+j} \pi_j - T \in p_{2+j} \pi_j + (1-T) p_{2+k} \pi_j +$$

$$(-1+2T) \in p_{2+k} \pi_j - T^3 \in p_{2+i} p_{2+j} \pi_i \pi_j +$$

$$T^3 \in p_{2+j}^2 \pi_i \pi_j + (-1+T) T^2 \in p_{2+i} p_{2+k} \pi_i \pi_j -$$

$$(-1+T)^2 T \in p_{2+j} p_{2+k} \pi_i \pi_j - (-1+T) T \in p_{2+k}^2 \pi_i \pi_j +$$

$$\frac{1}{2} (-1+T) T \in p_{2+j} p_{2+k} \pi_j^2 - \frac{1}{2} (-1+T) T \in p_{2+k}^2 \pi_j^2 +$$

$$p_{2+k} \pi_k - 2 \in p_{2+k} \pi_k - T^2 \in p_{2+i} p_{2+k} \pi_i \pi_k +$$

$$(-1+T) T \in p_{2+j} p_{2+k} \pi_i \pi_k + T \in p_{2+k}^2 \pi_i \pi_k -$$

$$T \in p_{2+j} p_{2+k} \pi_j \pi_k + T \in p_{2+k}^2 \pi_j \pi_k \left. \right]$$

True

## References.

[BV] D. Bar-Natan and R. van der Veen, *A Polynomial Time Knot Polynomial*, Proc. Amer. Math. Soc. **147** (2019) 377–397, [arXiv:1708.04853](https://arxiv.org/abs/1708.04853); *A Perturbed-Alexander Invariant*, to appear in Quantum Topology, [oeβ/APAI](https://arxiv.org/abs/1905.04401).

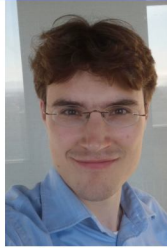
[Ov] A. Overbay, *Perturbative Expansion of the Colored Jones Polynomial*, Ph.D. thesis, University of North Carolina, August 2013, [oeβ/Ov](https://arxiv.org/abs/1308.0440).

[Ro] L. Rozansky, *A Contribution of the Trivial Flat Connection to the Jones Polynomial and Witten's Invariant of 3D Manifolds, I*, Comm. Math. Phys. **175-2** (1996) 275–296, [arXiv:hep-th/9401061](https://arxiv.org/abs/hep-th/9401061); *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, Adv. Math. **134-1** (1998) 1–31, [arXiv:q-alg/9604005](https://arxiv.org/abs/q-alg/9604005); *A Universal U(1)-RCC Invariant of Links and Rationality Conjecture*, [arXiv:math/0201139](https://arxiv.org/abs/math/0201139).



# Knot Invariants from Finite Dimensional Integration

**Abstract.** For the purpose of today, an “I-Type Knot Invariant” is a knot invariant computed from a knot diagram by integrating the exponential of a Lagrangian which is a sum over the features of that diagram (crossings, edges, faces) of locally defined quantities, over a product of finite dimensional spaces associated to those same features.



joint with R. van der Veen

**Q.** Are there any such things? **A.** Yes.

**Q.** Are they any good? **A.** They are the strongest we know per CPU cycle, and are excellent in other ways too.

**Q.** Didn't Witten do that back in 1988 with path integrals?

**A.** No. His constructions are infinite dimensional and far from rigorous.

**Q.** But integrals belong in analysis!

**A.** Ours only use squeaky-clean algebra.



**The  $sl_2^{\epsilon^2}$  Example.** With  $T$  an indeterminate and with  $\epsilon^2 = 0$ :

$\mathbb{R}^{14}_{p_i x_i}$  measure on  $\mathbb{R}$  is  $(2\pi)^{-1/2}$ -standard

where  $\mathcal{L}(X_{ij}^s) = T^{s/2} e^{iL(X_{ij}^s)}$  and  $\mathcal{L}(C_i^\varphi) = T^{\varphi/2} e^{iL(C_i^\varphi)}$  ( $i = \sqrt{-1}$  is optional), and

$$L(X_{ij}^s) = x_i(p_{i+1} - p_i) + x_j(p_{j+1} - p_j) + (T^s - 1)x_i(p_{i+1} - p_{j+1}) + \frac{\epsilon s}{2} \left( x_i(p_i - p_j) \left( \begin{matrix} (T^s - 1)x_i p_j \\ + 2(1 - x_j p_j) \end{matrix} \right) - 1 \right)$$

$$L(C_i^\varphi) = x_i(p_{i+1} - p_i) + \epsilon\varphi(1/2 - x_i p_i)$$

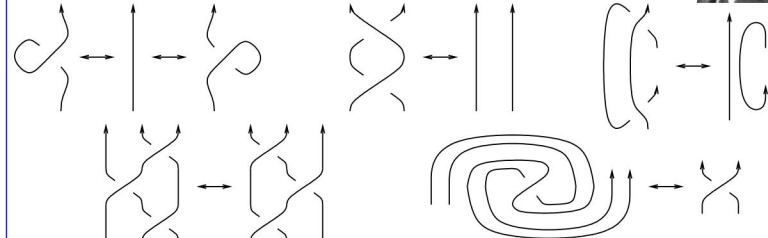
So  $Z = T \int e^{iL(\otimes)} dp_1 \dots dp_7 dx_1 \dots dx_7$ , where  $L(\otimes) =$

$$\sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \begin{pmatrix} x_1(p_1 - p_5)((T^s - 1)x_1 p_5 + 2(1 - x_5 p_5)) - 1 \\ + x_6(p_6 - p_2)((T^s - 1)x_6 p_2 + 2(1 - x_2 p_2)) - 1 \\ + x_3(p_3 - p_7)((T^s - 1)x_3 p_7 + 2(1 - x_7 p_7)) - 1 \\ + 2x_4 p_4 - 1 \end{pmatrix}$$

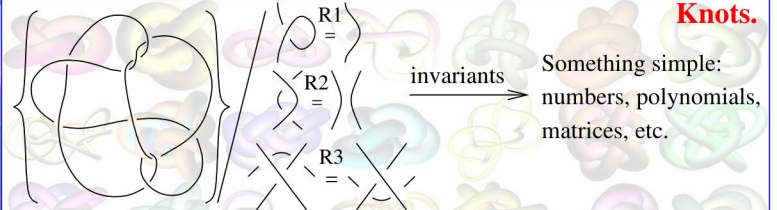
and so  $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^{-2}}\right)$ . Here  $\Delta$  is the Alexander polynomial and  $\rho_1$  is the Rozansky-Overbay polynomial [Ro, Ov, BV].

**Theorem.**  $Z$  is a knot invariant.

**Proof.** Use Fubini (details later).



**To Do.** • Human-hard but computer-very-easy (poly time!).  
• Strong! • Details of the proof. • Where is it coming from?  
• A philosophical point: “Universal invariants” are qualitatively better than representation theory ones.



**The Good.** 1. At the centre of low dimensional topology. 2. “Invariants” connect to pretty much all of algebra.

**The Agony.** 1&2 don't talk to each other.  
• Not enough topological applications for all these invariants.  
• The fancy algebra doesn't arise naturally within topology.  
⇒ We're still missing something about the relationship between knots and algebra.

## (Alternative) Gaussian Integration.



**Goal.** Compute  $\int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$ .

**Solution.** Set  $\mathcal{Z}_\lambda(x) := \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$ . Then  $\mathcal{Z}_1(0)$  is what we want,  $\mathcal{Z}_0(x) = (\det A)^{-1/2} \exp V(x)$ , and with  $g_{ij}$  the inverse matrix of  $a^{ij}$  and noting that under the  $dy$  integral  $\partial_y = 0$ ,

$$\frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x) = \frac{1}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i})(\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \partial_\lambda \mathcal{Z}_\lambda(x)$$

Hence  $(*) \partial_\lambda \mathcal{Z}_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x)$ , and therefore  $\mathcal{Z}_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x)$ .

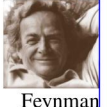
We've just witnessed the birth of “Feynman Diagrams”.

**Even better.** With  $Z := \log(\sqrt{\det A} \mathcal{Z})$ , by a simple substitution into  $(*)$ , we get the “Synthesis Equation”:

$$Z_0 = V, \quad \partial_\lambda Z_\lambda = \frac{1}{2} \sum_{i,j=1}^n g_{ij} (\partial_{x_i x_j} Z_\lambda + (\partial_{x_i} Z_\lambda)(\partial_{x_j} Z_\lambda)),$$

an ODE (in  $\lambda$ ) whose solution is pure algebra.

**Picard Iteration** (used to prove the existence and uniqueness of solutions of ODEs). To solve  $\partial_\lambda f_\lambda = F(f_\lambda)$  with a given  $f_0$ , start with  $f_0$ , iterate  $f \mapsto f_0 + \int_0^\lambda F(f_\lambda) d\lambda$ , and seek a fixed point. In our cases, it is always reached after finitely many iterations!



**Acknowledgement.** This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

*Disclaimer! It's fun, but not fully ready*



# Preliminaries

This is IType.nb of <http://drorbn.net/g24/ap>.

Once [`<< KnotTheory``; `<< Rot.m`];

Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

Loading Rot.m from

<http://drorbn.net/AP/Talks/Groningen-240530>  
to compute rotation numbers.

```
CF[ω_ . ε_ E] := CF[ω] × CF / @ ε;
CF[ε_List] := CF / @ ε;
CF[ε_] :=
Module[
{vs = Cases[ε, {x | p | s | π}_, ∞] U {x, p, ε},
ps, c},
Total[CoefficientRules[Expand[ε], vs] /.
(ps_ → c_) ⇒ Factor[c] (Times @@ vs^ps)]];

```

*Handwritten notes:* A red arrow points to the `Module` block. A green checkmark is next to the `CF[ε_]` definition. A red scribble is next to the `vs` list.

# Integration

Using Picard Iteration!

$E /: E[A_] \times E[B_] := E[A + B];$

$\$π = Identity;$  (\* hacks in pink \*)

Unprotect[Integrate];

```
∫ ω_ . E[L_] d(vs_List) :=
Module[{n, L0, Q, Δ, G, Z0, Z, λ, DZ, FZ, a, b},
n = Length@vs; L0 = L /. ε → 0;
Q = Table[(-∂vs[[a]], vs[[b]] L0) /. Thread[vs → 0] /.
{p | x} → 0, {a, n}, {b, n}];
If[(Δ = Det[Q]) == 0, Return["Degenerate Q!"];
Z = Z0 = CF@$π[L + vs.Q.vs / 2]; G = Inverse[Q];
DZa_ := ∂vs[[a]] Z; DZa_b_ := ∂vs[[b]] DZa;
FZ := CF@$π[1/2 ∑_{a=1}^n ∑_{b=1}^n G[[a, b]] (DZa_b + DZa DZb)];
FixedPoint[(Z = Z0 + ∫_0^λ FZ dλ) &, Z];
PowerExpand@Factor[ω Δ^{-1/2}] ×
E[CF[Z /. λ → 1 /. Thread[vs → 0]]];

```

*Handwritten notes:* A red arrow points to the `E[CF[Z ...]]` line. A green checkmark is next to it.

Protect[Integrate];

```
∫ E[i μ x^2 / 2 + i ε x] d{x}
(-1)^{1/4} E[-i ε^2 / (2 μ)] / √μ
L = -1/2 {x1, x2} . (a b / b c) . {x1, x2} + {ε1, ε2} . {x1, x2};
Z12 = ∫ E[L] d{x1, x2}

```

*Handwritten notes:* A red circle around `i μ x^2`. A red arrow points to the integral. A green checkmark and the text "no! here!" are next to it.

$$\mathbb{E} \left[ \frac{c \xi_1^2}{2(-b^2+ac)} + \frac{b \xi_1 \xi_2}{b^2-ac} + \frac{a \xi_2^2}{2(-b^2+ac)} \right]$$

$$\{Z1 = \int \mathbb{E}[L] d\{x_1\}, Z12 = \int Z1 d\{x_2\}\}$$

$$\left\{ \frac{\mathbb{E} \left[ -\frac{(-b^2+ac) x_2^2}{2a} - \frac{b x_2 \xi_1}{a} + \frac{\xi_1^2}{2a} + x_2 \xi_2 \right]}{\sqrt{a}}, \text{True} \right\}$$

$$\$π = \text{Normal}[\# + 0[\epsilon]^{13}] \&; \int \mathbb{E}[-x^2/2 + \epsilon x^3/6] d\{x\}$$

$$\mathbb{E} \left[ \frac{5 \epsilon^2}{24} + \frac{5 \epsilon^4}{16} + \frac{1105 \epsilon^6}{1152} + \frac{565 \epsilon^8}{128} + \frac{82825 \epsilon^{10}}{3072} + \frac{19675 \epsilon^{12}}{96} \right]$$

From <https://oeis.org/A226260>:

*Handwritten notes:* "vsk" with a green checkmark.

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(Greetings from The On-Line Encyclopedia of Integer Sequences!)

A226260 Numerators of mass formula for connected vacuum graphs on 2n nodes for a phi^3 field theory.  
1, 5, 5, 1105, 565, 82825, 19675, 1282031525, 80727925, 1683480621875, 13209845125,  
2239646759308375, 19739117098375, 6326791709083309375, 32468078556378125, 38362676768845045751875,  
281365778405032973125, 2824650747089425586152484375, 776632157034116712734375 (list: graph: refs: listen:  
history: text: internal format)

$K = \text{Knot}[3, 1]; \text{Features}[K]$  *The right-handed trefoil*

$\text{Features}[7, C4[-1] X_{2,6}[-1] X_{5,1}[-1] X_{7,3}[-1]]$

```
L[Xi_j_s_] := T^{s/2} E[
Xi (Pi_{i+1} - Pi) + Xj (Pj_{i+1} - Pj) +
(T^s - 1) Xi (Pi_{i+1} - Pj_{i+1}) +
(ε s / 2) ×
(Xi (Pi - Pj) ((T^s - 1) Xi Pj + 2 (1 - Xj Pj)) - 1)]
L[Ci_φ_] := T^{φ/2} E[Xi (Pi_{i+1} - Pi) + ε φ (1/2 - Xi Pi)]
L[K_] := CF[L / @ Features[K] [[2]]]
vs[K_] :=
Join@@ Table[{Pi_i, Xi_i}, {i, Features[K] [[1]]}]

```

$$\{ \{p_1, x_1, p_2, x_2, p_3, x_3, p_4, x_4, p_5, x_5, p_6, x_6, p_7, x_7\}, \frac{1}{T^2} \mathbb{E} \left[ \begin{aligned} & \epsilon - p_1 x_1 + p_2 x_1 - p_2 x_2 - \epsilon p_2 x_2 + \frac{p_3 x_2}{T} + \\ & \epsilon p_6 x_2 + \frac{(-1+T) p_7 x_2}{T} + \frac{(-1+T) \epsilon p_2 p_6 x_2^2}{2T} - \\ & \frac{(-1+T) \epsilon p_6^2 x_2^2}{2T} - p_3 x_3 + p_4 x_3 - p_4 x_4 + \epsilon p_4 x_4 + \\ & p_5 x_4 + \epsilon p_1 x_5 + \frac{(-1+T) p_2 x_5}{T} - p_5 x_5 - \epsilon p_5 x_5 + \\ & \frac{p_6 x_5}{T} - \epsilon p_1^2 x_1 x_5 + \epsilon p_1 p_5 x_1 x_5 - \frac{(-1+T) \epsilon p_1^2 x_5^2}{2T} + \\ & \frac{(-1+T) \epsilon p_1 p_5 x_5^2}{2T} - p_6 x_6 + p_7 x_6 + \epsilon p_2 p_6 x_2 x_6 - \\ & \epsilon p_6^2 x_2 x_6 + \epsilon p_3 x_7 + \frac{(-1+T) p_4 x_7}{T} - p_7 x_7 - \\ & \epsilon p_7 x_7 + \frac{p_8 x_7}{T} - \epsilon p_3^2 x_3 x_7 + \epsilon p_3 p_7 x_3 x_7 - \\ & \frac{(-1+T) \epsilon p_3^2 x_7^2}{2T} + \frac{(-1+T) \epsilon p_3 p_7 x_7^2}{2T} \end{aligned} \right] \}$$



$$\pi = \text{Normal}[\# + 0[\epsilon]^2] \& ; \int \mathcal{L}[K] d(vs@K)$$

Aborted

### Invariance Under Reidemeister 3

$$\text{lhs} = \int (\mathcal{L} / @ (X_{i,j}[1] X_{i+1,k}[1] X_{j+1,k+1}[1]))$$

$$d\{X_i, X_j, X_k, P_{i+1}, P_{j+1}, P_{k+1}, X_{i+1}, X_{j+1}, X_{k+1}\}$$

$$\text{rhs} = \int (\mathcal{L} / @ (X_{j,k}[1] X_{i,k+1}[1] X_{i+1,j+1}[1]))$$

$$d\{X_i, X_j, X_k, X_{i+1}, P_{i+1}, P_{j+1}, P_{k+1}, X_{j+1}, X_{k+1}\};$$

lhs == rhs

Degenerate Q!

