

# Emergent version of Drinfeld's associator equations

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work in progress, joint with Dror Bar-Natan (Toronto)

## Introduction

$\Phi$ : Drinfeld associator  $\rightsquigarrow$   $\text{PaB} \xrightarrow{\cong} \text{PaCD}$ ,

$$\begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \mapsto \left( \exp\left(\frac{1}{2} H\right), X \right)$$

$$\left[ \begin{array}{c} | \\ | \\ | \end{array} \right] \mapsto \Phi \in \exp(\text{DK}_3)$$

$$\left\{ \begin{array}{l} \text{5-gon eqn } \square \quad \Phi\Phi\Phi = \Phi\Phi \in \exp(\text{DK}_4) \\ \text{6-gon eqns } \square_+, \square_- \end{array} \right\} \Downarrow \text{Funsho}$$

"Tangles in a pole dance studio"

Bar-Natan - Dancso - Hogan - Liu - Scherich

$$\begin{array}{c} | \quad | \quad | \quad | \quad | \\ | \quad | \quad | \quad | \quad | \end{array} \rightsquigarrow \begin{array}{c} | \quad | \quad | \quad | \quad | \\ | \quad | \quad | \quad | \quad | \end{array}$$

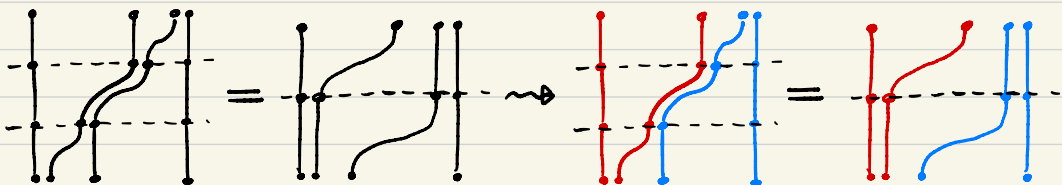
poles strands

## Emergent version

- no chords between poles
- at most one chord between strands

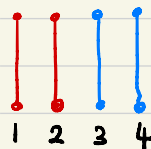
$$\begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline \end{array} \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline \end{array} \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline \end{array} \quad DK_3 \cong \mathbb{Q}(t_{12}+t_{13}+t_{23}) \oplus FL(t_{13}, t_{23})$$

$$\rightsquigarrow \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline \end{array} \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline \end{array} \begin{array}{|c|} \hline \bullet \\ \hline \bullet \\ \hline \bullet \\ \hline \end{array} \quad EDK_{2,1} \cong FL(t_{13}, t_{23})$$



The emergent 5-gon eqn takes place in

$$EDK_{2,2} \cong \frac{FL(\cancel{t_{12}}, t_{13}, t_{14}, t_{23}, t_{24}, t_{34})}{4T, [t_{ij}, t_{kl}] = 0, \deg t_{34} \geq 2}$$



$$\cong FL(x, y)_1 \oplus FL(x, y)_2 \oplus FA(x, y)_{1,2}$$

Aim: • more tractable eqn's

• revisit the map  $V: \text{gut}_1 \hookrightarrow \text{krV}_2$  (Alekseev-Towossian)

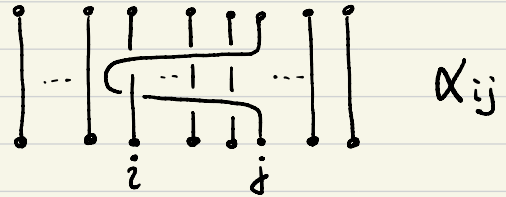
# § mixed braids & emergent braids

## mixed braids

$$B_{p,s} = \left\{ \begin{array}{l} \text{diagram: } p \text{ red strands } \parallel, s \text{ blue strands } \text{braided} \\ \text{diagram: } p \text{ red strands } \parallel, s \text{ blue strands } \text{braided} \end{array} \mid \begin{array}{l} (p+s)\text{-braid which projects to} \\ \text{the trivial } p\text{-braid } \begin{array}{c} \text{diagram: } p \text{ red strands } \parallel \\ 1 \ 2 \ \dots \ p \end{array} \end{array} \right\}$$

$$PB_{p,s} = \text{Ker} (B_{p,s} \rightarrow \mathbb{S}_s) \quad \text{the pure part}$$

