

Problem.

$$\# \left\{ \sum (b_i) \in \prod_{i=1}^{2d} B_i \cdot \left. \begin{array}{l} f(b_1) < \dots < f(b_{2d}) \\ \forall j \in \{1, \dots, d\}. z(b_{\alpha(j)}) < z(b_{\beta(j)}) \end{array} \right\} \right.$$

A

$$C_j = \{ (b, b') \in B_{\alpha(j)} \times B_{\beta(j)} : z(b) < z(b') \}$$

(set of crossings)  $A(C_1, \dots, C_d)$

$$A \cong \{ (c_j) \in \prod_{j=1}^d C_j : t(c_{\gamma(1)}) < \dots < t(c_{\gamma(d)}) \}$$

$$\gamma(i) = \begin{cases} (j, 1) & \alpha(j) = i \\ (j, 2) & \beta(j) = i \end{cases}$$

$$c_{\gamma(i)} = (c_j)_k \in B_i \quad \gamma(i) = k$$

$$\sigma : \{1, \dots, d\} \times \{1, 2\} \rightarrow \{1, \dots, 2d\} \quad (\alpha + \beta)$$

$$\sigma(j, 1) = \alpha(j) \quad (\text{bijection})$$

$$\sigma(j, 2) = \beta(j)$$

$$\gamma = \sigma^{-1}$$

$$C_j = \bigcup_{0 \leq q < p} C_{j, q}$$

$$C_{j, q} = \bigcup_{1 \leq l \leq q} C_{j, l, \sigma}$$

$$C_{j, l, \sigma} \cong B_{j, l, \sigma, 0} \times B_{j, l, \sigma, 1}$$

$$B_{j, \sigma, 0} = \{b \in B_{\alpha(j)} : z(b) = \sigma \cdot 0\}$$

$$B_{j, \sigma, 1} = \{b \in B_{\beta(j)} : z(b) = \sigma \cdot \underbrace{1}_{q^i} \cdot \underbrace{1}_{p-q^i}\}$$

$C_{j, q}$  is a union of  $2^q$  squares  
w/ side-length  $\leq L/2^q$

" $C_{j, q}$  has perimeter  $L$ "

$$A(C_1, \dots, C_d) = \bigcup_{\bar{q} \in \{0, \dots, p-1\}^d} A(C_{1, \bar{q}_1}, \dots, C_{d, \bar{q}_d})$$

Subproblem: Count  $A_{\bar{q}}$

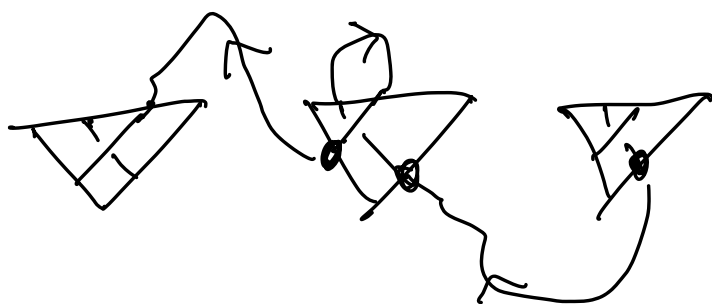
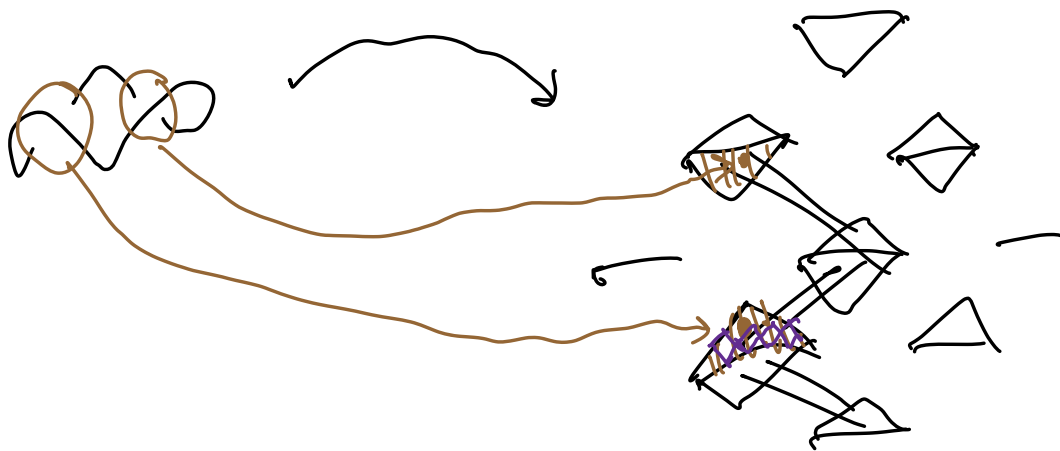
$$\text{Algorithm 1: } A_{\bar{q}} = \bigcup_{|\sigma_j| = q_j} A(C_{j, \sigma_j})$$