True

lhs =

$$\int (\mathbb{E}[\pi_i p_i + \pi_j p_j + \pi_k p_k] \times \mathcal{L} / @ (X_{i,j}[1] X_{i+1,k}[1] X_{j+1,k+1}[1]))$$

$$d\{P_i, P_j, P_k, X_i, X_j, X_k, P_{i+1}, P_{j+1}, P_{k+1}, X_{i+1}, X_{j+1}, X_{k+1}\}$$

rhs =

$$\int (\mathbb{E}[\pi_i p_i + \pi_j p_j + \pi_k p_k] \times \mathcal{L} / @ (X_{j,k}[1] X_{i,k+1}[1] X_{i+1,j+1}[1]))$$

$$d\{P_i, P_j, P_k, X_i, X_j, X_k, P_{i+1}, P_{j+1}, P_{k+1}, X_{i+1}, X_{j+1}, X_{k+1}\};$$

lhs == rhs

$$T^{3/2} \mathbb{E} \left[ -\frac{3\epsilon}{2} + T^2 p_{2+i} \pi_i - (-1+T) T p_{2+j} \pi_i + T^2 \epsilon p_{2+j} \pi_i + (1-T) p_{2+k} \pi_i + T \epsilon p_{2+k} \pi_i + \frac{1}{2} (-1+T) T^3 \epsilon p_{2+i} p_{2+j} \pi_i^2 - \frac{1}{2} (-1+T) T^3 \epsilon p_{2+j} \pi_i^2 + \frac{1}{2} (-1+T) T^2 \epsilon p_{2+i} p_{2+k} \pi_i^2 - \frac{1}{2} (-1+T)^2 T \epsilon p_{2+j} p_{2+k} \pi_i^2 - \frac{1}{2} (-1+T) T \epsilon p_{2+k} \pi_i^2 + T p_{2+j} \pi_j - T \epsilon p_{2+j} \pi_j + (1-T) p_{2+k} \pi_j + (-1+2T) \epsilon p_{2+k} \pi_j - T^3 \epsilon p_{2+i} p_{2+j} \pi_i \pi_j + T^3 \epsilon p_{2+j} \pi_i \pi_j + (-1+T) T^2 \epsilon p_{2+i} p_{2+k} \pi_i \pi_j - (-1+T)^2 T \epsilon p_{2+j} p_{2+k} \pi_i \pi_j - (-1+T) T \epsilon p_{2+k} \pi_i \pi_j + \frac{1}{2} (-1+T) T \epsilon p_{2+j} p_{2+k} \pi_j^2 - \frac{1}{2} (-1+T) T \epsilon p_{2+k} \pi_j^2 + p_{2+k} \pi_k - 2 \epsilon p_{2+k} \pi_k - T^2 \epsilon p_{2+i} p_{2+k} \pi_i \pi_k + (-1+T) T \epsilon p_{2+j} p_{2+k} \pi_i \pi_k + T \epsilon p_{2+k}^2 \pi_i \pi_k - T \epsilon p_{2+j} p_{2+k} \pi_j \pi_k + T \epsilon p_{2+k}^2 \pi_j \pi_k \right]$$

True

The rest of the invariance proof is at ...

### References.

[BV] D. Bar-Natan and R. van der Veen, *A Polynomial Time Knot Polynomial*, Proc. Amer. Math. Soc. **147** (2019) 377–397, arXiv:1708.04853; *A Perturbed-Alexander Invariant*, to appear in Quantum Topology,  $\omega\epsilon\beta/APAI$ .

[Ov] A. Overbay, *Perturbative Expansion of the Colored Jones Polynomial*, Ph.D. thesis, University of North Carolina, August 2013,  $\omega\epsilon\beta/Ov$ .

[Ro] L. Rozansky, *A Contribution of the Trivial Flat Connection to the Jones Polynomial and Witten's Invariant of 3D Manifolds, I*, Comm. Math. Phys. **175-2** (1996) 275–296, arXiv:hep-th/9401061; *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, Adv. Math. **134-1** (1998) 1–31, arXiv:q-alg/9604005; *A Universal U(1)-RCC Invariant of Links and Rationality Conjecture*, arXiv:math/0201139.

Picture  
bottom vars  
middle vars  
top variables.

Sort out the i factors.  
Add in/out arrows, spellcheck!

strong  
A: A much faster program is at [APAI]. over there we compute [deg 12].

Where is it coming from?  
Principles & Morphisms are generating functions.

- \* composition is integration
  - \* use universal invariants.
  - \* "solvable Approximation"
- Gaussian generating functions.

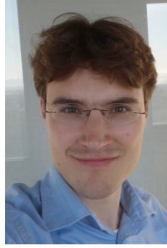
There's more where this came from

universal is better than rep. theoretical.



# Knot Invariants from Finite Dimensional Integration

**Abstract.** For the purpose of today, an “I-Type Knot Invariant” is a knot invariant computed from a knot diagram by integrating the exponential of a Lagrangian which is a sum over the features of that diagram (crossings, edges, faces) of locally defined quantities, over a product of finite dimensional spaces associated to those same features.



joint with R. van der Veen

**Q.** Are there any such things? **A.** Yes.

**Q.** Are they any good? **A.** They are the strongest we know per CPU cycle, and are excellent in other ways too.

**Q.** Didn't Witten do that back in 1988 with path integrals?

**A.** No. His constructions are infinite dimensional and far from rigorous.

**Q.** But integrals belong in analysis!

**A.** Ours only use squeaky-clean algebra.



Continues

Rozansky

Overbay

**The  $sl_2^{\epsilon^2}$  Example.** With  $T$  an indeterminate and with  $\epsilon^2 = 0$ :

$\mathbb{R}^2_{p_4, x_4}$   $\mathbb{R}^2_{p_3, x_3}$   $\mathbb{R}^2_{p_6, x_6}$   $\mathbb{R}^2_{p_2, x_2}$   $\mathbb{R}^2_{p_5, x_5}$   $\mathbb{R}^2_{p_1, x_1}$   $\mathbb{R}^2_{p_7, x_7}$   $\mathbb{R}^2_{p_2, x_2}$   $\mathbb{R}^2_{p_5, x_5}$

$\mathcal{L}(X_{37}^+)$   $\mathcal{L}(X_{62}^+)$   $\mathcal{L}(X_{15}^+)$   $\mathcal{L}(C_4^-)$

$Z = \int_{\mathbb{R}^{14}_{p_i, x_i}} \mathcal{L}(X_{15}^+) \mathcal{L}(X_{62}^+) \mathcal{L}(X_{37}^+) \mathcal{L}(C_4^-)$

where  $\mathcal{L}(X_{ij}^s) = T^{s/2} e^{iL(X_{ij}^s)}$  and  $\mathcal{L}(C_i^\varphi) = T^{\varphi/2} e^{iL(C_i^\varphi)}$  ( $i = \sqrt{-1}$  is optional), and

$L(X_{ij}^s) = x_i(p_{i+1} - p_i) + x_j(p_{j+1} - p_j) + (T^s - 1)x_i(p_{i+1} - p_{j+1}) + \frac{\epsilon s}{2} \left( x_i(p_i - p_j) \left( (T^s - 1)x_i p_j + 2(1 - x_j p_j) \right) - 1 \right)$

$L(C_i^\varphi) = x_i(p_{i+1} - p_i) + \epsilon\varphi(1/2 - x_i p_i)$

*better sp acing*

So  $Z = T \int e^{iL(\otimes)} dp_1 \dots dp_7 dx_1 \dots dx_7$ , where  $L(\otimes) =$

$$\sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \begin{pmatrix} x_1(p_1 - p_5)((T^s - 1)x_1 p_5 + 2(1 - x_5 p_5)) - 1 \\ + x_6(p_6 - p_2)((T^s - 1)x_6 p_2 + 2(1 - x_2 p_2)) - 1 \\ + x_3(p_3 - p_7)((T^s - 1)x_3 p_7 + 2(1 - x_7 p_7)) - 1 \\ + 2x_4 p_4 - 1 \end{pmatrix}$$

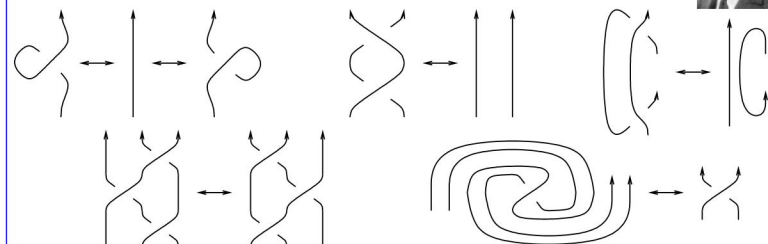
and so  $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^{-2}}\right)$ . Here  $\Delta$  is the Alexander polynomial and  $\rho_1$  is the Rozansky-Overbay polynomial [Ro, Ov, BV1, BV2].

**Theorem.**  $Z$  is a knot invariant.

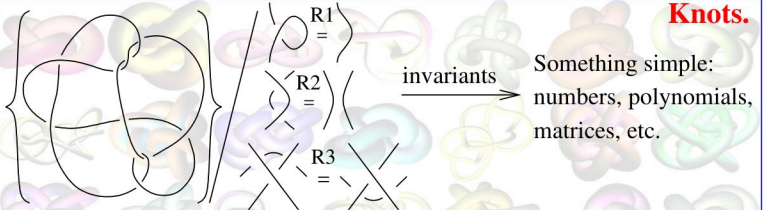
**Proof.** Use Fubini (details later).



Guido Fubini



**To Do.** • Human-hard but computer-very-easy (poly time!).  
• Strong! • Details of the proof. • Where is it coming from?  
• A philosophical point: “Universal invariants” are qualitatively better than representation theory ones.



**The Good.** 1. At the centre of low dimensional topology.  
2. “Invariants” connect to pretty much all of algebra.

**The Agony.** 1&2 don't talk to each other.  
• Not enough topological applications for all these invariants.  
• The fancy algebra doesn't arise naturally within topology.  
⇒ We're still missing something about the relationship between knots and algebra.

## (Alternative) Gaussian Integration.

**Goal.** Compute  $\int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$ .

**Solution.** Set  $\mathcal{Z}_\lambda(x) := \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$ .

Then  $\mathcal{Z}_1(0)$  is what we want,  $\mathcal{Z}_0(x) = (\det A)^{-1/2} \exp V(x)$ , and with  $g_{ij}$  the inverse matrix of  $a^{ij}$  and noting that under the  $dy$  integral  $\partial_y = 0$ ,

$$\frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x) = \frac{1}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i})(\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \partial_\lambda \mathcal{Z}_\lambda(x)$$

Hence  $(*) \partial_\lambda \mathcal{Z}_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x)$ ,

and therefore  $\mathcal{Z}_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x)$ .

We've just witnessed the birth of “Feynman Diagrams”.

**Even better.** With  $Z := \log(\sqrt{\det A} \mathcal{Z})$ , by a simple substitution into  $(*)$ , we get the “Synthesis Equation”:

$$Z_0 = V, \quad \partial_\lambda Z_\lambda = \frac{1}{2} \sum_{i,j=1}^n g_{ij} (\partial_{x_i, x_j} Z_\lambda + (\partial_{x_i} Z_\lambda)(\partial_{x_j} Z_\lambda)),$$

an ODE (in  $\lambda$ ) whose solution is pure algebra.

**Picard Iteration** (used to prove the existence and uniqueness of solutions of ODEs). To solve  $\partial_\lambda f_\lambda = F(f_\lambda)$  with a given  $f_0$ , start with  $f_0$ , iterate  $f \mapsto f_0 + \int_0^\lambda F(f_\lambda) d\lambda$ , and seek a fixed point. In our cases, it is always reached after finitely many iterations!

**Strong.** A faster program to compute  $\rho_1$  is available at [BV2]. With it we find that the pair  $(\Delta, \rho_1)$  attains 53,684 distinct values on the 59,937 prime knots with up to 14 crossings (a deficit of 6,253), whereas the pair  $(H, Kh) \equiv$  (HOMFLYPT polynomial, Khovanov Homology) attains only 49,149 distinct values on the same knots (a deficit of 10,788).

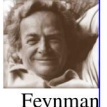
In as much as we know the pair  $(\Delta, \rho_1)$  is the strongest knot invariant that can be computed in polynomial time (and hence, even for very large knots).

**Acknowledgement.** This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

**Disclaimer.** It's fun, but not fully ready.



Gauss



Feynman



Picard



## Preliminaries

This is IType.nb of <https://drorbn.net/g24/ap>.

☺ Once[<< KnotTheory` ; << Rot.m];

☐ Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

☐ Loading Rot.m from

<http://drorbn.net/AP/Talks/Groningen-240530>

to compute rotation numbers.

☺ CF[ω\_ . ε\_ E] := CF[ω] × CF / @ ε;

CF[ε\_List] := CF / @ ε;

CF[ε\_] := Module[{vs, ps, c},

vs = Cases[ε, {x | p | ξ | π} \_\_, ∞] ∪ {x, p, ε};

Total[CoefficientRules[Expand[ε], vs] /.

(ps\_ → c\_) ⇒ Factor[c] (Times @@ vs<sup>ps</sup>) ]];

## Integration

Using Picard Iteration!