Recall: generators for  $PB_n$



Presentation of  $PB_{p,s}$  (Lambropoulou)

generators



relations The pure group relations among the above  $\alpha_{ij}$ 's

$$\mathcal{A}_{p,s} := \text{gr} \oplus PB_{p,s} \quad (\text{w.r.t. the aug. ideal})$$

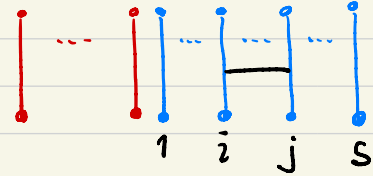
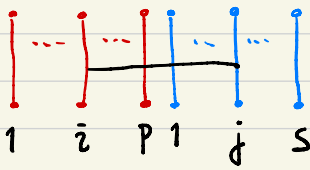
$$\mathcal{A}_{p,s} \supset DK_{p,s} \quad \text{the primitives}$$

$DK_{p,s}$

generators

$$a_{ij} \quad \left. \begin{array}{l} 1 \leq i \leq p \\ 1 \leq j \leq s \end{array} \right\},$$

$$c_{ij} \quad 1 \leq i < j \leq s$$



relations 4T,  $[ \begin{array}{l} a_{ij} \\ c_{ij} \end{array}, c_{kl} ] = 0$

emergent braids

$$J := \langle \text{braids} - \text{braids} \rangle \subset \mathbb{Q}B_{p,s}$$

$$\mathbb{Q}B_{p,s}^{1/2} := \mathbb{Q}B_{p,s} / J^2, \quad \mathbb{Q}PB_{p,s}^{1/2} := \mathbb{Q}B_{p,s} / (J^2 \cap \mathbb{Q}PB_{p,s})$$

$$\mathcal{EA}_{p,s} := \text{gr } \mathbb{Q}PB_{p,s}^{1/2} \quad \mathcal{EA}_{p,s} \supset \text{EDK}_{p,s} \quad \text{the primitives}$$

$EDK_{p,s}$

generators the same as  $DK_{p,s}$

relations the same as  $DK_{p,s}$  +  $\text{deg}_c \leq 1$



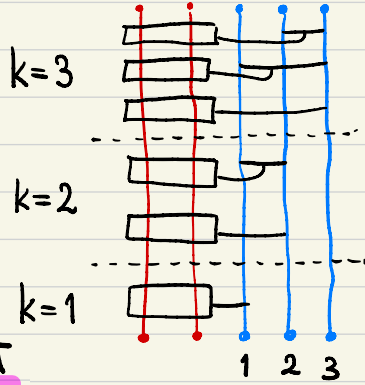
Combing

$$FL = FL(x_1, \dots, x_p), \quad FA = FA(x_1, \dots, x_p)$$

$$EDK_{p,s} \stackrel{\text{u.s.}}{\cong} \bigoplus_{1 \leq k \leq s} \left( FL \oplus \bigoplus_{j < k} FA \right)$$

$$U(x_1, \dots, x_p)_k \rightsquigarrow U(a_{1k}, \dots, a_{pk})$$

$$(x_{i_1} \dots x_{i_m})_{jk} \rightsquigarrow \overbrace{a_{i_1 k} \dots a_{i_m k} C_{jk}}^{\dots}$$



$$\left[ \begin{array}{c} \text{red} \\ \text{blue} \\ \text{blue} \end{array} + \begin{array}{c} \text{red} \\ \text{blue} \\ \text{blue} \end{array}, \begin{array}{c} \text{red} \\ \text{blue} \\ \text{blue} \end{array} \right] = 0$$

$(x_1)_1 \quad C_{12} \quad (x_2)_1$

$$\rightsquigarrow [(x_1)_1, (x_2)_1] = [-(x_2)_1, C_{12}]$$

Lie bracket

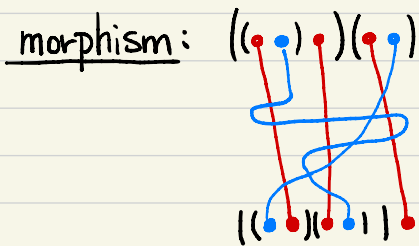
$$\bullet \text{ FL vs. FL: } \begin{cases} [u_j, v_k] = \left( \sum_i (\partial_i v) x_i \overline{(\partial_i u)} \right)_{jk} & (j < k), \\ [u_k, v_k] = [u, v]_k \end{cases}$$

