Les Diablerets Dogma Handout on 170827

August 27, 2017 12:22 PM

1. Verify links.
2. Partition.

Happy birthday Anton!

Dror Bar-Natan: Talks: LesDiablerets-1708:

The Dogma is Wrong

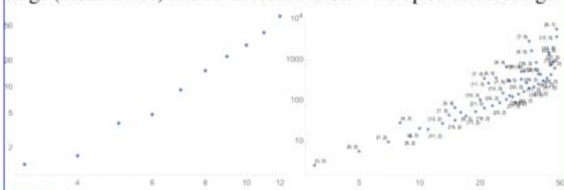
Follows Rozansky [Ro1, Ro2, Ro3] and Overbay [Ov], joint with van der Veen. Preliminary writeup [BV1], fuller writeup [BV2]. More at ωεβ/talks.

~~Thanks for the invitation!~~ ωεβ:=http://drorbn.net/ld17/

Abstract. It has long been known that there are knot invariants associated to semi-simple Lie algebras, and there has long been a dogma as for how to extract them: "quantize and use representation theory". We present an alternative and better procedure: "centrally extend, approximate by solvable, and learn how to re-order exponentials in a universal enveloping algebra". While equivalent to the old invariants via a complicated process, our invariants are in practice stronger, faster to compute (poly-time vs. exp-time), and clearly carry topological information.

KiW 43 Abstract (ωεβ/kiw). Whether or not you like the formulas on this page, they describe the strongest truly computable knot invariant we know.

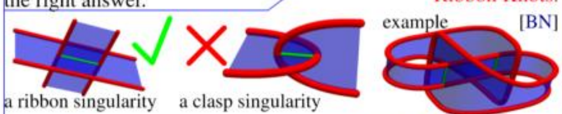
Experimental Analysis (ωεβ/Exp). Log-log plots of computation time (sec) vs. crossing number, for all knots with up to 12 crossings (mean times) and for all torus knots with up to 48 crossings:



Power. On the 250 knots with at most 10 crossings, the pair (ω,ρ_1) attains 250 distinct values, while (Khovanov, HOMFLY-PT) attains only 249 distinct values. To 11 crossings the numbers are (802, 788, 772) and to 12 they are (2978, 2883, 2786).

Genus. Up to 12 xings, always ρ_1 is symmetric under $t\leftrightarrow t^{-1}$. With ρ_1^+ denoting the positive-degree part of ρ_1, always $\deg\rho_1^+\leq 2g-1$, where g is the 3-genus of K (equality for 2530 knots). This gives a lower bound on g in terms of ρ_1 (conjectural, but undoubtedly true). This bound is often weaker than the Alexander bound, yet for 10 of the 12-xing Alexander failures it does give the right answer.

Ribbon Knots.

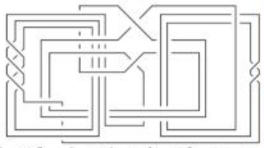


a ribbon singularity / a clasp singularity / example [BN]

Gompf, Scharlemann, Thompson [GST]

$U\in\mathcal{T}_n$ $\quad 1\in\mathcal{A}_n$ $\quad \mathcal{T}_{2n}\xrightarrow{z}\mathcal{A}_{2n}$

ribbon $K\in\mathcal{T}_1$ $\quad z(K)\in\mathcal{R}\subseteq\mathcal{A}_1$



[Vo]: Works for Alexander! with $\mathcal{R}:=\kappa(\tau^{-1}(1))$

$A^+=-t^8+2t^7-t^6-2t^4+5t^3-2t^2-7t+13$

$\rho_1^+=5t^{15}-18t^{14}+33t^{13}-32t^{12}+2t^{11}+42t^{10}-62t^9-8t^8+166t^7-242t^6+108t^5+132t^4-226t^3+148t^2-11t-36$

Faster is better, leaner is meaner!

dog·ma (dôg′mə, dŏg′-) The Free Dictionary, ωεβ/TFD

n. pl. **dog·mas** or **dog·ma·ta** (-mə-tə)