☺ E /: E[A\_] × E[B\_] := E[A + B];

☺ \$π = Identity; (\* hacks in pink \*)

☺ Unprotect[Integrate];

∫ ω\_ . E[L\_] d(vs\_List) :=

Module[{n, L0, Q, Δ, G, Z0, Z, λ, DZ, FZ, a, b},

n = Length@vs; L0 = L /. ε → 0;

Q = Table[(-∂<sub>vs[[a]], vs[[b]] L0) /. Thread[vs → 0] /.</sub>

{p | x} \_\_ → 0, {a, n}, {b, n}];

If[(Δ = Det[Q]) == 0, Return@"Degenerate Q!";

Z = Z0 = CF@\$π[L + vs.Q.vs / 2]; G = Inverse[Q];

DZ<sub>a</sub> := ∂<sub>vs[[a]] Z; DZ<sub>a, b</sub> := ∂<sub>vs[[b]] DZ<sub>a</sub>;</sub></sub>

FZ := CF@\$π[ $\frac{1}{2} \sum_{a=1}^n \sum_{b=1}^n G[[a, b]] (DZ_{a,b} + DZ_a DZ_b)$ ];

FixedPoint[(Z = Z0 + ∫<sup>λ</sup> FZ dλ) &, Z];

PowerExpand@Factor[ω Δ<sup>-1/2</sup>] ×

E[CF[Z /. λ → 1 /. Thread[vs → 0]]];

Protect[Integrate];

☺ ∫ E[-μ x<sup>2</sup> / 2 + i ξ x] d{x}

☐  $\frac{E\left[-\frac{\xi^2}{2\mu}\right]}{\sqrt{\mu}}$

☺ L = - $\frac{1}{2}$  {x<sub>1</sub>, x<sub>2</sub>} .  $\begin{pmatrix} a & b \\ b & c \end{pmatrix}$  . {x<sub>1</sub>, x<sub>2</sub>} + {ξ<sub>1</sub>, ξ<sub>2</sub>} . {x<sub>1</sub>, x<sub>2</sub>};

Z12 = ∫ E[L] d{x<sub>1</sub>, x<sub>2</sub>}

☐  $\frac{E\left[\frac{c \xi_1^2}{2(-b^2+a c)} + \frac{b \xi_1 \xi_2}{b^2-a c} + \frac{a \xi_2^2}{2(-b^2+a c)}\right]}{\sqrt{-b^2+a c}}$

☺ {Z1 = ∫ E[L] d{x<sub>1</sub>}, Z12 = ∫ Z1 d{x<sub>2</sub>}}

☐  $\frac{E\left[-\frac{(-b^2+a c) x_2^2}{2 a} - \frac{b x_2 \xi_1}{a} + \frac{\xi_1^2}{2 a} + x_2 \xi_2\right]}{\sqrt{a}}, \text{True}$

☺ \$π = Normal[# + 0[ε]<sup>13</sup>] &; ∫ E[-φ<sup>2</sup> / 2 + ε φ<sup>3</sup> / 6] d{φ}

☐  $E\left[\frac{5 \epsilon^2}{24} + \frac{5 \epsilon^4}{16} + \frac{1105 \epsilon^6}{1152} + \frac{565 \epsilon^8}{128} + \frac{82825 \epsilon^{10}}{3072} + \frac{19675 \epsilon^{12}}{96}\right]$

From <https://oeis.org/A226260>:

0 1 3 6 2 7  
: : OE THE ON-LINE ENCYCLOPEDIA  
23 23 12 12 OF INTEGER SEQUENCES®  
10 22 11 21

founded in 1964 by N. J. A. Sloane

A226260 Numerators of mass formula for connected vacuum graphs on 2n nodes for a phi<sup>3</sup> field theory.  
1, 5, 5, 1185, 565, 82825, 19675, 1282031525, 80727925, 1683480621875, 13209845125,  
2239646759388375, 19739117898375, 6320791709083309375, 32468078556378125, 38362676768845045751875,  
281365778405032973125, 2824650747089425586152484375, 776632157034116712734375 (list, graph, refs, listen,  
history, text, internal format)

## The Right-Handed Trefoil

☺ K = Mirror@Knot[3, 1]; Features[K]

☐ Features[7, C<sub>4</sub>[-1] X<sub>1,5</sub>[1] X<sub>3,7</sub>[1] X<sub>6,2</sub>[1]]

☺ L[X<sub>i,j</sub>[s\_]] := T<sup>s/2</sup> E[  
x<sub>i</sub> (p<sub>i+1</sub> - p<sub>i</sub>) + x<sub>j</sub> (p<sub>j+1</sub> - p<sub>j</sub>) +  
(T<sup>s</sup> - 1) x<sub>i</sub> (p<sub>i+1</sub> - p<sub>j+1</sub>) +  
(ε s / 2) ×  
(x<sub>i</sub> (p<sub>i</sub> - p<sub>j</sub>) ((T<sup>s</sup> - 1) x<sub>i</sub> p<sub>j</sub> + 2 (1 - x<sub>j</sub> p<sub>j</sub>)) - 1)]  
L[C<sub>i</sub>[φ\_]] := T<sup>φ/2</sup> E[x<sub>i</sub> (p<sub>i+1</sub> - p<sub>i</sub>) + ε φ (1/2 - x<sub>i</sub> p<sub>i</sub>)]  
L[K\_] := CF[L / @ Features[K][[2]]]  
vs[K\_] :=  
Join @@ Table[{p<sub>i</sub>, x<sub>i</sub>}, {i, Features[K][[1]]}]

☺ {vs[K], L[K]}

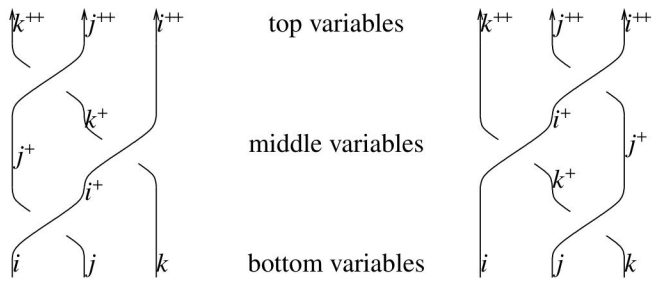
☐ { {p<sub>1</sub>, x<sub>1</sub>, p<sub>2</sub>, x<sub>2</sub>, p<sub>3</sub>, x<sub>3</sub>, p<sub>4</sub>, x<sub>4</sub>, p<sub>5</sub>, x<sub>5</sub>, p<sub>6</sub>, x<sub>6</sub>, p<sub>7</sub>, x<sub>7</sub>},  
T E[-2ε - p<sub>1</sub> x<sub>1</sub> + ε p<sub>1</sub> x<sub>1</sub> + T p<sub>2</sub> x<sub>1</sub> - ε p<sub>5</sub> x<sub>1</sub> + (1 - T) p<sub>6</sub> x<sub>1</sub> +  
 $\frac{1}{2} (-1 + T) \epsilon p_1 p_5 x_1^2 + \frac{1}{2} (1 - T) \epsilon p_5^2 x_1^2 - p_2 x_2 +$   
p<sub>3</sub> x<sub>2</sub> - p<sub>3</sub> x<sub>3</sub> + ε p<sub>3</sub> x<sub>3</sub> + T p<sub>4</sub> x<sub>3</sub> - ε p<sub>7</sub> x<sub>3</sub> + (1 - T) p<sub>8</sub> x<sub>3</sub> +  
 $\frac{1}{2} (-1 + T) \epsilon p_3 p_7 x_3^2 + \frac{1}{2} (1 - T) \epsilon p_7^2 x_3^2 - p_4 x_4 +$   
ε p<sub>4</sub> x<sub>4</sub> + p<sub>5</sub> x<sub>4</sub> - p<sub>5</sub> x<sub>5</sub> + p<sub>6</sub> x<sub>5</sub> - ε p<sub>1</sub> p<sub>5</sub> x<sub>1</sub> x<sub>5</sub> +  
ε p<sub>5</sub><sup>2</sup> x<sub>1</sub> x<sub>5</sub> - ε p<sub>2</sub> x<sub>6</sub> + (1 - T) p<sub>3</sub> x<sub>6</sub> - p<sub>6</sub> x<sub>6</sub> +  
ε p<sub>6</sub> x<sub>6</sub> + T p<sub>7</sub> x<sub>6</sub> + ε p<sub>2</sub><sup>2</sup> x<sub>2</sub> x<sub>6</sub> - ε p<sub>2</sub> p<sub>6</sub> x<sub>2</sub> x<sub>6</sub> +  
 $\frac{1}{2} (1 - T) \epsilon p_2^2 x_6^2 + \frac{1}{2} (-1 + T) \epsilon p_2 p_6 x_6^2 -$   
p<sub>7</sub> x<sub>7</sub> + p<sub>8</sub> x<sub>7</sub> - ε p<sub>3</sub> p<sub>7</sub> x<sub>3</sub> x<sub>7</sub> + ε p<sub>7</sub><sup>2</sup> x<sub>3</sub> x<sub>7</sub> }]

☺ \$π = Normal[# + 0[ε]<sup>2</sup>] &; ∫ L[K] d(vs@K)

☐  $i T E\left[-\frac{(-1+T)^2(1+T^2)\epsilon}{(1-T+T^2)^2}\right]$   
-  
 $\frac{1}{1-T+T^2}$



### Invariance Under Reidemeister 3



top variables

middle variables

bottom variables

$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_{i+1}, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

False

### Invariance Under Reidemeister 3, Take 2

$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{x}_{i+1}, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

☺ lhs  
 ☑ Degenerate Q!

### Invariance Under Reidemeister 3, Take 3

$$\begin{aligned} \text{lhs} &= \int (\mathbb{E} [\mathfrak{d} \pi_i \mathbf{p}_i + \mathfrak{d} \pi_j \mathbf{p}_j + \mathfrak{d} \pi_k \mathbf{p}_k] \times \\ &\quad \mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_i, \mathbf{p}_j, \mathbf{p}_k, \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \\ &\quad \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathbb{E} [\mathfrak{d} \pi_i \mathbf{p}_i + \mathfrak{d} \pi_j \mathbf{p}_j + \mathfrak{d} \pi_k \mathbf{p}_k] \times \\ &\quad \mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_i, \mathbf{p}_j, \mathbf{p}_k, \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \\ &\quad \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

☺ lhs

$$\begin{aligned} &\int T^{3/2} \mathbb{E} \left[ -\frac{3\epsilon}{2} + \mathfrak{d} T^2 \mathbf{p}_{2+i} \pi_i - \mathfrak{d} (-1 + T) T \mathbf{p}_{2+j} \pi_i + \right. \\ &\quad \mathfrak{d} T^2 \in \mathbf{p}_{2+j} \pi_i - \mathfrak{d} (-1 + T) \mathbf{p}_{2+k} \pi_i + \\ &\quad \mathfrak{d} T \in \mathbf{p}_{2+k} \pi_i - \frac{1}{2} (-1 + T) T^3 \in \mathbf{p}_{2+i} \mathbf{p}_{2+j} \pi_i^2 + \\ &\quad \frac{1}{2} (-1 + T) T^3 \in \mathbf{p}_{2+j} \pi_i^2 - \frac{1}{2} (-1 + T) T^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i^2 + \\ &\quad \frac{1}{2} (-1 + T)^2 T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i^2 + \frac{1}{2} (-1 + T) T \in \mathbf{p}_{2+k} \pi_i^2 + \\ &\quad \mathfrak{d} T \mathbf{p}_{2+j} \pi_j - \mathfrak{d} T \in \mathbf{p}_{2+j} \pi_j - \mathfrak{d} (-1 + T) \mathbf{p}_{2+k} \pi_j + \\ &\quad \mathfrak{d} (-1 + 2T) \in \mathbf{p}_{2+k} \pi_j + T^3 \in \mathbf{p}_{2+i} \mathbf{p}_{2+j} \pi_i \pi_j - \\ &\quad T^3 \in \mathbf{p}_{2+j} \pi_i \pi_j - (-1 + T) T^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i \pi_j + \\ &\quad (-1 + T)^2 T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i \pi_j + (-1 + T) T \in \mathbf{p}_{2+k} \pi_i \pi_j - \\ &\quad \frac{1}{2} (-1 + T) T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_j^2 + \frac{1}{2} (-1 + T) T \in \mathbf{p}_{2+k} \pi_j^2 + \\ &\quad \mathfrak{d} \mathbf{p}_{2+k} \pi_k - 2 \mathfrak{d} \in \mathbf{p}_{2+k} \pi_k + T^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i \pi_k - \\ &\quad (-1 + T) T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i \pi_k - T \in \mathbf{p}_{2+k} \pi_i \pi_k + \\ &\quad \left. T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_j \pi_k - T \in \mathbf{p}_{2+k} \pi_j \pi_k \right] \end{aligned}$$

Invariance under the other Reidemeister moves is proven in a similar way. See IType.nb at <https://drorbn.net/g24/ap>.

**Where is it coming from?** The most honest answer is “we don’t know”. The second most, “undetermined coefficients for an ansatz that made sense”. The ansatz comes from the following principles / earlier work:  
**Morphisms have generating functions.** Indeed, there is an isomorphism

$$\mathcal{G}: \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j]) \rightarrow \mathbb{Q}[\xi_i][y_j],$$

and by PBW, many relevant spaces are polynomial rings, though only as vector spaces.

**Composition is integration.** Indeed, if  $f \in \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j])$  and  $g \in \text{Hom}(\mathbb{Q}[y_j], \mathbb{Q}[z_k])$ , then

$$\mathcal{G}(g \circ f) = \int e^{-y \cdot \eta} f g dy d\eta$$

**Use universal invariants.** These take values in a universal enveloping algebra (misschien kwantized), and thus they are expressible as long compositions of generating functions.

**“Solvable approximation”**  $\leadsto$  **perturbed Gaussians.** Let  $\mathfrak{g}$  be a semisimple Lie algebra, let  $\mathfrak{h}$  be its Cartan subalgebra, and let  $\mathfrak{b}^\pm$  be its upper and lower Borel subalgebras. Then  $\mathfrak{b}^+$  has a bracket  $\beta$ , and as the dual of  $\mathfrak{b}^-$  it also has a cobracket  $\delta$ , and in fact,  $\mathfrak{g} \oplus \mathfrak{h} \equiv \text{Double}(\mathfrak{b}^+, \beta, \delta)$ . Let  $\mathfrak{g}_\epsilon^+ := \text{Double}(\mathfrak{b}^+, \beta, \epsilon\delta) \pmod{\epsilon^{d+1}}$  it is solvable for any  $d$ . Then by [BV3, BN] (in the case of  $\mathfrak{g} = \mathfrak{sl}_2$ ) all the interesting tensors of  $\mathcal{U}(\mathfrak{g})$  (quantized or not) are perturbed Gaussian with perturbation parameter  $\epsilon$  with understood bounds on the degrees of the perturbations.

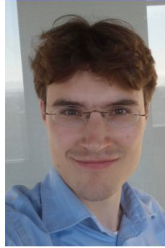
### References.

[BN] D. Bar-Natan, *Everything around  $\mathfrak{sl}_2^{\epsilon}$  is DoPeGDO*. So what?, talk given in “Quantum Topology and Hyperbolic Geometry Conference”, Da Nang, Vietnam, May 2019. Handout and video at [omegaepsilon.org/DPG](https://omegaepsilon.org/DPG).



# Knot Invariants from Finite Dimensional Integration

**Abstract.** For the purpose of today, an “I-Type Knot Invariant” is a knot invariant computed from a knot diagram by integrating the exponential of a Lagrangian which is a sum over the features of that diagram (crossings, edges, faces) of locally defined quantities, over a product of finite dimensional spaces associated to those same features.



joint with R. van der Veen

- Q.** Are there any such things? **A.** Yes.
- Q.** Are they any good? **A.** They are the strongest we know per CPU cycle, and are excellent in other ways too.
- Q.** Didn't Witten do that back in 1988 with path integrals?
- A.** No. His constructions are infinite dimensional and far from rigorous.



Continues Rozansky Overbay

- Q.** But integrals belong in analysis!
- A.** Ours only use squeaky-clean algebra.