Each  $|A(C_{j, \sigma_j})|$  is calculated w/ Lem. 4.1

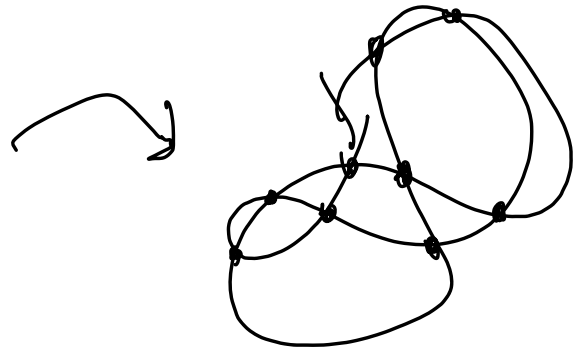
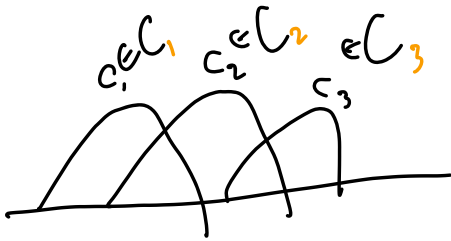
$$\text{Time } 2^{\sum q_j} \cdot \underbrace{\max(L/2^{q_j})}_{L/2^{\min(q_j)}} = L \cdot 2^{\sum q_j - \min(q_j)}$$


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Count embeddings



# Algorithm 2: 2D algorithm



$$C = \{\text{crossings}\}$$

2D algorithm calculates

$$|A(C, C_1, \dots, C)|$$

It can be adapted to calculate

$$|A(C_1, \dots, C_d)|$$

This has time

$$\left(\max |C_j|\right)^{\frac{3}{4}d} = L^{2 \cdot \frac{3}{4}d} / \underbrace{2^{\frac{3}{4}d \min(q_j)}}_f$$

$$|C_{j,q}| \leq 2^q \cdot (L/2^q)^2 = L^2/2^q$$

Optimistically, this can be improved to

$$L^{\frac{3}{4}d} \sqrt{\left(2^{\sum q_i - \min(\bar{q})}\right)^{3/q}} = \left(\frac{\prod |c_{ij}|}{\max |c_{ij}|}\right)^{3/4}$$

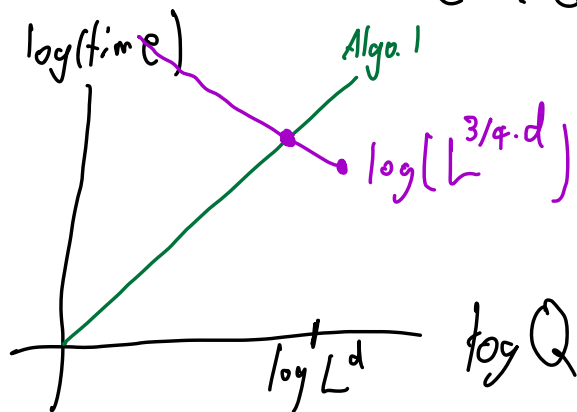
$q_i = q$

$2^{\frac{3}{4}(d-1)q} \sim 2^{\frac{3}{4}d \cdot q}$   
(d large)