1. A doctrine or a corpus of doctrines relating to matters such as morality and faith, set forth in an authoritative manner by a religion.
2. A principle or statement of ideas, or a group of such principles or statements, especially when considered to be authoritative or accepted uncritically: *"Much education consists in the instilling of unfounded dogmas in place of a spirit of inquiry" (Bertrand Russell).*

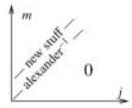
A

Theorem ([BNG], conjectured [MM], elucidated [Ro1]). Let $J_d(K)$ be the coloured Jones polynomial of K, in the d-dimensional representation of sl_2. Writing

Melvin, Morton, Garoufalidis

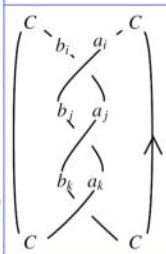
$$\left.\frac{(q^{1/2}-q^{-1/2})J_d(K)}{q^{d/2}-q^{-d/2}}\right|_{q=e^\hbar}=\sum_{j,m\geq 0}a_{jm}(K)d^j\hbar^m,$$

"below diagonal" coefficients vanish, $a_{jm}(K)=0$ if $j>m$, and "on diagonal" coefficients give the inverse of the Alexander polynomial: $\left(\sum_{m=0}^\infty a_{mm}(K)\hbar^m\right)\cdot\omega(K)(e^\hbar)=1$.



"Above diagonal" we have Rozansky's Theorem [Ro3, (1.2)]:

$$J_d(K)(q)=\frac{q^d-q^{-d}}{(q-q^{-1})\omega(K)(q^d)}\left(1+\sum_{k=1}^\infty\frac{(q-1)^k\rho_k(K)(q^d)}{\omega^{2k}(K)(q^d)}\right).$$



The Yang-Baxter Technique. Given an algebra A (typically $\hat{\mathcal{U}}(\mathfrak{g})$ or $\hat{\mathcal{U}}_q(\mathfrak{g})$) and elements

$$R=\sum a_i\otimes b_i\in A\otimes A\quad\text{and}\quad C\in A,$$

form

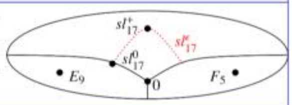
$$Z=\sum_{i,j,k}Ca_ib_ja_kC^2b_ia_jb_kC.$$

Problem. Extract information from Z.

The Dogma. Use representation theory. In principle finite, but *slow*.

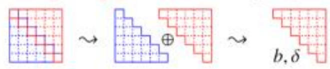
The Loyal Opposition. For certain algebras, work in a homomorphic poly-dimensional "space of formulas". $m_k^{ij}\circlearrowright\{\mathcal{F}_S\}\xrightarrow{\mathbb{E}}\{A^{\otimes S}\}\circlearrowleft m_k^{ij}$

The (fake) moduli of Lie algebras on V, a quadratic variety in $(V^*)^{\otimes 2}\otimes V$ is on the right. We care about $sl_{17}^k:=sl_{17}^\epsilon/(\epsilon^{k+1}=0)$.



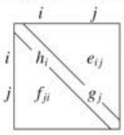
Consider using image from PPSA paper. (No)

Recomposing gl_n. Half is enough! $gl_n\oplus\mathfrak{a}_n=\mathcal{D}(\triangledown,b,\delta)$:



$b(\triangledown)=b:\ \triangledown\otimes\triangledown\to\triangledown$
$b(\triangle)\leadsto\delta:\ \triangledown\to\triangledown\otimes\triangledown$

Now define $gl_n^\epsilon:=\mathcal{D}(\triangledown,b,\epsilon\delta)$. Schematically, this is $[\triangledown,\triangledown]=\triangledown$, $[\triangle,\triangle]=\epsilon\triangle$, and $[\triangledown,\triangle]=\triangle+\epsilon\triangledown$. In detail, it is



$$[e_{ij},e_{kl}]=\delta_{jk}e_{il}-\delta_{li}e_{kj}\qquad[f_{ij},f_{kl}]=\epsilon\delta_{jk}f_{il}-\epsilon\delta_{li}f_{kj}$$
$$[e_{ij},f_{kl}]=\delta_{jk}(\epsilon\delta_{j<k}e_{il}+\delta_{il}(h_i+\epsilon g_i)/2+\delta_{i>l}f_{il})-\delta_{li}(\epsilon\delta_{k<j}e_{kj}+\delta_{kj}(h_j+\epsilon g_j)/2+\delta_{k>j}f_{kj})$$
$$[g_i,e_{jk}]=(\delta_{ij}-\delta_{ik})e_{jk}\qquad[h_i,e_{jk}]=\epsilon(\delta_{ij}-\delta_{ik})e_{jk}$$
$$[g_i,f_{jk}]=(\delta_{ij}-\delta_{ik})f_{jk}\qquad[h_i,f_{jk}]=\epsilon(\delta_{ij}-\delta_{ik})f_{jk}$$