**The  $sl_2^{\epsilon^2}$  Example.** With  $T$  an indeterminate and with  $\epsilon^2 = 0$ :

$\Rightarrow Z = \int_{\mathbb{R}^{14}_{p_i x_i}} \mathcal{L}(X_{15}^+) \mathcal{L}(X_{62}^+) \mathcal{L}(X_{37}^+) \mathcal{L}(C_4^{-1})$

where  $\mathcal{L}(X_{ij}^s) = T^{s/2} e^{iL(X_{ij}^s)}$  and  $\mathcal{L}(C_i^\varphi) = T^{\varphi/2} e^{iL(C_i^\varphi)}$  ( $i = \sqrt{-1}$  is optional), and

$$L(X_{ij}^s) = x_i(p_{i+1} - p_i) + x_j(p_{j+1} - p_j) + (T^s - 1)x_i(p_{i+1} - p_{j+1}) + \frac{\epsilon s}{2} \left( x_i(p_i - p_j) \left( \begin{matrix} (T^s - 1)x_i p_j \\ +2(1 - x_j p_j) \end{matrix} \right) - 1 \right)$$

$$L(C_i^\varphi) = x_i(p_{i+1} - p_i) + \epsilon\varphi(1/2 - x_i p_i)$$

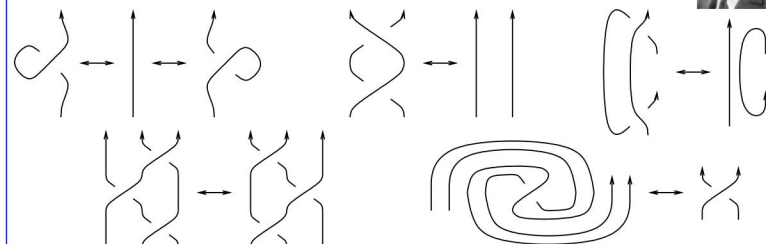
So  $Z = T \int e^{iL(\otimes)} dp_1 \dots dp_7 dx_1 \dots dx_7$ , where  $L(\otimes) =$

$$\sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \begin{pmatrix} x_1(p_1 - p_5)((T^s - 1)x_1 p_5 + 2(1 - x_5 p_5)) - 1 \\ + x_6(p_6 - p_2)((T^s - 1)x_6 p_2 + 2(1 - x_2 p_2)) - 1 \\ + x_3(p_3 - p_7)((T^s - 1)x_3 p_7 + 2(1 - x_7 p_7)) - 1 \\ + 2x_4 p_4 - 1 \end{pmatrix}$$

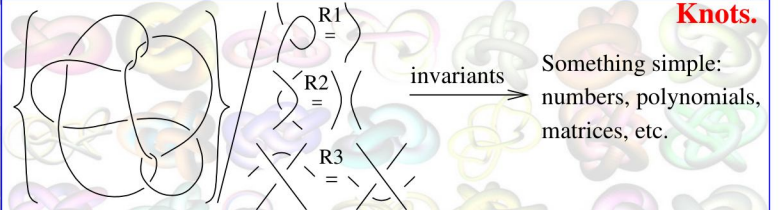
and so  $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^{-2}}\right)$ . Here  $\Delta$  is the Alexander polynomial and  $\rho_1$  is the Rozansky-Overbay polynomial [Ro, Ov, BV1, BV2].

**Theorem.**  $Z$  is a knot invariant.

**Proof.** Use Fubini (details later).



- To Do.** • Human-hard but computer-very-easy (poly time!).
- Strong!
- Details of the proof.
- Where is it coming from?
- A philosophical point: “Universal invariants” are qualitatively better than representation theory ones.



- The Good.** 1. At the centre of low dimensional topology.
- 2. “Invariants” connect to pretty much all of algebra.

- The Agony.** 1&2 don't talk to each other.
- Not enough topological applications for all these invariants.
- The fancy algebra doesn't arise naturally within topology.
- $\Rightarrow$  We're still missing something about the relationship between knots and algebra.

**(Alternative) Gaussian Integration.**

**Goal.** Compute  $\int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$ .

**Solution.** Set  $\mathcal{Z}_\lambda(x) := \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$ . Then  $\mathcal{Z}_1(0)$  is what we want,  $\mathcal{Z}_0(x) = (\det A)^{-1/2} \exp V(x)$ , and with  $g_{ij}$  the inverse matrix of  $a^{ij}$  and noting that under the  $dy$  integral  $\partial_y = 0$ ,

$$\begin{aligned} & \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x) \\ &= \frac{1}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i})(\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ &= \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \partial_\lambda \mathcal{Z}_\lambda(x). \end{aligned}$$

Hence  $(*) \partial_\lambda \mathcal{Z}_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x)$ , and therefore  $\mathcal{Z}_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x)$ .

We've just witnessed the birth of “Feynman Diagrams”.

**Even better.** With  $Z := \log(\sqrt{\det A} Z)$ , by a simple substitution into (\*), we get the “Synthesis Equation”:

$$Z_0 = V, \quad \partial_\lambda Z_\lambda = \frac{1}{2} \sum_{i,j=1}^n g_{ij} (\partial_{x_i x_j} Z_\lambda + (\partial_{x_i} Z_\lambda)(\partial_{x_j} Z_\lambda)),$$

an ODE (in  $\lambda$ ) whose solution is pure algebra.

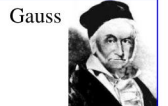
**Picard Iteration** (used to prove the existence and uniqueness of solutions of ODEs). To solve  $\partial_\lambda f_\lambda = F(f_\lambda)$  with a given  $f_0$ , start with  $f_0$ , iterate  $f \mapsto f_0 + \int_0^\lambda F(f_\lambda) d\lambda$ , and seek a fixed point. In our cases, it is always reached after finitely many iterations!

**Strong.** A faster program to compute  $\rho_1$  is available at [BV2]. With it we find that the pair  $(\Delta, \rho_1)$  attains 53,684 distinct values on the 59,937 prime knots with up to 14 crossings (a deficit of 6,253), whereas the pair (HOMFLYPT polynomial, Khovanov Homology) attains only 49,149 distinct values on the same knots (a deficit of 10,788).

In as much as we know the pair  $(\Delta, \rho_1)$  is the strongest knot invariant that can be computed in polynomial time (and hence, even for very large knots).

**Acknowledgement.** This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

**Disclaimer.** It's fun, but not fully ready.



Gauss



Feynman



Picard



## Preliminaries

This is IType.nb of <https://drorbn.net/g24/ap>.

☉ Once[<< KnotTheory` ; << Rot.m];

☐ Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

☐ Loading Rot.m from

<http://drorbn.net/AP/Talks/Groningen-240530>

to compute rotation numbers.

☉ CF[ω\_ . ε\_ E] := CF[ω] × CF / @ ε;

CF[ε\_List] := CF / @ ε;

CF[ε\_] := Module[{vs, ps, c},

vs = Cases[ε, {x | p | ξ | π} \_\_, ∞] ∪ {x, p, ε};

Total[CoefficientRules[Expand[ε], vs] /.

(ps\_ → c\_) ⇒ Factor[c] (Times @@ vs<sup>ps</sup>) ]];

## Integration

Using Picard Iteration!

☉ E /: E[A\_] × E[B\_] := E[A + B];

☉ \$π = Identity; (\* hacks in pink \*)

☉ Unprotect[Integrate];

∫ ω\_ . E[L\_] d(vs\_List) :=

Module[{n, L0, Q, Δ, G, Z0, Z, λ, DZ, FZ, a, b},

n = Length@vs; L0 = L /. ε → 0;

Q = Table[(-∂<sub>vs[[a]], vs[[b]] L0) /. Thread[vs → 0] /.</sub>

{p | x} \_\_ → 0, {a, n}, {b, n}];

If[(Δ = Det[Q]) == 0, Return@"Degenerate Q!";

Z = Z0 = CF@\$π[L + vs.Q.vs / 2]; G = Inverse[Q];

DZ<sub>a</sub> := ∂<sub>vs[[a]] Z; DZ<sub>a, b</sub> := ∂<sub>vs[[b]] DZ<sub>a</sub>;</sub></sub>

FZ := CF@\$π[ $\frac{1}{2} \sum_{a=1}^n \sum_{b=1}^n G[a, b] (DZ_{a,b} + DZ_a DZ_b)$ ];

FixedPoint[(Z = Z0 + ∫<sup>λ</sup> FZ dλ) &, Z];

PowerExpand@Factor[ω Δ<sup>-1/2</sup>] ×

E[CF[Z /. λ → 1 /. Thread[vs → 0]]];

Protect[Integrate];

☉ ∫ E[-μ x<sup>2</sup> / 2 + i ξ x] d{x}

☐  $\frac{E\left[-\frac{\xi^2}{2\mu}\right]}{\sqrt{\mu}}$

☉ L = - $\frac{1}{2}$  {x<sub>1</sub>, x<sub>2</sub>} .  $\begin{pmatrix} a & b \\ b & c \end{pmatrix}$  . {x<sub>1</sub>, x<sub>2</sub>} + {ξ<sub>1</sub>, ξ<sub>2</sub>} . {x<sub>1</sub>, x<sub>2</sub>};

Z12 = ∫ E[L] d{x<sub>1</sub>, x<sub>2</sub>}

☐  $\frac{E\left[\frac{c \xi_1^2}{2(-b^2+a c)} + \frac{b \xi_1 \xi_2}{b^2-a c} + \frac{a \xi_2^2}{2(-b^2+a c)}\right]}{\sqrt{-b^2+a c}}$

☉ {Z1 = ∫ E[L] d{x<sub>1</sub>}, Z12 = ∫ Z1 d{x<sub>2</sub>}}

☐  $\frac{E\left[-\frac{(-b^2+a c) x_2^2}{2 a} - \frac{b x_2 \xi_1}{a} + \frac{\xi_1^2}{2 a} + x_2 \xi_2\right]}{\sqrt{a}}, \text{True}$

☉ \$π = Normal[# + 0[ε]<sup>13</sup>] &; ∫ E[-φ<sup>2</sup> / 2 + ε φ<sup>3</sup> / 6] d{φ}

☐  $E\left[\frac{5 \epsilon^2}{24} + \frac{5 \epsilon^4}{16} + \frac{1105 \epsilon^6}{1152} + \frac{565 \epsilon^8}{128} + \frac{82825 \epsilon^{10}}{3072} + \frac{19675 \epsilon^{12}}{96}\right]$

From <https://oeis.org/A226260>:

0 1 3 6 2 7  
: : OE THE ON-LINE ENCYCLOPEDIA  
23 23 12 12 OF INTEGER SEQUENCES®  
10 22 11 21

founded in 1964 by N. J. A. Sloane

(Greetings from The On-Line Encyclopedia of Integer Sequences!)

A226260 Numerators of mass formula for connected vacuum graphs on 2n nodes for a phi<sup>3</sup> field theory.

1, 5, 5, 1105, 565, 82825, 19675, 1282031525, 80727925, 1683480621875, 13209845125,  
2239646759388375, 19739117098375, 6320791709083309375, 32468078556378125, 38362676768845045751875,  
281365778405032973125, 2824650747089425586152484375, 776632157034116712734375 (list, graph, refs, listen,  
history, text, internal format)

## The Right-Handed Trefoil

☉ K = Mirror@Knot[3, 1]; Features[K]

☐ Features[7, C<sub>4</sub>[-1] X<sub>1,5</sub>[1] X<sub>3,7</sub>[1] X<sub>6,2</sub>[1]]

☉ L[X<sub>i,j</sub>[s\_]] := T<sup>s/2</sup> E[  
x<sub>i</sub> (p<sub>i+1</sub> - p<sub>i</sub>) + x<sub>j</sub> (p<sub>j+1</sub> - p<sub>j</sub>) +  
(T<sup>s</sup> - 1) x<sub>i</sub> (p<sub>i+1</sub> - p<sub>j+1</sub>) +  
(ε s / 2) ×  
(x<sub>i</sub> (p<sub>i</sub> - p<sub>j</sub>) ((T<sup>s</sup> - 1) x<sub>i</sub> p<sub>j</sub> + 2 (1 - x<sub>j</sub> p<sub>j</sub>)) - 1)]  
L[C<sub>i</sub>[φ\_]] := T<sup>φ/2</sup> E[x<sub>i</sub> (p<sub>i+1</sub> - p<sub>i</sub>) + ε φ (1/2 - x<sub>i</sub> p<sub>i</sub>)]  
L[K\_] := CF[L / @ Features[K][[2]]]  
vs[K\_] :=  
Join @@ Table[{p<sub>i</sub>, x<sub>i</sub>}, {i, Features[K][[1]]}]

☉ {vs[K], L[K]}

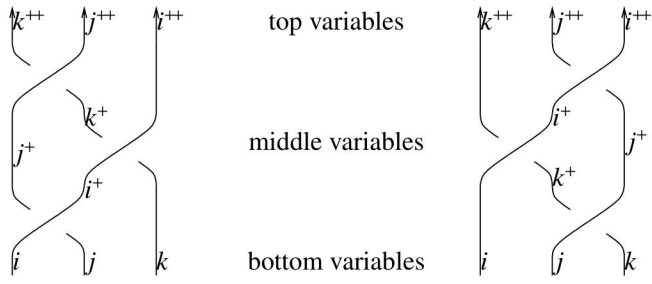
☐ { {p<sub>1</sub>, x<sub>1</sub>, p<sub>2</sub>, x<sub>2</sub>, p<sub>3</sub>, x<sub>3</sub>, p<sub>4</sub>, x<sub>4</sub>, p<sub>5</sub>, x<sub>5</sub>, p<sub>6</sub>, x<sub>6</sub>, p<sub>7</sub>, x<sub>7</sub>},  
T E[-2ε - p<sub>1</sub> x<sub>1</sub> + ε p<sub>1</sub> x<sub>1</sub> + T p<sub>2</sub> x<sub>1</sub> - ε p<sub>5</sub> x<sub>1</sub> + (1 - T) p<sub>6</sub> x<sub>1</sub> +  
 $\frac{1}{2} (-1 + T) \epsilon p_1 p_5 x_1^2 + \frac{1}{2} (1 - T) \epsilon p_5^2 x_1^2 - p_2 x_2 +$   
p<sub>3</sub> x<sub>2</sub> - p<sub>3</sub> x<sub>3</sub> + ε p<sub>3</sub> x<sub>3</sub> + T p<sub>4</sub> x<sub>3</sub> - ε p<sub>7</sub> x<sub>3</sub> + (1 - T) p<sub>8</sub> x<sub>3</sub> +  
 $\frac{1}{2} (-1 + T) \epsilon p_3 p_7 x_3^2 + \frac{1}{2} (1 - T) \epsilon p_7^2 x_3^2 - p_4 x_4 +$   
ε p<sub>4</sub> x<sub>4</sub> + p<sub>5</sub> x<sub>4</sub> - p<sub>5</sub> x<sub>5</sub> + p<sub>6</sub> x<sub>5</sub> - ε p<sub>1</sub> p<sub>5</sub> x<sub>1</sub> x<sub>5</sub> +  
ε p<sub>5</sub><sup>2</sup> x<sub>1</sub> x<sub>5</sub> - ε p<sub>2</sub> x<sub>6</sub> + (1 - T) p<sub>3</sub> x<sub>6</sub> - p<sub>6</sub> x<sub>6</sub> +  
ε p<sub>6</sub> x<sub>6</sub> + T p<sub>7</sub> x<sub>6</sub> + ε p<sub>2</sub><sup>2</sup> x<sub>2</sub> x<sub>6</sub> - ε p<sub>2</sub> p<sub>6</sub> x<sub>2</sub> x<sub>6</sub> +  
 $\frac{1}{2} (1 - T) \epsilon p_2^2 x_6^2 + \frac{1}{2} (-1 + T) \epsilon p_2 p_6 x_6^2 -$   
p<sub>7</sub> x<sub>7</sub> + p<sub>8</sub> x<sub>7</sub> - ε p<sub>3</sub> p<sub>7</sub> x<sub>3</sub> x<sub>7</sub> + ε p<sub>7</sub><sup>2</sup> x<sub>3</sub> x<sub>7</sub> }]

☉ \$π = Normal[# + 0[ε]<sup>2</sup>] &; ∫ L[K] d(vs@K)

☐  $\frac{i T E\left[-\frac{(-1+T)^2 (1+T^2) \epsilon}{(1-T+T^2)^2}\right]}{1-T+T^2}$



## Invariance Under Reidemeister 3



$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_{i+1}, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

False

## Invariance Under Reidemeister 3, Take 2

$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{x}_{i+1}, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

lhs

Degenerate Q!