$$Q := 2^{\sum q_i - \min(\bar{q})} \quad 1 \leq Q \leq L^{d-1}$$

Algorithm 1: Time  $L \cdot Q \sim Q$

Algorithm 2: Time  $(L^{2d}/Q)^{3/4}$



Worst case  $Q = (L^{2d}/Q)^{3/4}$   
 $= L^{\frac{3}{2}d} / Q^{3/4}$

$$Q^{7/4} = L^{\frac{3}{2}d}$$

$$Q = L^{\frac{4}{7} \cdot \frac{3}{2}d} = L^{\frac{6}{7}d} \text{ time}$$

for one choice of crossing fields

Overall time  $L^{2d} \cdot L^{\frac{6}{7}d} = L^{d \cdot \frac{20}{7}} = V^{\frac{20}{21}d}$

Improvement: Consider all crossing fields at once.

$$C_j = \left\{ (b, b') : \begin{array}{l} \text{For some crossing field } F_{x,r} \\ b, b' \text{ are in } F_{x,r}, \text{ and } z(b) < z(b') \\ \text{in right color, order} \end{array} \right\}$$

$$C_j = \bigcup C_{j,r,q}$$

$$C_{j,r,q} = \bigcup C'_q$$

$C'_q \subseteq C_{j,r,q}$   
 $C'_q$  part. crossing field

$C_{j,r,q}$  = "Squares of size  $2^{p \cdot l}$  in every crossing field at once"

Now:  $C_{j,r,q}$  is a union of  $L^2 \cdot 2^q$  squares of size  $\leq 2^{p \cdot l - q} \sim L/2^q$ ,  $|C_{j,r,q}| = L^4 / 2^q$   
 $2^p \sim L$

$$\text{Algorithm 1: } \prod_{j=1}^d (L^2 \cdot 2^{q_j}) \cdot \frac{L}{2^{\min(q_j)}} \\ = L^{2d+1} \cdot Q \sim L^{2d} \cdot Q$$

$$\text{Algorithm 2: } \left( \frac{\prod |C_j|}{\max |C_j|} \right)^{3/4} \\ \sim \left( \frac{L^{4d}}{Q} \right)^{3/4} = L^{3d} / Q^{3/4}$$

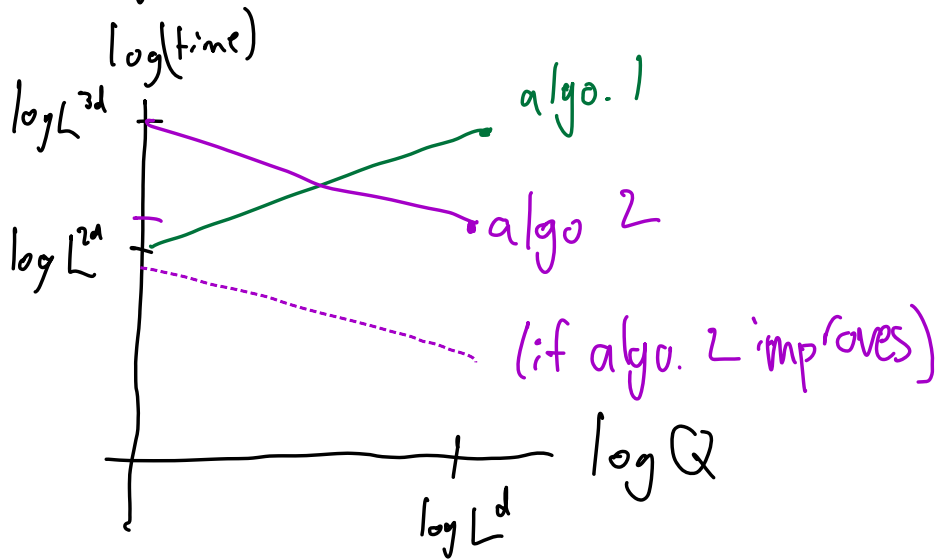
$$\underline{L^{2d} \cdot Q} = \underline{L^{3d} / Q^{3/4}}$$

$$Q^{7/4} = L^d \\ Q = L^{4d/7}$$



$$\text{Worst case time} = L^{2d} \cdot Q = L^{18/7d} = V^{\frac{18}{21} \cdot d} =$$

$$= V^{\frac{6}{7}d}$$



At  $Q = 1$ :