The Main sl_2 Theorem. Let $\mathfrak{g}^\epsilon=\langle t,y,a,x\rangle/([t,\cdot]=0,[a,x]=x,[a,y]=-y,[x,y]=t-2\epsilon a)$ and let $\mathfrak{g}_k=\mathfrak{g}^\epsilon/(\epsilon^{k+1}=0)$. The $\mathfrak{g}_k$-invariant of any S-component tangle K can be written in the form $Z(K)=\mathbb{O}\left(\omega e^{L+Q+P}:\bigotimes_{i\in S}y_ia_ix_i\right)$, where ω is a scalar (a rational function in the variables t_i and their exponentials $T_i:=e^{t_i}$), where $L=\sum l_{ij}t_ia_j$ is a quadratic in t_i and a_j with integer coefficients l_{ij}, where $Q=\sum q_{ij}y_ix_j$ is a quadratic in the variables y_i and x_j with scalar coefficients q_{ij}, and where P is a polynomial in $\{\epsilon,y_i,a_i,x_i\}$ (with scalar coefficients) whose ϵ^d-term is of degree at most $2d+2$ in $\{y_i,\sqrt{a_i},x_i\}$. Furthermore, after setting $t_i=t$ and $T_i=T$ for all i, the invariant $Z(K)$ is poly-time computable.

A: Push existing content to end.

Instead put "Ordering Symbols" modified from MIT-1612.

$$Z = \frac{\partial^{12}}{\partial\partial\partial} X_{\tau_1\ldots\xi_2}\Big|_{vs=0} = \frac{\partial^{12}}{\partial\partial\partial} Y_{\tau\ldots\xi}\Big|_{vs=0} = \mathbb{O}\left(\frac{\partial^{12}}{\partial\cdots\partial} e^{\tau t} e^{\eta y} e^{\alpha a} e^{\xi x}\Big|_{vs=0} : yax\right)$$

$$= \mathbb{O}(g : yax)$$

The PBW Problem. In $\mathcal{U}(\mathfrak{g}^\epsilon)$, bring $\overset{Z}{y^3a^2x^2y^2a^2x}$ to yax-order. In other words, find $g \in \mathbb{Z}[\epsilon, t, y, a, x]$ such that $\mathbb{O}(f = y_1^3y_2^2a_1^2a_2^2x_1^2x_2 : y_1a_1x_1y_2a_2x_2) = \mathbb{O}(g : yax)$.

Solution, Part 1. In $\hat{\mathcal{U}}(\mathfrak{g}^\epsilon)$ we have

$$X_{\tau_1\ldots\xi_2} = e^{\tau_1 t}e^{\eta_1 y}e^{\alpha_1 a}e^{\xi_1 x}e^{\tau_2 t}e^{\eta_2 y}e^{\alpha_2 a}e^{\xi_2 x} = e^{\tau t}e^{\eta y}e^{\alpha a}e^{\xi x} = Y_{\tau\ldots\xi},$$

where τ, η, α, ξ are utterly non-interesting functions of $\tau_1, \eta_i, \alpha_i, \xi_i$:

$$\tau = \tau_1 + \tau_2 - \frac{\log(1-\epsilon\eta_2\xi_1)}{\epsilon} = \tau_1 + \tau_2 + \eta_2\xi_1 + \frac{\epsilon}{2}\eta_2^2\xi_1^2 + \ldots,$$

$$\eta = \eta_1 + \frac{e^{-\alpha_1}\eta_2}{(1-\epsilon\eta_2\xi_1)} = \eta_1 + e^{-\alpha_1}\eta_2 + \epsilon e^{-\alpha_1}\eta_2^2\xi_1 + \ldots,$$

$$\alpha = \alpha_1 + \alpha_2 + 2\log(1-\epsilon\eta_2\xi_1) = \alpha_1 + \alpha_2 - 2\epsilon\eta_2\xi_1 + \ldots,$$

$$\xi = \frac{e^{-\alpha_2}\xi_1}{(1-\epsilon\eta_2\xi_1)} + \xi_2 = e^{-\alpha_2}\xi_1 + \xi_2 + \epsilon e^{-\alpha_2}\eta_2\xi_1^2 + \ldots.$$