$$\bullet \text{ FL vs. FA: } [u_i, w_{jk}] = \begin{cases} 0 & i \notin \{j, k\} \\ -(wu)_{ij} & i = j \\ (uw)_{ij} & i = k \end{cases}$$

$$\bullet \text{ FA vs. FA: } [FA, FA] = 0$$

## § Category of emergent braids

PaEB object:  $((\bullet \bullet) \bullet) (\bullet \bullet)_{31}$  per. of  $P$  poles and  $S$  strands

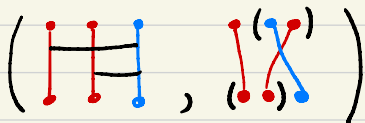


$\mathbb{Q} \left\{ \begin{array}{l} \text{par. } (P+S)\text{-braids which project} \\ \text{to the trivial par. } P\text{-braids} \end{array} \right\}$

$$\left( \begin{array}{c} \dots \\ \text{---} \\ \dots \end{array} - \begin{array}{c} \dots \\ | \\ \dots \end{array} \right)^2$$

PaECD object: the same as PaEB

morphism:  $\mathcal{EA}_{P,S} \times \left\{ \begin{array}{l} \text{par. permutations of } P \text{ poles and} \\ S \text{ strands which project to the} \\ \text{trivial par. perm. of } P \text{ poles} \end{array} \right\}$

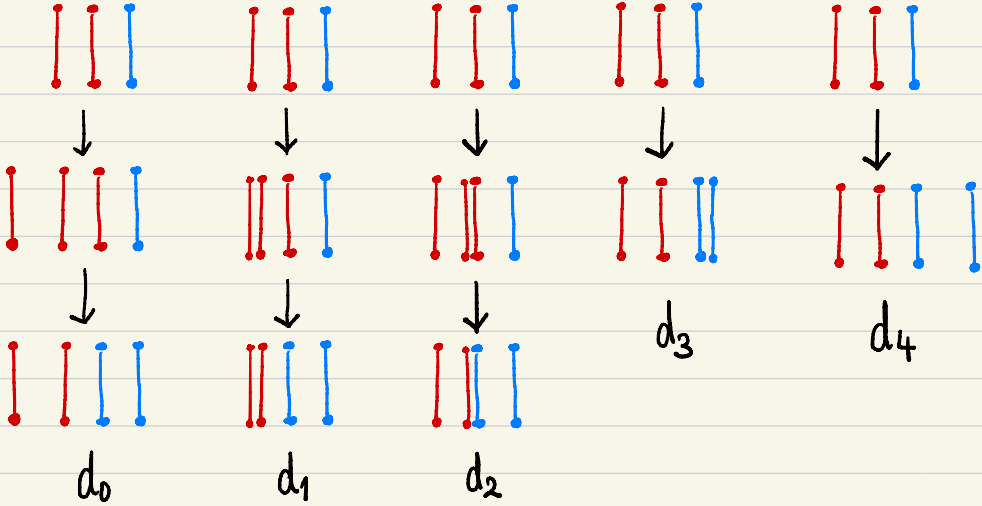


operations • adding a pole or a strand to the left / right

- doubling a pole or a strand
- changing a pole to a strand
- deleting a pole or a strand

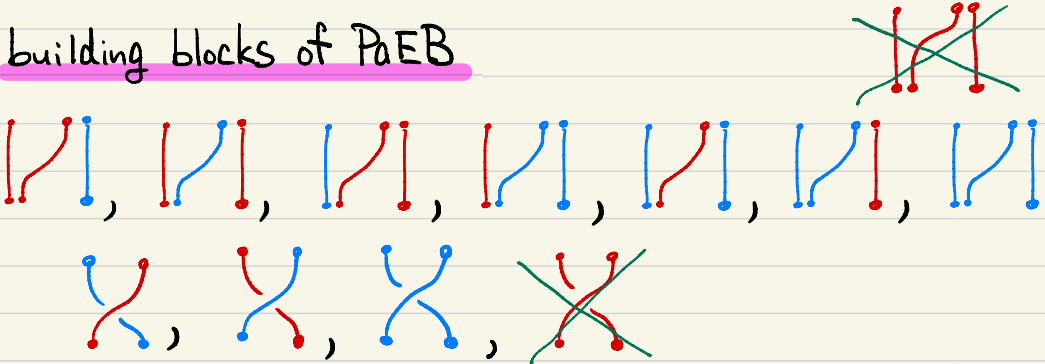
coface maps  $d_i : \text{EDK}_{p,s} \rightarrow \text{EDK}_{p,s+1} \quad i=0,1,\dots,p+s+1$