Algo. 1:  $L^{2d}$  time

Algo. 2:  $|C_j| = L^{4d}$

If there is a 2D algo. with time  $n^w$ , then this is time

$$(L^{4d})^w = L^{4wd}$$

If  $w \leq \frac{1}{2}$ , then  $L^{4wd} \leq L^{2d}$ , so

Algorithm 2 dominates, and this is not  
a nontrivial 3D algorithm.

Tues. 12:30-15:00

Algorithm 2: Given  $C_i \subseteq [0, L]^2$ ,  
calculate

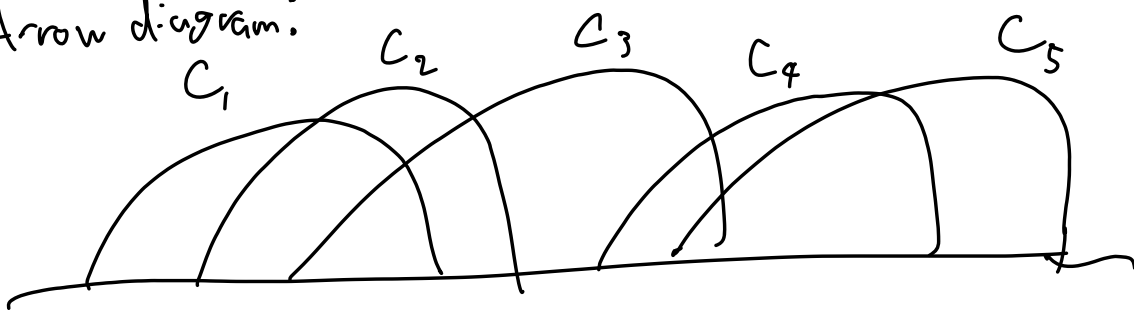
$$|A(C_1, \dots, C_d)| =$$

$$|\{ (c_j) \in \prod_{j=1}^d C_j :$$

$$t((c_{y_0(1)}, y_1(1))) < t((c_{y_0(2)}, y_1(2))) < \dots < t((c_{y_0(d)}, y_1(d))) \}$$

$$y: \{1, \dots, 2d\} \rightarrow \{1, \dots, d\} \times \{1, 2\}$$

Arrow diagram:



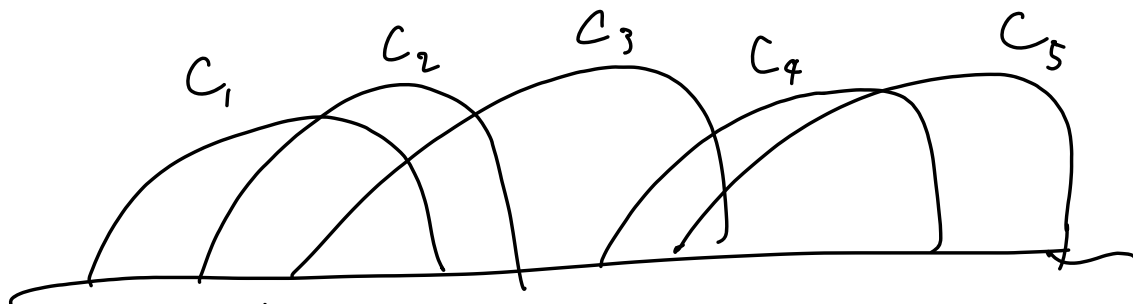
Naive algorithm: Search over all

$\prod_{j=1}^d C_j$  and count

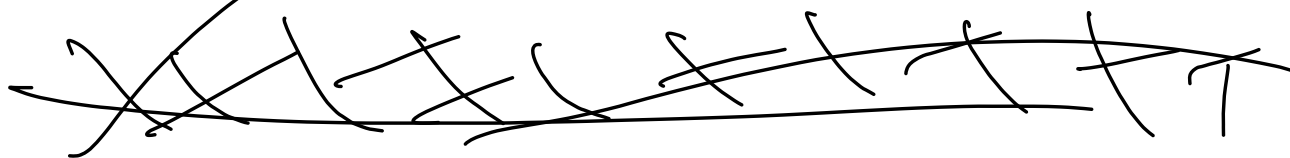
$$\text{Run time: } |\prod_{j=1}^d C_j| = \prod_{j=1}^d |C_j|$$