## Invariance Under Reidemeister 3, Take 3

$$\begin{aligned} \text{lhs} &= \int (\mathbb{E} [\mathfrak{d} \pi_i \mathbf{p}_i + \mathfrak{d} \pi_j \mathbf{p}_j + \mathfrak{d} \pi_k \mathbf{p}_k] \times \\ &\quad \mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_i, \mathbf{p}_j, \mathbf{p}_k, \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \\ &\quad \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathbb{E} [\mathfrak{d} \pi_i \mathbf{p}_i + \mathfrak{d} \pi_j \mathbf{p}_j + \mathfrak{d} \pi_k \mathbf{p}_k] \times \\ &\quad \mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_i, \mathbf{p}_j, \mathbf{p}_k, \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \\ &\quad \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

lhs

$$\begin{aligned} &\int T^{3/2} \mathbb{E} \left[ -\frac{3\epsilon}{2} + \mathfrak{d} T^2 \mathbf{p}_{2+i} \pi_i - \mathfrak{d} (-1+T) T \mathbf{p}_{2+j} \pi_i + \right. \\ &\quad \mathfrak{d} T^2 \in \mathbf{p}_{2+j} \pi_i - \mathfrak{d} (-1+T) \mathbf{p}_{2+k} \pi_i + \\ &\quad \mathfrak{d} T \in \mathbf{p}_{2+k} \pi_i - \frac{1}{2} (-1+T) T^3 \in \mathbf{p}_{2+i} \mathbf{p}_{2+j} \pi_i^2 + \\ &\quad \frac{1}{2} (-1+T) T^3 \in \mathbf{p}_{2+j} \pi_i^2 - \frac{1}{2} (-1+T) T^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i^2 + \\ &\quad \frac{1}{2} (-1+T)^2 T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i^2 + \frac{1}{2} (-1+T) T \in \mathbf{p}_{2+k}^2 \pi_i^2 + \\ &\quad \mathfrak{d} T \mathbf{p}_{2+j} \pi_j - \mathfrak{d} T \in \mathbf{p}_{2+j} \pi_j - \mathfrak{d} (-1+T) \mathbf{p}_{2+k} \pi_j + \\ &\quad \mathfrak{d} (-1+2T) \in \mathbf{p}_{2+k} \pi_j + T^3 \in \mathbf{p}_{2+i} \mathbf{p}_{2+j} \pi_i \pi_j - \\ &\quad T^3 \in \mathbf{p}_{2+j}^2 \pi_i \pi_j - (-1+T) T^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i \pi_j + \\ &\quad (-1+T)^2 T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i \pi_j + (-1+T) T \in \mathbf{p}_{2+k}^2 \pi_i \pi_j - \\ &\quad \frac{1}{2} (-1+T) T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_j^2 + \frac{1}{2} (-1+T) T \in \mathbf{p}_{2+k}^2 \pi_j^2 + \\ &\quad \mathfrak{d} \mathbf{p}_{2+k} \pi_k - 2 \mathfrak{d} \in \mathbf{p}_{2+k} \pi_k + T^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i \pi_k - \\ &\quad (-1+T) T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i \pi_k - T \in \mathbf{p}_{2+k}^2 \pi_i \pi_k + \\ &\quad \left. T \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_j \pi_k - T \in \mathbf{p}_{2+k}^2 \pi_j \pi_k \right] \end{aligned}$$

Invariance under the other Reidemeister moves is proven in a similar way. See IType.nb at <https://drorbn.net/g24/ap>.

**Where is it coming from?** The most honest answer is “we don’t know”. The second most, “undetermined coefficients for an ansatz that made sense”. The ansatz comes from the following principles / earlier work:

**Morphisms have generating functions.** Indeed, there is an isomorphism

$$\mathcal{G}: \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j]) \rightarrow \mathbb{Q}[\xi_i][y_j],$$

and by PBW, many relevant spaces are polynomial rings, though only as vector spaces.

**Composition is integration.** Indeed, if  $f \in \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j])$  and  $g \in \text{Hom}(\mathbb{Q}[y_j], \mathbb{Q}[z_k])$ , then

$$\mathcal{G}(g \circ f) = \int e^{-y \cdot \eta} f g \, dy \, d\eta$$

**Use universal invariants.** These take values in a universal enveloping algebra (misschien kwantized), and thus they are expressible as long compositions of generating functions.

**“Solvable approximation”  $\leadsto$  perturbed Gaussians.** Let  $\mathfrak{g}$  be a semisimple Lie algebra, let  $\mathfrak{h}$  be its Cartan subalgebra, and let  $\mathfrak{b}^u$  and  $\mathfrak{b}^l$  be its upper and lower Borel subalgebras. Then  $\mathfrak{b}^u$  has a bracket  $\beta$ , and as the dual of  $\mathfrak{b}^l$  it also has a cocracket  $\delta$ , and in fact,  $\mathfrak{g} \oplus \mathfrak{h} \equiv \text{Double}(\mathfrak{b}^u, \beta, \delta)$ . Let  $\mathfrak{g}_\epsilon^+ := \text{Double}(\mathfrak{b}^u, \beta, \epsilon\delta) \pmod{\epsilon^{d+1}}$  it is solvable for any  $d$ . Then by [BV3, BN] (in the case of  $\mathfrak{g} = \mathfrak{sl}_2$ ) all the interesting tensors of  $\mathcal{U}(\mathfrak{g}_\epsilon^+)$  (quantized or not) are perturbed Gaussian with perturbation parameter  $\epsilon$  with understood bounds on the degrees of the perturbations.

## References.

[BN] D. Bar-Natan, *Everything around  $\mathfrak{sl}_{2+}^{\epsilon}$  is DoPeGDO*. So what?, talk given in “Quantum Topology and Hyperbolic Geometry Conference”, Da Nang, Vietnam, May 2019. Handout and video at [omegaepsilon/DPG](https://omegaepsilon.org/DPG).

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- [BV2] D. Bar-Natan and R. van der Veen, *A Perturbed Alexander Invariant*, to appear in Quantum Topology, [omega-beta/APAI](#).
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- [Ro] L. Rozansky, *A Contribution of the Trivial Flat Connection to the Jones Polynomial and Witten's Invariant of 3D Manifolds, I*, Comm. Math. Phys. **175-2** (1996) 275–296, [arXiv:hep-th/9401061](#); *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, Adv. Math. **134-1** (1998) 1–31, [arXiv:q-alg/9604005](#); *A Universal  $U(1)$ -RCC Invariant of Links and Rationality Conjecture*, [arXiv:math/0201139](#).

There's more  where this came from

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universal  is better than  
rep. theoretical.

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Sort out  the  $i$  factors

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spellcheck!

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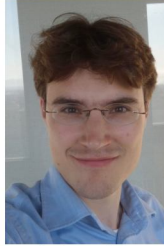
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# Knot Invariants from Finite Dimensional Integration

**Abstract.** For the purpose of today, an “I-Type Knot Invariant” is a knot invariant computed from a knot diagram by integrating the exponential of a Lagrangian which is a sum over the features of that diagram (crossings, edges, faces) of locally defined quantities, over a product of finite dimensional spaces associated to those same features.



joint with R. van der Veen

- Q.** Are there any such things? **A.** Yes.
- Q.** Are they any good? **A.** They are the strongest we know per CPU cycle, and are excellent in other ways too.
- Q.** Didn't Witten do that back in 1988 with path integrals?
- A.** No. His constructions are infinite dimensional and far from rigorous.
- Q.** But integrals belong in analysis!
- A.** Ours only use squeaky-clean algebra.



**The  $sl_2^{\epsilon^2}$  Example.** With  $T$  an indeterminate and with  $\epsilon^2 = 0$ :

$\rightarrow Z = \int_{\mathbb{R}^{14}_{p_i x_i}} \mathcal{L}(X_{15}^+) \mathcal{L}(X_{62}^+) \mathcal{L}(X_{37}^+) \mathcal{L}(C_4^{-1})$

where  $\mathcal{L}(X_{ij}^s) = T^{s/2} e^{L(X_{ij}^s)}$  and  $\mathcal{L}(C_i^\varphi) = T^{\varphi/2} e^{L(C_i^\varphi)}$ , and

$$L(X_{ij}^s) = x_i(p_{i+1} - p_i) + x_j(p_{j+1} - p_j) + (T^s - 1)x_i(p_{i+1} - p_{j+1}) + \frac{\epsilon s}{2} \left( x_i(p_i - p_j) \left( \begin{matrix} (T^s - 1)x_i p_j \\ +2(1 - x_j p_j) \end{matrix} \right) - 1 \right)$$

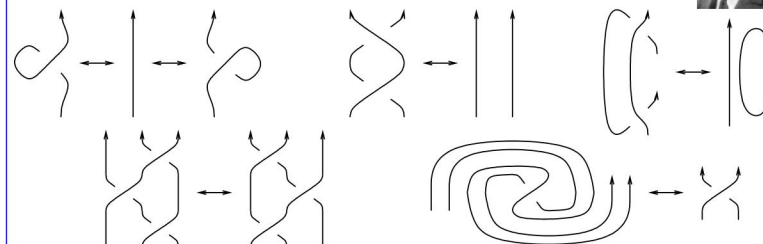
$$L(C_i^\varphi) = x_i(p_{i+1} - p_i) + \epsilon \varphi (1/2 - x_i p_i)$$

So  $Z = T \int e^{L(\otimes)} dp_1 \dots dp_7 dx_1 \dots dx_7$ , where  $L(\otimes) =$

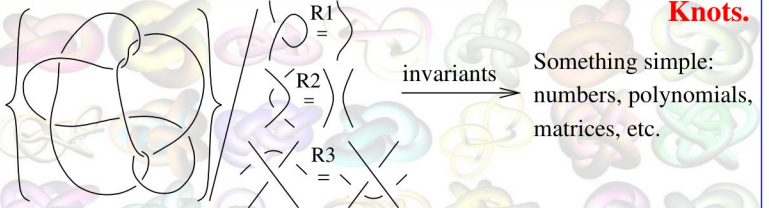
$$\sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \begin{pmatrix} x_1(p_1 - p_5)((T-1)x_1 p_5 + 2(1 - x_5 p_5)) - 1 \\ + x_6(p_6 - p_2)((T-1)x_6 p_2 + 2(1 - x_2 p_2)) - 1 \\ + x_3(p_3 - p_7)((T-1)x_3 p_7 + 2(1 - x_7 p_7)) - 1 \\ + 2x_4 p_4 - 1 \end{pmatrix}$$

and so  $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^{-2}}\right)$ . Here  $\Delta$  is Alexander's polynomial and  $\rho_1$  is Rozansky-Overbay's polynomial [R1]–[R3], [Ov, BV1, BV2].

**Theorem.**  $Z$  is a knot invariant.  
**Proof.** Use Fubini (details later).



**To Do.** • Human-hard but computer-very-easy (poly time!).  
 • Strong! • Details of the proof. • Where is it coming from?  
 • A philosophical point: “Universal invariants” are qualitatively better than representation theory ones.



**The Good.** 1. At the centre of low dimensional topology.  
 2. “Invariants” connect to pretty much all of algebra.  
**The Agony.** 1&2 don't talk to each other.  
 • Not enough topological applications for all these invariants.  
 • The fancy algebra doesn't arise naturally within topology.  
 $\Rightarrow$  We're still missing something about the relationship between knots and algebra.

**(Alternative) Gaussian Integration.**

**Goal.** Compute (if convergent)  $\int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$ .

**Solution.** Set  $\mathcal{Z}_\lambda(x) := \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$ . Then  $\mathcal{Z}_1(0)$  is what we want,  $\mathcal{Z}_0(x) = (\det A)^{-1/2} \exp V(x)$ , and with  $g_{ij}$  the inverse matrix of  $a^{ij}$  and noting that under the  $dy$  integral  $\partial_y = 0$ ,

$$\frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x) = \frac{1}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i})(\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy a^{ij} y_i y_j \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) = \partial_\lambda \mathcal{Z}_\lambda(x)$$

Hence (\*)  $\partial_\lambda \mathcal{Z}_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x)$ , and therefore  $\mathcal{Z}_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x)$ .

We've just witnessed the birth of “Feynman Diagrams”.  
**Even better.** With  $Z := \log(\sqrt{\det AZ})$ , by a simple substitution into (\*), we get the “Synthesis Equation”:

$$Z_0 = V, \quad \partial_\lambda Z_\lambda = \frac{1}{2} \sum_{i,j=1}^n g_{ij} (\partial_{x_i x_j} Z_\lambda + (\partial_{x_i} Z_\lambda)(\partial_{x_j} Z_\lambda)),$$

an ODE (in  $\lambda$ ) whose solution is pure algebra.

**Picard Iteration** (used to prove the existence and uniqueness of solutions of ODEs). To solve  $\partial_\lambda f_\lambda = F(f_\lambda)$  with a given  $f_0$ , start with  $f_0$ , iterate  $f \mapsto f_0 + \int_0^\lambda F(f_\lambda) d\lambda$ , and seek a fixed point. In our cases, it is always reached after finitely many iterations!

**Definition.**  $\oint$ : The result of this process, ignoring  $\int$  convergence.

**Strong.** A faster program to compute  $\rho_1$  is available at [BV2]. With it we find that the pair  $(\Delta, \rho_1)$  attains 53,684 distinct values on the 59,937 prime knots with up to 14 crossings (a deficit of 6,253), whereas the pair (HOMFLYPT polynomial, Khovanov Homology) attains only 49,149 distinct values on the same knots (a deficit of 10,788).  
 In as much as we know the pair  $(\Delta, \rho_1)$  is the strongest knot invariant that can be computed in polynomial time (and hence, even for very large knots).

**Acknowledgement.** This work was supported by NSERC grant RGPIN-2018-04350 and by the Chu Family Foundation (NYC).

**Disclaimer.** It's fun, but not fully ready.

*more to go.*

## Preliminaries

This is IType.nb of  $\omega\epsilon\beta/ap$ .

☺ `Once[<< KnotTheory` ; << Rot.m];`

☐ Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

☐ Loading Rot.m from

<http://drorbn.net/AP/Talks/Groningen-240530>

to compute rotation numbers.

☺ `CF[ $\omega_.$   $\epsilon_.$   $\mathbb{E}$ ] := CF[ $\omega$ ]  $\times$  CF /  $\epsilon$ ;`

`CF[ $\epsilon_.$  List] := CF /  $\epsilon$ ;`

`CF[ $\epsilon_.$ ] := Module[{vs, ps, c},`

`vs = Cases[ $\epsilon$ , {x | p |  $\xi$  |  $\pi$ },  $\infty$ ]  $\cup$  {x, p,  $\epsilon$ };`

`Total[CoefficientRules[Expand[ $\epsilon$ ], vs] /.`

`(ps_  $\rightarrow$  c_)  $\Rightarrow$  Factor[c] (Times @@ vsps) ]];`

## Integration

Using Picard Iteration!