Example:  $p=2, s=1 \quad d_i : \text{EDK}_{2,1} \rightarrow \text{EDK}_{2,2}$



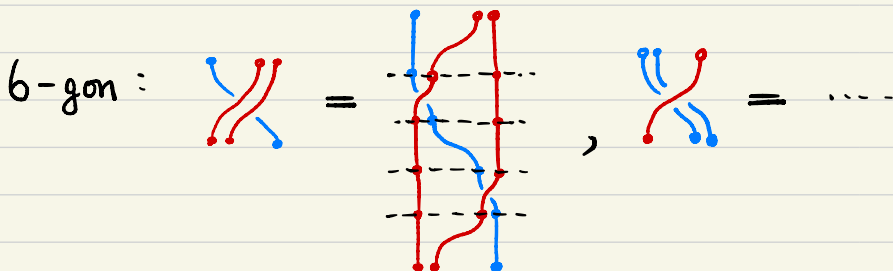
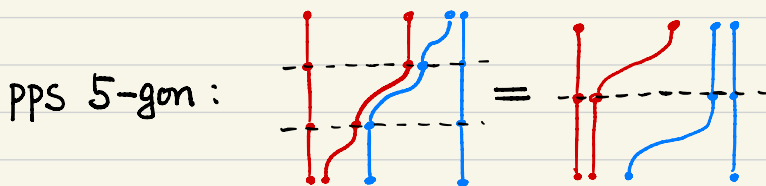
Problem Construct an expansion  $Z : \text{PaEB} \xrightarrow{\cong} \text{PaECD}$

building blocks of PaEB



and applying  $| \rightsquigarrow ||, | \rightsquigarrow |$  etc., we get more.

relations 5-gons and 6-gons with various colors



Problem Give a presentation of PaEB. (We will need a pole-strand version of the coherence theorem.)

## § 5-gon and 6-gon equations

An expansion  $Z : \text{PaEB} \rightarrow \text{PaECD}$  will be specified by

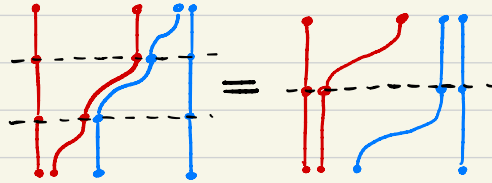
$$Z(\text{diagram}) = (\exp(\frac{1}{2} \text{H}), \text{diagram}), \quad x=x_1, y=x_2$$

$$\Phi_{\text{pps}} := Z(\text{diagram}) \in \exp(\text{EDK}_{2,1}) \equiv \exp(\text{FL}(x, y)),$$

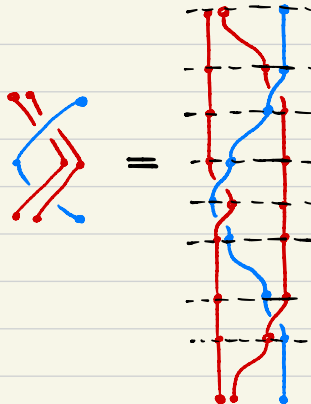
$$\Phi_{\text{psp}} := Z(\text{diagram}), \quad \Phi_{\text{pss}} := Z(\text{diagram}), \text{ etc.}$$

Assume  $\Phi_{\text{spp}} = Z(\text{diagram}) = \Phi_{\text{pps}}(y, x)^{-1}$  and focus on