Note 1. This defines a mapping $\Phi : \mathbb{R}^8_{\tau_1,\eta_1,\alpha_1,\xi_1,\tau_2,\eta_2,\alpha_2,\xi_2} \to \mathbb{R}^4_{\tau,\eta,\alpha,\xi}$.

Proof. $\mathfrak{g}^\epsilon$ has a 2D representation ρ:

```
ρt = ( 1 0 ; 0 1 );  ρy = ( 0 0 ; -ϵ 0 );
ρa = ( (1 + 1/ϵ)/2   0 ; 0   -(1 - 1/ϵ)/2 );  ρx = ( 0 1 ; 0 0 );
Simplify@{ρa.ρx - ρx.ρa == ρx, ρa.ρy - ρy.ρa == -ρy,
  ρx.ρy - ρy.ρx == ρt - 2 ϵ ρa}

{True, True, True}
```

It is enough to verify the desired identity in ρ:

```
ME = MatrixExp;
Simplify[
 ME[τ1 ρt].ME[η1 ρy].ME[α1 ρa].ME[ξ1 ρx].ME[τ2 ρt].
   ME[η2 ρy].ME[α2 ρa].ME[ξ2 ρx] ==
  ME[τθ ρt].ME[ηθ ρy].ME[αθ ρa].ME[ξθ ρx] /.
  {τθ → -Log[1-ϵ η2 ξ1]/ϵ + τ1 + τ2, ηθ → η1 + e^-α1 η2/(1-ϵ η2 ξ1),
   αθ → 2 Log[1 - ϵ η2 ξ1] + α1 + α2, ξθ → e^-α2 ξ1/(1-ϵ η2 ξ1) + ξ2}]

True
```

Solution, Part 2. But now

$$g = \cancel{\frac{\partial^{12}}{\partial^3_{y_1}\partial^2_{a_1}\partial^2_{x_1}\partial^2_{y^2}\partial^2_{a_2}\partial_{x_2}} e^{\tau t}e^{\eta y}e^{\alpha a}e^{\xi x}\Big|_{\tau_i=\eta_i=\alpha_i=\xi_i=0}} \; vs = 0 \quad :$$

```
Expand[∂{η1,3} ∂{α1,2} ∂{ξ1,2} ∂{η2,2} ∂{α2,2} ∂{ξ2,1} Exp[
   (-Log[1-ϵ η2 ξ1]/ϵ + τ1 + τ2) t + (η1 + e^-α1 η2/(1-ϵ η2 ξ1)) y +
   (2 Log[1 - ϵ η2 ξ1] + α1 + α2) a + (e^-α2 ξ1/(1-ϵ η2 ξ1) + ξ2) x
  ] /. (τ | η | α | ξ)1|2 → 0]

2 a⁴ t² x y³ + 4 t x² y⁴ - 16 a t x² y⁴ + 24 a² t x² y⁴ - 16 a³ t x² y⁴ +
 4 a⁴ t x² y⁴ + 16 x³ y⁵ - 32 a x³ y⁵ + 24 a² x³ y⁵ - 8 a³ x³ y⁵ + a⁴ x³ y⁵ +
 2 a⁴ t x y³ ϵ - 8 a⁵ t x y³ ϵ + 8 x² y⁴ ϵ - 40 a x² y⁴ ϵ + 80 a² x² y⁴ ϵ -
 80 a³ x² y⁴ ϵ + 40 a⁴ x² y⁴ ϵ - 8 a⁵ x² y⁴ ϵ - 4 a⁵ x y³ ϵ² + 8 a⁶ x y³ ϵ²
```

Note 2. There are two great evils in mathematics: non-commutativity and non-linearity. We have traded one for the other.