☺  `$\mathbb{E}$  /:  $\mathbb{E}$ [A_]  $\times$   $\mathbb{E}$ [B_] :=  $\mathbb{E}$ [A + B];`

☺  `$\$$  $\pi$  = Identity; (* hacks in pink *)`

☺ `Unprotect[Integrate];`

`$\int$   $\omega_.$   $\mathbb{E}$ [L_]  $d$ (vs_List) :=`

`Module[{n, L0, Q,  $\Delta$ , G, Z0, Z,  $\lambda$ , DZ, FZ, a, b},`

`n = Length@vs; L0 = L /.  $\epsilon$   $\rightarrow$  0;`

`Q = Table[(- $\partial_{vs[[a]], vs[[b]]$  L0) /. Thread[vs  $\rightarrow$  0] /.  
(p | x)  $\rightarrow$  0, {a, n}, {b, n}];`

`If[( $\Delta$  = Det[Q]) == 0, Return["Degenerate Q!"];`

`Z = Z0 = CF@ $\$$  $\pi$ [L + vs.Q.vs / 2]; G = Inverse[Q];`

`DZa :=  $\partial_{vs[[a]]}$  Z; DZa,b :=  $\partial_{vs[[b]]}$  DZa;`

`FZ := CF@ $\$$  $\pi$ [ $\frac{1}{2} \sum_{a=1}^n \sum_{b=1}^n G[[a, b]] (DZ_{a,b} + DZ_a DZ_b)$ ];`

`FixedPoint[(Z = Z0 +  $\int_0^\lambda$  FZ  $d\lambda$ ) &, Z];`

`PowerExpand@Factor[ $\omega$   $\Delta^{-1/2}$ ]  $\times$`

`$\mathbb{E}$ [CF[Z /.  $\lambda$   $\rightarrow$  1 /. Thread[vs  $\rightarrow$  0]]];`

`Protect[Integrate];`

☺  `$\int$   $\mathbb{E}$ [- $\mu$   $x^2$  / 2 +  $i$   $\xi$  x]  $d$ {x}`

☐  `$\mathbb{E}$ [ $-\frac{\epsilon^2}{2\mu}$ ]`  
 `$\sqrt{\mu}$`

☺ `L = - $\frac{1}{2}$  {x1, x2} .  $\begin{pmatrix} a & b \\ b & c \end{pmatrix}$  . {x1, x2} + { $\xi_1$ ,  $\xi_2$ } . {x1, x2};`

`Z12 =  $\int$   $\mathbb{E}$ [L]  $d$ {x1, x2}`

☐  `$\mathbb{E}$ [ $\frac{c \xi_1^2}{2(-b^2+a c)} + \frac{b \xi_1 \xi_2}{b^2-a c} + \frac{a \xi_2^2}{2(-b^2+a c)}$ ]`  
 `$\sqrt{-b^2 + a c}$`

☺ `{Z1 =  $\int$   $\mathbb{E}$ [L]  $d$ {x1}, Z12 =  $\int$  Z1  $d$ {x2}}`

☐  `$\mathbb{E}$ [ $-\frac{(-b^2+a c) x_2^2}{2a} - \frac{b x_2 \xi_1}{a} + \frac{\xi_1^2}{2a} + x_2 \xi_2$ ], True]`

☺  `$\$$  $\pi$  = Normal[# + O[ $\epsilon$ ]13] &;  $\int$   $\mathbb{E}$ [- $\phi^2$  / 2 +  $\epsilon$   $\phi^3$  / 6]  $d$ { $\phi$ }`

☐  `$\mathbb{E}$ [ $\frac{5 \epsilon^2}{24} + \frac{5 \epsilon^4}{16} + \frac{1105 \epsilon^6}{1152} + \frac{565 \epsilon^8}{128} + \frac{82825 \epsilon^{10}}{3072} + \frac{19675 \epsilon^{12}}{96}$ ]`

From <https://oeis.org/A226260>:

0 1 3 6 2 7  
: 13  
: OF THE ON-LINE ENCYCLOPEDIA  
23 IS OF INTEGER SEQUENCES®

founded in 1964 by N. J. A. Sloane

(Greetings from The On-Line Encyclopedia of Integer Sequences!)

A226260 Numerators of mass formula for connected vacuum graphs on 2n nodes for a  $\phi^3$  field theory.  
1, 5, 5, 1105, 565, 82825, 19675, 1282031525, 80727925, 1683480621875, 13209845125,  
2239646759308375, 19739117098375, 6320791709083309375, 32468078556378125, 38362676768845045751875,  
281365778405032973125, 2824650747089425586152484375, 776632157034116712734375 (list; graph; refs; listen;  
history; text; internal format)

## The Right-Handed Trefoil

☺ `K = Mirror@Knot[3, 1]; Features[K]`

☐ `Features[7, C4[-1] X1,5[1] X3,7[1] X6,2[1]]`

☺  `$\mathcal{L}$ [Xi,j[s_]] := Ts/2  $\mathbb{E}$ [  
 $x_i (p_{i+1} - p_i) + x_j (p_{j+1} - p_j) +$   
 $(T^s - 1) x_i (p_{i+1} - p_{j+1}) +$   
 $(\epsilon s / 2) \times$   
 $(x_i (p_i - p_j) ((T^s - 1) x_i p_j + 2(1 - x_j p_j)) - 1)$ ]`

`$\mathcal{L}$ [Ci[ $\phi$ ]] := T $\phi$ /2  $\mathbb{E}$ [ $x_i (p_{i+1} - p_i) + \epsilon \phi (\frac{1}{2} - x_i p_i)$ ]`

`$\mathcal{L}$ [K_] := CF[ $\mathcal{L}$  /@ Features[K] [[2]]]`

`vs[K_] :=`

`Join@@Table[{pi, xi}, {i, Features[K] [[1]]}]`

☺ `{vs[K],  $\mathcal{L}$ [K]}`

☐ `{ {p1, x1, p2, x2, p3, x3, p4, x4, p5, x5, p6, x6, p7, x7},`

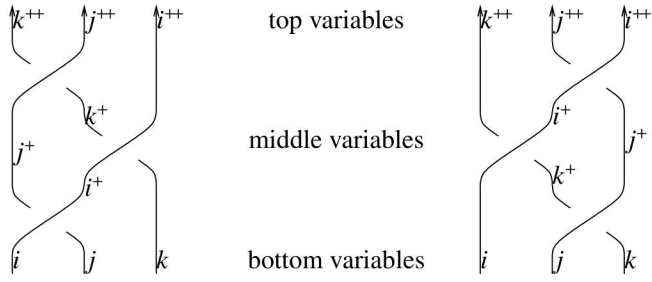
`T  $\mathbb{E}$ [-2  $\epsilon$  - p1 x1 +  $\epsilon$  p1 x1 + T p2 x1 -  $\epsilon$  p5 x1 + (1 - T) p6 x1 +  
 $\frac{1}{2} (-1 + T) \epsilon$  p1 p5 x12 +  $\frac{1}{2} (1 - T) \epsilon$  p52 x12 - p2 x2 +  
p3 x2 - p3 x3 +  $\epsilon$  p3 x3 + T p4 x3 -  $\epsilon$  p7 x3 + (1 - T) p8 x3 +  
 $\frac{1}{2} (-1 + T) \epsilon$  p3 p7 x32 +  $\frac{1}{2} (1 - T) \epsilon$  p72 x32 - p4 x4 +  
 $\epsilon$  p4 x4 + p5 x4 - p5 x5 + p6 x5 -  $\epsilon$  p1 p5 x1 x5 +  
 $\epsilon$  p52 x1 x5 -  $\epsilon$  p2 x6 + (1 - T) p3 x6 - p6 x6 +  
 $\epsilon$  p6 x6 + T p7 x6 +  $\epsilon$  p22 x2 x6 -  $\epsilon$  p2 p6 x2 x6 +  
 $\frac{1}{2} (1 - T) \epsilon$  p22 x62 +  $\frac{1}{2} (-1 + T) \epsilon$  p2 p6 x62 -  
p7 x7 + p8 x7 -  $\epsilon$  p3 p7 x3 x7 +  $\epsilon$  p72 x3 x7 }`

☺  `$\$$  $\pi$  = Normal[# + O[ $\epsilon$ ]2] &;  $\int$   $\mathcal{L}$ [K]  $d$ (vs@K)`

☐  `$i$  T  $\mathbb{E}$ [ $-\frac{(-1+T)^2 (1+T^2) \epsilon}{(1-T+T^2)^2}$ ]`  
 `$1 - T + T^2$`



## Invariance Under Reidemeister 3



☺ lhs

$$\begin{aligned} & \mathbb{E} \left[ -\frac{3\epsilon}{2} + \mathfrak{h} T^2 p_{2+i} \pi_i - \mathfrak{h} (-1+T) T p_{2+j} \pi_i + \right. \\ & \quad \mathfrak{h} T^2 \in p_{2+j} \pi_i - \mathfrak{h} (-1+T) p_{2+k} \pi_i + \\ & \quad \mathfrak{h} T \in p_{2+k} \pi_i - \frac{1}{2} (-1+T) T^3 \in p_{2+i} p_{2+j} \pi_i^2 + \\ & \quad \frac{1}{2} (-1+T) T^3 \in p_{2+j}^2 \pi_i^2 - \frac{1}{2} (-1+T) T^2 \in p_{2+i} p_{2+k} \pi_i^2 + \\ & \quad \frac{1}{2} (-1+T)^2 T \in p_{2+j} p_{2+k} \pi_i^2 + \frac{1}{2} (-1+T) T \in p_{2+k}^2 \pi_i^2 + \\ & \quad \mathfrak{h} T p_{2+j} \pi_j - \mathfrak{h} T \in p_{2+j} \pi_j - \mathfrak{h} (-1+T) p_{2+k} \pi_j + \\ & \quad \mathfrak{h} (-1+2T) \in p_{2+k} \pi_j + T^3 \in p_{2+i} p_{2+j} \pi_i \pi_j - \\ & \quad T^3 \in p_{2+j}^2 \pi_i \pi_j - (-1+T) T^2 \in p_{2+i} p_{2+k} \pi_i \pi_j + \\ & \quad (-1+T)^2 T \in p_{2+j} p_{2+k} \pi_i \pi_j + (-1+T) T \in p_{2+k}^2 \pi_i \pi_j - \\ & \quad \frac{1}{2} (-1+T) T \in p_{2+j} p_{2+k} \pi_j^2 + \frac{1}{2} (-1+T) T \in p_{2+k}^2 \pi_j^2 + \\ & \quad \mathfrak{h} p_{2+k} \pi_k - 2 \mathfrak{h} \in p_{2+k} \pi_k + T^2 \in p_{2+i} p_{2+k} \pi_i \pi_k - \\ & \quad (-1+T) T \in p_{2+j} p_{2+k} \pi_i \pi_k - T \in p_{2+k}^2 \pi_i \pi_k + \\ & \quad \left. T \in p_{2+j} p_{2+k} \pi_j \pi_k - T \in p_{2+k}^2 \pi_j \pi_k \right] \end{aligned}$$

$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ & \quad \mathfrak{d} \{ p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, x_{j+1}, x_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ & \quad \mathfrak{d} \{ x_{i+1}, p_{i+1}, p_{j+1}, p_{k+1}, x_{j+1}, x_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

False

## Invariance Under Reidemeister 3, Take 2

$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ & \quad \mathfrak{d} \{ x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, x_{j+1}, x_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ & \quad \mathfrak{d} \{ x_i, x_j, x_k, x_{i+1}, p_{i+1}, p_{j+1}, p_{k+1}, x_{j+1}, x_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

☺ lhs

Degenerate Q!

## Invariance Under Reidemeister 3, Take 3

$$\begin{aligned} \text{lhs} &= \int (\mathbb{E} [\mathfrak{h} \pi_i p_i + \mathfrak{h} \pi_j p_j + \mathfrak{h} \pi_k p_k] \times \\ & \quad \mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ & \quad \mathfrak{d} \{ p_i, p_j, p_k, x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, \\ & \quad \quad x_{j+1}, x_{k+1} \}; \\ \text{rhs} &= \int (\mathbb{E} [\mathfrak{h} \pi_i p_i + \mathfrak{h} \pi_j p_j + \mathfrak{h} \pi_k p_k] \times \\ & \quad \mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ & \quad \mathfrak{d} \{ p_i, p_j, p_k, x_i, x_j, x_k, p_{i+1}, p_{j+1}, p_{k+1}, x_{i+1}, \\ & \quad \quad x_{j+1}, x_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

Invariance under the other Reidemeister moves is proven in a similar way. See IType.nb at [ωεβ/ap](#).

**Where is it coming from?** The most honest answer is “we don’t know”. The second most, “undetermined coefficients for an ansatz that made sense”. The ansatz comes from the following principles / earlier work:

**Morphisms have generating functions.** Indeed, there is an isomorphism

$$\mathcal{G}: \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j]) \rightarrow \mathbb{Q}[\xi_i][y_j],$$

and by PBW, many relevant spaces are polynomial rings, though only as vector spaces.

**Composition is integration.** Indeed, if  $f \in \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j])$  and  $g \in \text{Hom}(\mathbb{Q}[y_j], \mathbb{Q}[z_k])$ , then

$$\mathcal{G}(g \circ f) = \int e^{-y \cdot \eta} f g \, dy \, d\eta$$

**Use universal invariants.** These take values in a universal enveloping algebra (misschien kwantized), and thus they are expressible as long compositions of generating functions. See [La, Oh].

**“Solvable approximation”  $\rightsquigarrow$  perturbed Gaussians.** Let  $\mathfrak{g}$  be a semisimple Lie algebra, let  $\mathfrak{h}$  be its Cartan subalgebra, and let  $\mathfrak{b}^u$  and  $\mathfrak{b}^l$  be its upper and lower Borel subalgebras. Then  $\mathfrak{b}^u$  has a bracket  $\beta$ , and as the dual of  $\mathfrak{b}^l$  it also has a cbracket  $\delta$ , and in fact,  $\mathfrak{g} \oplus \mathfrak{h} \equiv \text{Double}(\mathfrak{b}^u, \beta, \delta)$ . Let  $\mathfrak{g}_\epsilon^+ := \text{Double}(\mathfrak{b}^u, \beta, \epsilon\delta) \pmod{\epsilon^{d+1}}$  it is solvable for any  $d$ . Then by [BV3, BN1] (in the case of  $\mathfrak{g} = \mathfrak{sl}_2$ ) all the interesting tensors of  $\mathcal{U}(\mathfrak{g}_\epsilon^+)$  (quantized or not) are perturbed Gaussian with perturbation parameter  $\epsilon$  with understood bounds on the degrees of the perturbations.

**The Philosophy Corner.** “Universal invariants”, valued in universal enveloping algebra (possibly quantized) rather than in representations thereof, are a priori better than the representation theoretic ones. They are compatible with strand doubling (the Hopf coproduct), and as the knot genus and the ribbon property for knots are expressible in terms of strand doubling, universal invariants stand a chance to say something about these properties. Indeed, they sometimes do! See e.g. [BN2, GK, LV, BG]. Representation theoretic invariants don’t do that!