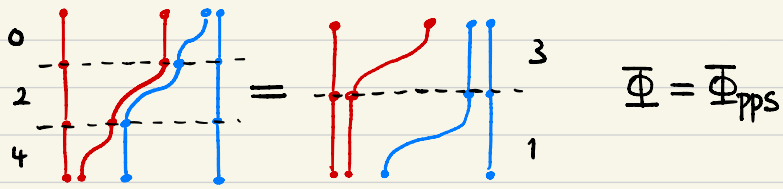
pps 5-gon:



pps doubled 6-gon:



pps 5-gon



$$\rightsquigarrow d_4 \Phi \cdot d_2 \Phi \cdot d_0 \Phi = d_1 \Phi \cdot d_3 \Phi$$

linearization

$$\rightsquigarrow d_4 \varphi + d_2 \varphi + d_0 \varphi = d_1 \varphi + d_3 \varphi$$

Key term  $d_3 \varphi = \varphi_1 + \varphi_2 + R(\varphi)_{1,2}$ ,

where  $R: FL \rightarrow FA$  is a unique map such that

$$R(x_i) = 0 \quad \& \quad R([u, v]) = \sum_i \left( (\partial_i v) x_i (\partial_i u) - (\partial_i u) x_i (\partial_i v) \right)$$

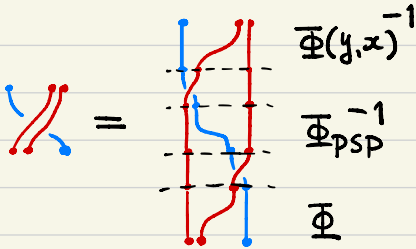
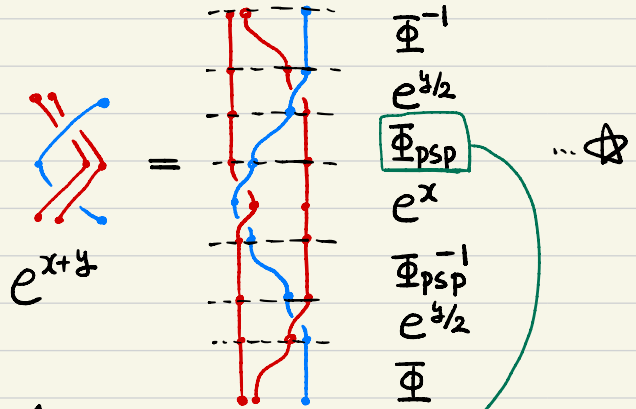
$x_i, y_i, \dots = x_1, x_2, \dots$

linearized pps 5-gon  $\Leftrightarrow$

$$\begin{aligned} & \underbrace{\varphi(x, y)_1}_{d_4 \varphi} + \left( \underbrace{\varphi(x, y)_2}_{d_2 \varphi} + (\partial_y \varphi)(x, y)_{1,2} \right) + \left( \underbrace{\varphi(y, 0)_2}_{d_0 \varphi} + (\partial_y \varphi)(y, 0)_{1,2} \right) \\ &= \left( \underbrace{\varphi(x+y, 0)_2}_{d_1 \varphi} + (\partial_y \varphi)(x+y, 0)_{1,2} \right) + \left( \underbrace{\varphi(x, y)_1 + \varphi(x, y)_2 + R(\varphi)_{1,2}}_{d_3 \varphi} \right) \end{aligned}$$

$$\Leftrightarrow \begin{cases} \varphi(y, 0) - \varphi(x+y, 0) = 0 \\ (\partial_y \varphi)(x, y) + (\partial_y \varphi)(y, 0) - (\partial_y \varphi)(x+y, 0) - R(\varphi) = 0 \end{cases}$$

pps doubled 6-gon



$$\Phi_{psp} = e^{x/2} \Phi(y,x)^{-1} e^{-\frac{(x+y)}{2}} \Phi e^{y/2}$$

$\star \Leftrightarrow$

$$e^{x+y} = \exp\left(\exp\left(\text{ad}_{\frac{x+y}{2}}\right) \exp\left(\text{ad}_{\varphi(y,x)}\right)(x)\right) \times \exp\left(\text{ad}_{\varphi(x,y)}\right)(y)$$

linearization  $\circlearrowleft$   $0 = [\varphi(y,x), x] + [\varphi(x,y), y]$

Summary linearized pps 5-gon and doubled 6-gon

$$\Leftrightarrow \left\{ \begin{array}{l} \left\{ \begin{array}{l} \varphi(y, 0) - \varphi(x+y, 0) = 0 \quad \dots (P1) \\ (\partial_y \varphi)(x, y) + (\partial_x \varphi)(y, 0) - (\partial_x \varphi)(x+y, 0) - R(\varphi) = 0 \\ \vdots \\ 0 = [\varphi(y, x), x] + [\varphi(x, y), y] \quad \dots (H) \end{array} \right. \quad \dots (P2) \end{array} \right.$$