Note 3. We could have done similarly with $e^{\tau_1 t}e^{\eta_1 y}e^{\alpha_1 a}e^{\xi_1 x} = e^{\tau t + \eta y + \alpha a + \xi x}$, and with $S(e^{\tau_1 t}e^{\eta_1 y}e^{\alpha_1 a}e^{\xi_1 x})$, $\Delta(e^{\tau_1 t}e^{\eta_1 y}e^{\alpha_1 a}e^{\xi_1 x})$, $\prod_{i=1}^5 e^{\tau_i t}e^{\eta_i y}e^{\alpha_i a}e^{\xi_i x}$.

Note 4. Idetifying $f \leftrightarrow \frac{\partial^{12}}{\partial^3_{y_1}\partial^2_{a_1}\partial^2_{x_1}\partial^2_{y_2}\partial^2_{a_2}\partial_{x_2}}$ (and likewise for g), we find that $g = \Phi_* f$.

Fact. $R_{12} \to \exp\left(\partial_{\tau_1}\partial_{\alpha_2} + \partial_{y_1}\partial_{x_2}\right)(1 + \sum_{d\geq 1}\epsilon^d p_d)$, where the p_d are computable polynomials of a-priori bounded degrees.

Moral. We need to understand the pushforwards via maps like Φ of (formally ∞-order) "differential operators at 0", that in themselves are perturbed Gaussians. This turns out to be the same problem as "0-dimensional QFT" (except no integration is ever needed), and if $\epsilon^{k+1} = 0$, it is explicitly soluble.

References.

[BN] D. Bar-Natan, *Polynomial Time Knot Polynomial*, research proposal for the 2017 Killam Fellowship, ωεβ/K17.

[BNG] D. Bar-Natan and S. Garoufalidis, *On the Melvin-Morton-Rozansky conjecture*, Invent. Math. **125** (1996) 103–133.

[BV1] D. Bar-Natan and R. van der Veen, *A Polynomial Time Knot Polynomial*, arXiv:1708.04853.

[BV2] D. Bar-Natan and R. van der Veen, *Poly-Time Knot Polynomials Via Solvable Approximations*, in preparation.

[GST] R. E. Gompf, M. Scharlemann, and A. Thompson, *Fibered Knots and Potential Counterexamples to the Property 2R and Slice-Ribbon Conjectures*, Geom. and Top. **14** (2010) 2305–2347, arXiv:1103.1601.

[MM] P. M. Melvin and H. R. Morton, *The coloured Jones function*, Commun. Math. Phys. **169** (1995) 501–520.

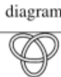
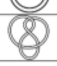
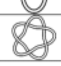
[Ov] A. Overbay, *Perturbative Expansion of the Colored Jones Polynomial*, University of North Carolina PhD thesis, ωεβ/Ov.

[Ro1] L. Rozansky, *A contribution of the trivial flat connection to the Jones polynomial and Witten's invariant of 3d manifolds, I*, Comm. Math. Phys. **175-2** (1996) 275–296, arXiv:hep-th/9401061.

[Ro2] L. Rozansky, *The Universal R-Matrix, Burau Representation and the Melvin-Morton Expansion of the Colored Jones Polynomial*, Adv. Math. **134-1** (1998) 1–31, arXiv:q-alg/9604005.

[Ro3] L. Rozansky, *A Universal U(1)-RCC Invariant of Links and Rationality Conjecture*, arXiv:math/0201139.

[Vo] H. Vo, University of Toronto Ph.D. thesis, in preparation.

diagram	n_k^t Alexander's ω^+ / Today's / Rozansky's ρ_1^+	genus / ribbon / unknotting number / amphicheiral	diagram	n_k^t Alexander's ω^+ / Today's / Rozansky's ρ_1^+	genus / ribbon / unknotting number / amphicheiral
	0_1^a 1 0	0 / ✔ 0 / ✔		3_1^a $t-1$ t	1 / ✘ 1 / ✘
	4_1^a $3-t$ 0	1 / ✘ 1 / ✔		5_1^a t^2-t+1 $2t^3+3t$	2 / ✘ 2 / ✘