**There’s more!** To get  $sl_2$  invariants mod  $\epsilon^3$ , add the following to  $L(X_{ij}^+)$ ,  $L(X_{ij}^-)$ , and  $L(C_i^\varphi)$ , respectively (and see More.nb at  $\omega\epsilon\beta/ap$  for the verifications):

$$\odot \epsilon^2 r_2[1, i, j]$$

$$\square \frac{1}{12} \epsilon^2 \left( -6 p_i x_i + 6 p_j x_i - 3 (-1 + 3 T) p_i p_j x_i^2 + 3 (-1 + 3 T) p_j^2 x_i^2 + 4 (-1 + T) p_i^2 p_j x_i^3 - 2 (-1 + T) (5 + T) p_i p_j^2 x_i^3 + 2 (-1 + T) (3 + T) p_j^3 x_i^3 + 18 p_i p_j x_i x_j - 18 p_j^2 x_i x_j - 6 p_i^2 p_j x_i^2 x_j + 6 (2 + T) p_i p_j^2 x_i^2 x_j - 6 (1 + T) p_j^3 x_i^2 x_j - 6 p_i p_j^2 x_i x_j^2 + 6 p_j^3 x_i x_j^2 \right)$$

$$\odot \epsilon^2 r_2[-1, i, j]$$

$$\square \frac{1}{12 T^2} \epsilon^2 \left( -6 T^2 p_i x_i + 6 T^2 p_j x_i + 3 (-3 + T) T p_i p_j x_i^2 - 3 (-3 + T) T p_j^2 x_i^2 - 4 (-1 + T) T p_i^2 p_j x_i^3 + 2 (-1 + T) (1 + 5 T) p_i p_j^2 x_i^3 - 2 (-1 + T) (1 + 3 T) p_j^3 x_i^3 + 18 T^2 p_i p_j x_i x_j - 18 T^2 p_j^2 x_i x_j - 6 T^2 p_i^2 p_j x_i^2 x_j + 6 T (1 + 2 T) p_i p_j^2 x_i^2 x_j - 6 T (1 + T) p_j^3 x_i^2 x_j - 6 T^2 p_i p_j^2 x_i x_j^2 + 6 T^2 p_j^3 x_i x_j^2 \right)$$

$$\odot \epsilon^2 \gamma_2[\varphi, i]$$

$$\square -\frac{1}{2} \epsilon^2 \varphi^2 p_i x_i$$

The  $sl_2$  formulas mod  $\epsilon^4$  are in the last page of the handout of [BN3].

We are very close to having some  $sl_3$  formulas, but they are certainly not ready for prime time.

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spellcheck?

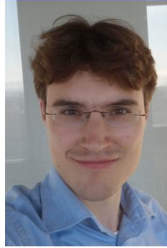
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# Knot Invariants from Finite Dimensional Integration

**Abstract.** For the purpose of today, an “I-Type Knot Invariant” is a knot invariant computed from a knot diagram by integrating the exponential of a Lagrangian which is a sum over the features of that diagram (crossings, edges, faces) of locally defined quantities, over a product of finite dimensional spaces associated to those same features.



joint with R. van der Veen

**Q.** Are there any such things? **A.** Yes.

**Q.** Are they any good? **A.** They are the strongest we know per CPU cycle, and are excellent in other ways too.

**Q.** Didn't Witten do that back in 1988 with path integrals?

**A.** No. His constructions are infinite dimensional and far from rigorous.

**Q.** But integrals belong in analysis!

**A.** Ours only use squeaky-clean algebra.



**The  $sl_2^{\epsilon^2}$  Example.** With  $T$  an indeterminate and with  $\epsilon^2 = 0$ :

$\mathbb{R}^{14}_{p_i x_i}$  measure on  $\mathbb{R}$  is  $(2\pi)^{-1/2}$ -standard

where  $\mathcal{L}(X_{ij}^s) = T^{s/2} e^{L(X_{ij}^s)}$  and  $\mathcal{L}(C_i^\varphi) = T^{\varphi/2} e^{L(C_i^\varphi)}$ , and

$$L(X_{ij}^s) = x_i(p_{i+1} - p_i) + x_j(p_{j+1} - p_j) + (T^s - 1)x_i(p_{i+1} - p_{j+1}) + \frac{\epsilon s}{2} \left( x_i(p_i - p_j) \left( \begin{matrix} (T^s - 1)x_i p_j \\ +2(1 - x_j p_j) \end{matrix} \right) - 1 \right)$$

$$L(C_i^\varphi) = x_i(p_{i+1} - p_i) + \epsilon\varphi(1/2 - x_i p_i)$$

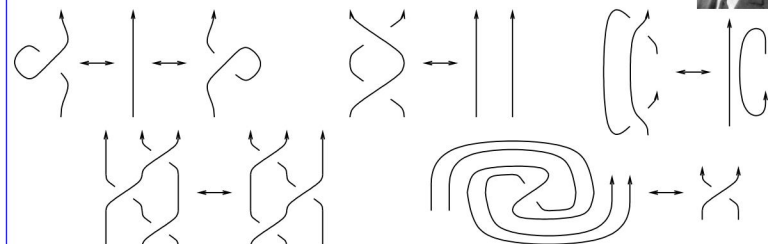
So  $Z = T \int e^{L(\otimes)} dp_1 \dots dp_7 dx_1 \dots dx_7$ , where  $L(\otimes) =$

$$\sum_{i=1}^7 x_i(p_{i+1} - p_i) + (T-1)(x_1(p_2 - p_6) + x_6(p_7 - p_3) + x_3(p_4 - p_8)) + \frac{\epsilon}{2} \begin{pmatrix} x_1(p_1 - p_5)((T-1)x_1 p_5 + 2(1 - x_5 p_5)) - 1 \\ + x_6(p_6 - p_2)((T-1)x_6 p_2 + 2(1 - x_2 p_2)) - 1 \\ + x_3(p_3 - p_7)((T-1)x_3 p_7 + 2(1 - x_7 p_7)) - 1 \\ + 2x_4 p_4 - 1 \end{pmatrix}$$

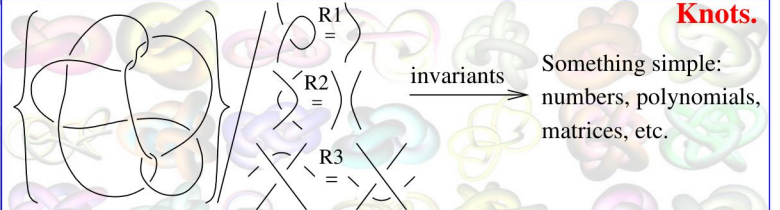
and so  $Z = (T - 1 + T^{-1})^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})(T+T^{-1})}{(T-1+T^{-1})^2}\right) = \Delta^{-1} \exp\left(\epsilon \cdot \frac{(T-2+T^{-1})\rho_1}{\Delta^{-2}}\right)$ . Here  $\Delta$  is Alexander's polynomial and  $\rho_1$  is Rozansky-Overbay's polynomial [R1]–[R3], [Ov, BV1, BV2].

**Theorem.**  $Z$  is a knot invariant.

**Proof.** Use Fubini (details later).



**To Do.** • Human-hard but computer-very-easy (poly time!).  
• Strong! • Details of the proof. • Where is it coming from?  
• A philosophical point: “Universal invariants” are qualitatively better than representation theory ones.



**The Good.** 1. At the centre of low dimensional topology.  
2. “Invariants” connect to pretty much all of algebra.

**The Agony.** 1&2 don't talk to each other.  
• Not enough topological applications for all these invariants.  
• The fancy algebra doesn't arise naturally within topology.  
⇒ We're still missing something about the relationship between knots and algebra.

**(Alternative) Gaussian Integration.**

**Goal.** Compute  $\int_{\mathbb{R}^n} dx \exp\left(-\frac{1}{2} a^{ij} x_i x_j + V(x)\right)$ . (if convergent)

**Solution.** Set  $\mathcal{Z}_\lambda(x) := \lambda^{n/2} \int_{\mathbb{R}^n} dy \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right)$ . Then  $\mathcal{Z}_1(0)$  is what we want,  $\mathcal{Z}_0(x) = (\det A)^{-1/2} \exp V(x)$ , and with  $g_{ij}$  the inverse matrix of  $a^{ij}$  and noting that under the  $dy$  integral  $\partial_y = 0$ ,

$$\begin{aligned} &= \frac{1}{2} \int_{\mathbb{R}^n} dy g_{ij} (\partial_{x_i} - \partial_{y_i}) (\partial_{x_j} - \partial_{y_j}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ &= \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy (g_{ij} a^{il} a^{lj} y_l y_j + \lambda g_{ij} a^{ij}) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ &= \frac{1}{2\lambda^2} \int_{\mathbb{R}^n} dy (a^{ij} y_i y_j + \lambda n) \exp\left(-\frac{1}{2\lambda} a^{ij} y_i y_j + V(x+y)\right) \\ &= \partial_\lambda \mathcal{Z}_\lambda(x). \end{aligned}$$

Hence  $(*) \partial_\lambda \mathcal{Z}_\lambda(x) = \frac{1}{2} g_{ij} \partial_{x_i} \partial_{x_j} \mathcal{Z}_\lambda(x)$

and therefore  $\mathcal{Z}_\lambda(x) = (\det A)^{-1/2} \exp\left(\frac{\lambda}{2} g_{ij} \partial_{x_i} \partial_{x_j}\right) \exp V(x)$ .

We've just witnessed the birth of “Feynman Diagrams”.

**Even better.** With  $Z_\lambda := \log(\sqrt{\det A} \mathcal{Z}_\lambda)$ , by a simple substitution into (\*), we get the “Synthesis Equation”:

$$Z_0 = V, \quad \partial_\lambda Z_\lambda = \frac{1}{2} \sum_{i,j=1}^n g_{ij} (\partial_{x_i x_j} Z_\lambda + (\partial_{x_i} Z_\lambda)(\partial_{x_j} Z_\lambda)),$$

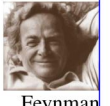
an ODE (in  $\lambda$ ) whose solution is pure algebra.

**Picard Iteration** (used to prove the existence and uniqueness of solutions of ODEs). To solve  $\partial_\lambda f_\lambda = F(f_\lambda)$  with a given  $f_0$ , start with  $f_0$ , iterate  $f \mapsto f_0 + \int_0^\lambda F(f_\lambda) d\lambda$ , and seek a fixed point. In our cases, it is always reached after finitely many iterations!

**Definition.**  $\mathcal{f}$ : The result of this process, ignoring the convergence of the actual integral.

**Strong.** A faster program to compute  $\rho_1$  is available at [BV2]. With it we find that the pair  $(\Delta, \rho_1)$  attains 53,684 distinct values on the 59,937 prime knots with up to 14 crossings (a deficit of 6,253), whereas the pair (HOMFLYPT polynomial, Khovanov Homology) attains only 49,149 distinct values on the same knots (a deficit of 10,788).

In as much as we know the pair  $(\Delta, \rho_1)$  is the strongest knot invariant that can be computed in polynomial time (and hence, even for very large knots).



Picard

## Preliminaries

This is IType.nb of  $\omega\epsilon\beta/ap$ .

☉ Once[<< KnotTheory` ; << Rot.m];

☐ Loading KnotTheory` version

of February 2, 2020, 10:53:45.2097.

Read more at <http://katlas.org/wiki/KnotTheory>.

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<http://drorbn.net/AP/Talks/Groningen-240530>

to compute rotation numbers.

☉ CF[ $\omega_.$ ,  $\mathcal{E}$ ,  $\mathbb{E}$ ] := CF[ $\omega$ ] × CF / @  $\mathcal{E}$ ;

CF[ $\mathcal{E}$ \_List] := CF / @  $\mathcal{E}$ ;

CF[ $\mathcal{E}$ \_] := Module[{vs, ps, c},

vs = Cases[ $\mathcal{E}$ , {x | p |  $\xi$  |  $\pi$ }\_,  $\infty$ ] U {x, p,  $\epsilon$ };

Total[CoefficientRules[Expand[ $\mathcal{E}$ ], vs] /.

(ps\_ → c\_) ⇒ Factor[c] (Times @@ vs<sup>ps</sup>) ]];

## Integration

Using Picard Iteration!

☉  $\mathbb{E} / : \mathbb{E}[A_] \times \mathbb{E}[B_] := \mathbb{E}[A + B]$ ;

☉  $\$ \pi = \text{Identity}$ ; (\* hacks in pink \*)

☉ Unprotect[Integrate];

$\int \omega_ . \mathbb{E}[L_] d(vs\_List) :=$

Module[{n, L0, Q,  $\Delta$ , G, Z0, Z,  $\lambda$ , DZ, FZ, a, b},

n = Length@vs; L0 = L / .  $\epsilon \rightarrow \theta$ ;

Q = Table[(- $\partial_{vs[[a]], vs[[b]]$  L0) / . Thread[vs →  $\theta$ ] / .  
(p | x) →  $\theta$ , {a, n}, {b, n}];

If[( $\Delta = \text{Det}[Q]$ ) == 0, Return["Degenerate Q!"]];

Z = Z0 = CF@\$ $\pi$ [L + vs.Q.vs / 2]; G = Inverse[Q];

DZ<sub>a</sub> :=  $\partial_{vs[[a]]}$  Z; DZ<sub>a, b</sub> :=  $\partial_{vs[[b]]}$  DZ<sub>a</sub>;

FZ := CF@\$ $\pi$ [ $\frac{1}{2} \sum_{a=1}^n \sum_{b=1}^n G[[a, b]] (DZ_{a,b} + DZ_a DZ_b)$ ];

FixedPoint[(Z = Z0 +  $\int_0^\lambda FZ d\lambda$ ) &, Z];

PowerExpand@Factor[ $\omega \Delta^{-1/2}$ ] ×

$\mathbb{E}[CF[Z / . \lambda \rightarrow 1 / . \text{Thread}[vs \rightarrow \theta]]]$ ];

Protect[Integrate];

☉  $\int \mathbb{E}[-\mu x^2 / 2 + i \xi x] d\{x\}$

☐  $\mathbb{E}\left[-\frac{\xi^2}{2\mu}\right]$   
 $\sqrt{\mu}$

☉  $L = -\frac{1}{2} \{x_1, x_2\} \cdot \begin{pmatrix} a & b \\ b & c \end{pmatrix} \cdot \{x_1, x_2\} + \{\xi_1, \xi_2\} \cdot \{x_1, x_2\}$ ;

Z12 =  $\int \mathbb{E}[L] d\{x_1, x_2\}$

☐  $\mathbb{E}\left[\frac{c \xi_1^2}{2(-b^2+a c)} + \frac{b \xi_1 \xi_2}{b^2-a c} + \frac{a \xi_2^2}{2(-b^2+a c)}\right]$   
 $\sqrt{-b^2 + a c}$

☉  $\{Z1 = \int \mathbb{E}[L] d\{x_1\}, Z12 = \int Z1 d\{x_2\}\}$

☐  $\mathbb{E}\left[\frac{-\frac{(-b^2+a c) x_2^2}{2 a} - \frac{b x_2 \xi_1}{a} + \frac{\xi_1^2}{2 a} + x_2 \xi_2}{\sqrt{a}}\right], \text{True}$

☉  $\$ \pi = \text{Normal}[\# + O[\epsilon]^{13}] \&; \int \mathbb{E}[-\phi^2 / 2 + \epsilon \phi^3 / 6] d\{\phi\}$

☐  $\mathbb{E}\left[\frac{5 \epsilon^2}{24} + \frac{5 \epsilon^4}{16} + \frac{1105 \epsilon^6}{1152} + \frac{565 \epsilon^8}{128} + \frac{82825 \epsilon^{10}}{3072} + \frac{19675 \epsilon^{12}}{96}\right]$

From <https://oeis.org/A226260>:

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founded in 1964 by N. J. A. Sloane

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(Greetings from The On-Line Encyclopedia of Integer Sequences!)