Computer experiment : up to degree 17,

$$\begin{aligned} \{ \text{solutions to (P2)} \} &= \{ \text{solutions to (P1), (P2), (H)} \} \\ &= FL(\sigma_3, \sigma_5, \sigma_7, \sigma_9, \dots) \end{aligned}$$

Tentatively,  $\boxed{\text{grt}_1^{\text{EM}} := \{ \text{solutions to (P1), (P2), (H)} \}}$

Rem For the pss case  $!!!$ ,  $\Phi = \Phi_{\text{pss}} \in \exp(\text{EDK}_{1,2})$

$$\{ \text{sol. to 5-gon} \} = \mathbb{Q}[[x]]_{\geq 1} \quad \exp^{\text{III}}(\mathbb{Q}[[x]]_{\geq 1})$$

$$\{ \text{sol. to } \begin{array}{l} \text{5-gon} \\ \text{doubled 6-gon} \end{array} \} = \left\{ f(x) \mid f_{\text{odd}}(x) = \frac{1}{2} \sum_{k \geq 2} \frac{B_k}{k!} x^{k-1} \right\}$$



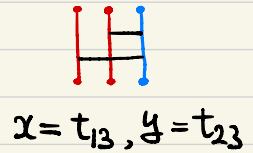
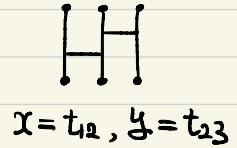
# § Relation to KV

$\left. \begin{array}{l} \text{sol. to various} \\ \text{pole-strand 5-gons} \\ \& \text{ 6-gons} \end{array} \right\} \cong_{\text{hope}} \left. \begin{array}{l} \text{expansions} \\ \text{for PaEB} \end{array} \right\}$

$\left. \begin{array}{l} \text{Drienfeld} \\ \text{associators} \end{array} \right\} \longrightarrow \left. \begin{array}{l} \text{Sol. to PPS 5-gon} \\ \& \text{ doubled 6-gon} \end{array} \right\}$

$\left. \begin{array}{l} \text{linearization} \\ \downarrow \\ \text{grt}_1 \end{array} \right\} \longrightarrow \left. \begin{array}{l} \text{linearization} \\ \downarrow \\ \text{grt}_1^{\text{EM}} \end{array} \right\}$

$\Psi(x, y) \longmapsto \Psi(-x-y, y)$   
 $\downarrow$  Alekseev-Toussian  $\hookrightarrow$   
 $\text{krV}_2^{\text{sym}}$



## Thm (Bar-Natan - K.)

(1) There is an embedding  $\nu^{\text{EM}}: \text{grt}_1^{\text{EM}} \hookrightarrow \text{krV}_2^{\text{sym}}$ ,  
 $\Psi \mapsto (\Psi(y, x), \Psi(x, y))$  compatible with  $\nu$ .

(2) In  $\text{deg} \geq 3$ ,  $\text{Im } \nu^{\text{EM}} = \text{krV}_2^{\text{sym}}$

$(y, x)$  deg 1 elm. in  $\text{krV}_2^{\text{sym}}$

## § Details about the proof

### The map R and self-intersection of loops

$$(P2) \quad (\partial_y \varphi)(x, y) + (\partial_y \varphi)(y, 0) - (\partial_y \varphi)(x+y, 0) - \underbrace{R(\varphi)} = 0$$

$$\pi = \pi_1(D^2 - p \text{ pts})$$

Def (based loop ver. of the Goldman bracket / the Turaev cobracket)



$$\eta : \mathbb{Q}\pi^{\otimes 2} \rightarrow \mathbb{Q}\pi, \quad \eta(\alpha, \beta) = \sum_{p \in \alpha \cap \beta} \epsilon_p \alpha_{*p} \beta_{*p}$$

$$\mu_0 : \mathbb{Q}\pi \rightarrow \mathbb{Q}\pi, \quad \mu_0(\gamma) = \sum_{p \in \text{Self}(\gamma)} \epsilon_p \gamma_{*t_1^p} \gamma_{t_2^p}$$