A226260 Numerators of mass formula for connected vacuum graphs on 2n nodes for a  $\phi^3$  field theory.  
1, 5, 5, 1105, 565, 82825, 19675, 1282031525, 80727925, 1683480621875, 13209845125,  
2239646759308375, 19739117098375, 6320791709083309375, 32468078556378125, 38362676768845045751875,  
281365778405032973125, 2824650747089425586152484375, 776632157034116712734375 (list: graph: refs: listen:  
history: text: internal format)

## The Right-Handed Trefoil

☉  $K = \text{Mirror@Knot}[3, 1]$ ; Features[K]

☐ Features[7, C<sub>4</sub>[-1] X<sub>1,5</sub>[1] X<sub>3,7</sub>[1] X<sub>6,2</sub>[1]]

☉  $\mathcal{L}[X_{i,j}[s_]] := T^{s/2} \mathbb{E}\left[ \begin{aligned} &x_i (p_{i+1} - p_i) + x_j (p_{j+1} - p_j) + \\ &(T^s - 1) x_i (p_{i+1} - p_{j+1}) + \\ &(\epsilon s / 2) \times \\ &(x_i (p_i - p_j) ((T^s - 1) x_i p_j + 2(1 - x_j p_j)) - 1) \end{aligned} \right]$

$\mathcal{L}[C_i[\varphi_]] := T^{\varphi/2} \mathbb{E}\left[x_i (p_{i+1} - p_i) + \epsilon \varphi \left(\frac{1}{2} - x_i p_i\right)\right]$

$\mathcal{L}[K_] := \text{CF}[\mathcal{L} / @ \text{Features}[K][2]]$

vs[K\_] :=

Join @@ Table[{p<sub>i</sub>, x<sub>i</sub>}, {i, Features[K][1]}]

☉ {vs[K],  $\mathcal{L}[K]$ }

☐ {p<sub>1</sub>, x<sub>1</sub>, p<sub>2</sub>, x<sub>2</sub>, p<sub>3</sub>, x<sub>3</sub>, p<sub>4</sub>, x<sub>4</sub>, p<sub>5</sub>, x<sub>5</sub>, p<sub>6</sub>, x<sub>6</sub>, p<sub>7</sub>, x<sub>7</sub>},

$T \mathbb{E}\left[-2 \epsilon - p_1 x_1 + \epsilon p_1 x_1 + T p_2 x_1 - \epsilon p_5 x_1 + (1 - T) p_6 x_1 + \right.$   
 $\frac{1}{2} (-1 + T) \epsilon p_1 p_5 x_1^2 + \frac{1}{2} (1 - T) \epsilon p_5^2 x_1^2 - p_2 x_2 +$   
 $p_3 x_2 - p_3 x_3 + \epsilon p_3 x_3 + T p_4 x_3 - \epsilon p_7 x_3 + (1 - T) p_8 x_3 +$   
 $\frac{1}{2} (-1 + T) \epsilon p_3 p_7 x_3^2 + \frac{1}{2} (1 - T) \epsilon p_7^2 x_3^2 - p_4 x_4 +$   
 $\frac{1}{2} (-1 + T) \epsilon p_3 p_7 x_3^2 + \frac{1}{2} (1 - T) \epsilon p_7^2 x_3^2 - p_4 x_4 +$   
 $\epsilon p_4 x_4 + p_5 x_4 - \epsilon p_5 x_5 + p_6 x_5 - \epsilon p_1 p_5 x_1 x_5 +$   
 $\epsilon p_5^2 x_1 x_5 - \epsilon p_2 x_6 + (1 - T) p_3 x_6 - p_6 x_6 +$   
 $\epsilon p_6 x_6 + T p_7 x_6 + \epsilon p_2^2 x_2 x_6 - \epsilon p_2 p_6 x_2 x_6 +$   
 $\frac{1}{2} (1 - T) \epsilon p_2^2 x_6^2 + \frac{1}{2} (-1 + T) \epsilon p_2 p_6 x_6^2 -$   
 $\left. p_7 x_7 + p_8 x_7 - \epsilon p_3 p_7 x_3 x_7 + \epsilon p_7^2 x_3 x_7 \right]$

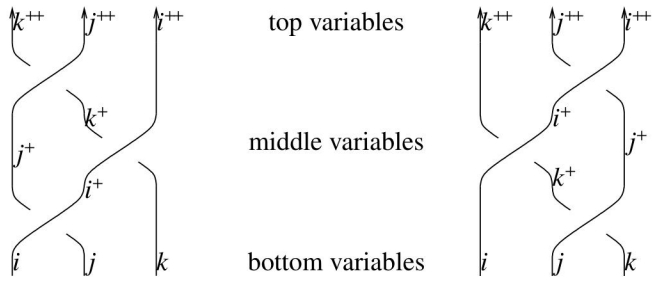
☉  $\$ \pi = \text{Normal}[\# + O[\epsilon]^2] \&; \int \mathcal{L}[K] d(vs@K)$

☐  $i T \mathbb{E}\left[-\frac{(-1+T)^2 (1+T^2) \epsilon}{(1-T+T^2)^2}\right]$

?



## Invariance Under Reidemeister 3



$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_{i+1}, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

False

## Invariance Under Reidemeister 3, Take 2

$$\begin{aligned} \text{lhs} &= \int (\mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{x}_{i+1}, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

lhs

Degenerate Q!

## Invariance Under Reidemeister 3, Take 3

$$\begin{aligned} \text{lhs} &= \int (\mathbb{E} [\mathfrak{d} \pi_i \mathbf{p}_i + \mathfrak{d} \pi_j \mathbf{p}_j + \mathfrak{d} \pi_k \mathbf{p}_k] \times \\ &\quad \mathcal{L} / @ (X_{i,j} [1] X_{i+1,k} [1] X_{j+1,k+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_i, \mathbf{p}_j, \mathbf{p}_k, \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \\ &\quad \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{rhs} &= \int (\mathbb{E} [\mathfrak{d} \pi_i \mathbf{p}_i + \mathfrak{d} \pi_j \mathbf{p}_j + \mathfrak{d} \pi_k \mathbf{p}_k] \times \\ &\quad \mathcal{L} / @ (X_{j,k} [1] X_{i,k+1} [1] X_{i+1,j+1} [1])) \\ &\quad \mathfrak{d} \{ \mathbf{p}_i, \mathbf{p}_j, \mathbf{p}_k, \mathbf{x}_i, \mathbf{x}_j, \mathbf{x}_k, \mathbf{p}_{i+1}, \mathbf{p}_{j+1}, \mathbf{p}_{k+1}, \mathbf{x}_{i+1}, \\ &\quad \mathbf{x}_{j+1}, \mathbf{x}_{k+1} \}; \\ \text{lhs} &== \text{rhs} \end{aligned}$$

True

lhs

$$\begin{aligned} &\int \mathbb{T}^{3/2} \mathbb{E} \left[ -\frac{3\epsilon}{2} + \mathfrak{d} \mathbb{T}^2 \mathbf{p}_{2+i} \pi_i - \mathfrak{d} (-1 + \mathbb{T}) \mathbb{T} \mathbf{p}_{2+j} \pi_i + \right. \\ &\quad \mathfrak{d} \mathbb{T}^2 \in \mathbf{p}_{2+j} \pi_i - \mathfrak{d} (-1 + \mathbb{T}) \mathbf{p}_{2+k} \pi_i + \\ &\quad \mathfrak{d} \mathbb{T} \in \mathbf{p}_{2+k} \pi_i - \frac{1}{2} (-1 + \mathbb{T}) \mathbb{T}^3 \in \mathbf{p}_{2+i} \mathbf{p}_{2+j} \pi_i^2 + \\ &\quad \frac{1}{2} (-1 + \mathbb{T}) \mathbb{T}^3 \in \mathbf{p}_{2+j}^2 \pi_i^2 - \frac{1}{2} (-1 + \mathbb{T}) \mathbb{T}^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i^2 + \\ &\quad \frac{1}{2} (-1 + \mathbb{T})^2 \mathbb{T} \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i^2 + \frac{1}{2} (-1 + \mathbb{T}) \mathbb{T} \in \mathbf{p}_{2+k}^2 \pi_i^2 + \\ &\quad \mathfrak{d} \mathbb{T} \mathbf{p}_{2+j} \pi_j - \mathfrak{d} \mathbb{T} \in \mathbf{p}_{2+j} \pi_j - \mathfrak{d} (-1 + \mathbb{T}) \mathbf{p}_{2+k} \pi_j + \\ &\quad \mathfrak{d} (-1 + 2\mathbb{T}) \in \mathbf{p}_{2+k} \pi_j + \mathbb{T}^3 \in \mathbf{p}_{2+i} \mathbf{p}_{2+j} \pi_i \pi_j - \\ &\quad \mathbb{T}^3 \in \mathbf{p}_{2+j}^2 \pi_i \pi_j - (-1 + \mathbb{T}) \mathbb{T}^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i \pi_j + \\ &\quad (-1 + \mathbb{T})^2 \mathbb{T} \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i \pi_j + (-1 + \mathbb{T}) \mathbb{T} \in \mathbf{p}_{2+k}^2 \pi_i \pi_j - \\ &\quad \frac{1}{2} (-1 + \mathbb{T}) \mathbb{T} \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_j^2 + \frac{1}{2} (-1 + \mathbb{T}) \mathbb{T} \in \mathbf{p}_{2+k}^2 \pi_j^2 + \\ &\quad \mathfrak{d} \mathbf{p}_{2+k} \pi_k - 2 \mathfrak{d} \in \mathbf{p}_{2+k} \pi_k + \mathbb{T}^2 \in \mathbf{p}_{2+i} \mathbf{p}_{2+k} \pi_i \pi_k - \\ &\quad (-1 + \mathbb{T}) \mathbb{T} \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_i \pi_k - \mathbb{T} \in \mathbf{p}_{2+k}^2 \pi_i \pi_k + \\ &\quad \left. \mathbb{T} \in \mathbf{p}_{2+j} \mathbf{p}_{2+k} \pi_j \pi_k - \mathbb{T} \in \mathbf{p}_{2+k}^2 \pi_j \pi_k \right] \end{aligned}$$

Invariance under the other Reidemeister moves is proven in a similar way. See IType.nb at [omega-beta/ap](#).

**Where is it coming from?** The most honest answer is “we don’t know”. The second most, “undetermined coefficients for an ansatz that made sense”. The ansatz comes from the following principles / earlier work:

**Morphisms have generating functions.** Indeed, there is an isomorphism

$$\mathcal{G}: \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j]) \rightarrow \mathbb{Q}[\xi_i][y_j],$$

and by PBW, many relevant spaces are polynomial rings, though only as vector spaces.

**Composition is integration.** Indeed, if  $f \in \text{Hom}(\mathbb{Q}[x_i], \mathbb{Q}[y_j])$  and  $g \in \text{Hom}(\mathbb{Q}[y_j], \mathbb{Q}[z_k])$ , then

$$\mathcal{G}(g \circ f) = \int e^{-y \cdot \eta} f g \, dy \, d\eta$$

**Use universal invariants.** These take values in a universal enveloping algebra (misschien kwantized), and thus they are expressible as long compositions of generating functions. See [La, Oh]. **“Solvable approximation”**  $\rightsquigarrow$  **perturbed Gaussians.** Let  $\mathfrak{g}$  be a semisimple Lie algebra, let  $\mathfrak{h}$  be its Cartan subalgebra, and let  $\mathfrak{b}^u$  and  $\mathfrak{b}^l$  be its upper and lower Borel subalgebras. Then  $\mathfrak{b}^u$  has a bracket  $\beta$ , and as the dual of  $\mathfrak{b}^l$  it also has a cobracket  $\delta$ , and in fact,  $\mathfrak{g} \oplus \mathfrak{h} \equiv \text{Double}(\mathfrak{b}^u, \beta, \delta)$ . Let  $\mathfrak{g}_\epsilon^+ := \text{Double}(\mathfrak{b}^u, \beta, \epsilon\delta) \pmod{\epsilon^{d+1}}$  it is solvable for any  $d$ . Then by [BV3, BN1] (in the case of  $\mathfrak{g} = \mathfrak{sl}_2$ ) all the interesting tensors of  $\mathcal{U}(\mathfrak{g}_\epsilon^+)$  (quantized or not) are perturbed Gaussian with perturbation parameter  $\epsilon$  with with understood bounds on the degrees of the perturbations.

**The Philosophy Corner.** “Universal invariants”, valued in universal enveloping algebra (possibly quantized) rather than in representations thereof, are a priori better than the representation theoretic ones. They are compatible with strand doubling (the Hopf coproduct), and as the knot genus and the ribbon property for knots are expressible in terms of strand doubling, universal invariants stand a chance to say something about these properties. Indeed, they sometimes do! See e.g. [BN2, GK, LV, BG]. Representation theoretic invariants don’t do that!

**There’s more!** To get  $sl_2$  invariants mod  $\epsilon^3$ , add the following to  $L(X_{ij}^+)$ ,  $L(X_{ij}^-)$ , and  $L(C_i^\varphi)$ , respectively (and see More.nb at  $\omega\epsilon\beta/ap$  for the verifications):

$$\odot \epsilon^2 r_2[1, i, j]$$

$$\square \frac{1}{12} \epsilon^2 \left( -6 p_i x_i + 6 p_j x_i - 3 (-1 + 3 T) p_i p_j x_i^2 + 3 (-1 + 3 T) p_j^2 x_i^2 + 4 (-1 + T) p_i^2 p_j x_i^3 - 2 (-1 + T) (5 + T) p_i p_j^2 x_i^3 + 2 (-1 + T) (3 + T) p_j^3 x_i^3 + 18 p_i p_j x_i x_j - 18 p_j^2 x_i x_j - 6 p_i^2 p_j x_i^2 x_j + 6 (2 + T) p_i p_j^2 x_i^2 x_j - 6 (1 + T) p_j^3 x_i^2 x_j - 6 p_i p_j^2 x_i x_j^2 + 6 p_j^3 x_i x_j^2 \right)$$

$$\odot \epsilon^2 r_2[-1, i, j]$$

$$\square \frac{1}{12 T^2} \epsilon^2 \left( -6 T^2 p_i x_i + 6 T^2 p_j x_i + 3 (-3 + T) T p_i p_j x_i^2 - 3 (-3 + T) T p_j^2 x_i^2 - 4 (-1 + T) T p_i^2 p_j x_i^3 + 2 (-1 + T) (1 + 5 T) p_i p_j^2 x_i^3 - 2 (-1 + T) (1 + 3 T) p_j^3 x_i^3 + 18 T^2 p_i p_j x_i x_j - 18 T^2 p_j^2 x_i x_j - 6 T^2 p_i^2 p_j x_i^2 x_j + 6 T (1 + 2 T) p_i p_j^2 x_i^2 x_j - 6 T (1 + T) p_j^3 x_i^2 x_j - 6 T^2 p_i p_j^2 x_i x_j^2 + 6 T^2 p_j^3 x_i x_j^2 \right)$$

$$\odot \epsilon^2 \gamma_2[\varphi, i]$$

$$\square -\frac{1}{2} \epsilon^2 \varphi^2 p_i x_i$$

The  $sl_2$  formulas mod  $\epsilon^4$  are in the last page of the handout of [BN3].

We are very close to having some  $sl_3$  formulas, but they are certainly not ready for prime time.

## References.

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**Disclaimer.** It’s fun, but not fully ready.