Rem •  $\eta$  &  $\mu_0$  are equivalent to  $K$  &  $\mu$  in AKKN

•  $\mu_0$  recovers the (framed) Turaev cobracket

$\rightsquigarrow$  induced operations on  $\text{gr } \mathbb{Q}\pi = \text{FA} = \text{FA}(z_1, \dots, z_p)$

Prop  $R = -(\mu_0)_{\text{gr}} : \text{FL} \rightarrow \text{FA}$  (true for any  $p$ )



## Outline of proof

Thm (1) :  $\varphi \in \text{grt}_1^{\text{EM}} \Rightarrow V^{\text{EM}}(\varphi) = (\varphi(y, x), \varphi(x, y)) \in \text{krV}_2$

$$(P1)(P2)(H) \Leftrightarrow V^{\text{EM}}(\varphi) \in \text{sder}_2$$

Lem1 If  $\tilde{u} \in \text{sder}_n$ , then  $\mu_0 \circ \tilde{u} - \tilde{u} \circ \mu_0$  is a derivation on FA.

Lem2 If  $\tilde{u} \in \text{sder}_n$  and  $\mu_0(\tilde{u}(z_i)) = [z_i, c] \quad 1 \leq i \leq n$

for some  $c \in \text{FA}$ , then  $\tilde{u}$  commutes with  $\delta_{\text{gr}}^f$ .

$$\begin{aligned} \underbrace{R(V^{\text{EM}}(\varphi)(y))}_{\parallel} &= R([y, \varphi(x, y)]) \\ &= [y, R(\varphi)] + (\partial_y \varphi) y - y (\partial_y \varphi) \stackrel{(H)}{=} \\ &= [y, R(\varphi) - \partial_y \varphi] \\ &\stackrel{(P2)}{=} [y, (\partial_y \varphi)(y, 0) - (\partial_y \varphi)(x+y, 0)] \\ &= [y, -(\partial_y \varphi)(x+y, 0)] \end{aligned}$$

Similarly,  $R(V^{\text{EM}}(\varphi)(x)) = R([x, \varphi(y, x)]) = \dots = [x, -(\partial_y \varphi)(x+y, 0)]$

Applying Lem2 to  $\tilde{u} = V^{\text{EM}}(\varphi)$ , one obtains  $V^{\text{EM}}(\varphi) \in \text{krV}_2 //$

Thm(2):  $\text{Im } V^{\text{EM}} \supset \text{krv}_2^{\text{Sym}}$

Let  $\tilde{u} = (\varphi(y, x), \varphi(x, y)) \in \text{krv}_2^{\text{Sym}}$  of  $\text{deg} \geq 3$ .

Case 1  $\tilde{u} \in \text{krv}_2^0$ . Then,  $\tilde{u}$  commutes with  $(\mu_0)_{\text{gr}} = -R$ .

$$0 = \tilde{u}(R(y)) = R(\tilde{u}(y)) = R([y, \varphi])$$

$$= [y, R(\varphi)] + (\partial_y \varphi)y - y(\overline{\partial_y \varphi}) = [y, R(\varphi) - \partial_y \varphi]$$

$\rightsquigarrow R(\varphi) - \partial_y \varphi \in \mathcal{O}([y])_{\geq 2}$

$\xrightarrow{\text{more analysis}}$   $R(\varphi) - \partial_y \varphi = 0$  and  $(\partial_y \varphi)(x, 0) = 0 \rightsquigarrow (P2)$  for  $\varphi$

Case 2 general case. Let  $\tilde{u}$  be homogeneous of  $\text{deg } l \geq 3$ .

l: even  $\rightsquigarrow \text{div}(\tilde{u}) = 0$  (cf. AT Prop 4.5)

$\rightsquigarrow \tilde{u} \in \text{krv}_2^0$  Case 1 applies

l: odd  $\exists c \in \mathcal{O} \text{ div}(\tilde{u}) = c|x^l + y^l - (x+y)^l|$

Let  $\sigma_l \in \text{grt}_1$  be the Drinfeld-Ihara generator.

$$\text{div}(V(\sigma_l)) = |x^l + y^l - (x+y)^l| \quad (\text{cf. AT Prop 4.10})$$