Almost-naive algorithm:

Goal: Calculate  $p$  in time  $\frac{\prod |C_j|}{\max |C_j|}$



Nevermind this,



Full problem: Count # times a Gauss diagram appears in a 3D knot.

$C := \{ \text{crossings of 3D knot} \}$

(Ignoring color, ori, ...) we can count

$|A(C_1, \dots, C_p)|$  of 3D knot

$C = \bigcup_{q=0}^{p-1} C_q$ ,  $C_q := \{ \text{crossings inside a } 2^{p-1-q} \times 2^{p-1-q} \text{ square of a crossing field} \}$

$$A = \bigcup_{\bar{q} \in \{0, \dots, p-1\}^d} A_{\bar{q}}, \quad A_{\bar{q}} := A(C_{q_1}, \dots, C_{q_d})$$

Subproblem: Calculate  $|A_{\bar{q}}|$  for a given  $\bar{q} \in \{0, \dots, p-1\}^d$ .

$$Q_{\text{old}} = 2^{(\sum q_i) - \min(\bar{q})}$$

$$Q_{\text{new}} = 2^{\sum q_i}$$

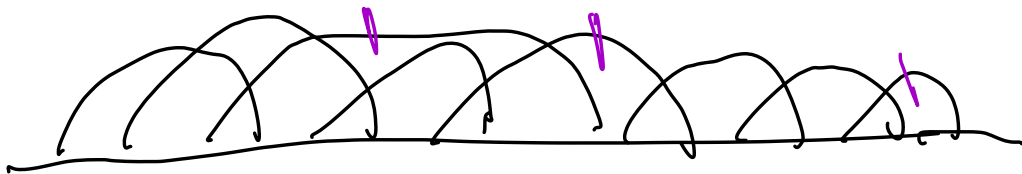
$$L^{-1} \leq \frac{Q_{\text{new}}}{Q_{\text{old}}} \leq 1$$

$L \ll L^d$ , so we don't care about factors of  $L$ .

Algorithm 1/3: Time  $L \cdot Q_{\text{old}} = Q \cdot L^{o(1)}$

Algorithm 2: Time  $(\prod C_i)^{3/4} = (\prod (L^d / 2^{q_i}))^{3/4} = (L^{4d} / Q)^{3/4}$

## Further improvement for Algorithm 2



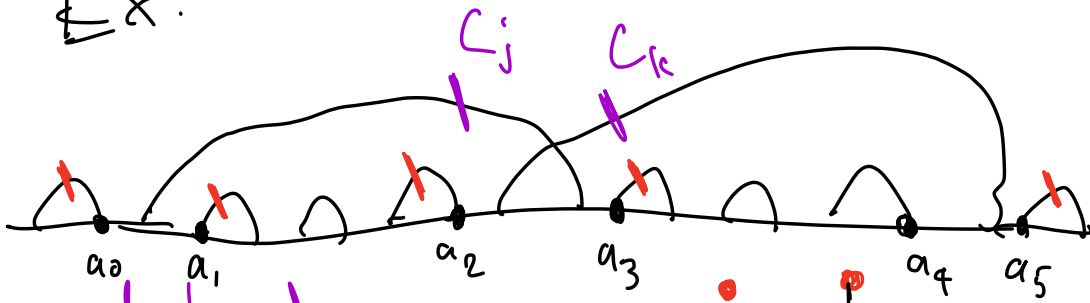
We pick crossings to **delay** which we can count with a lookup table.

Constraint: Two ends of delayed crossing cannot be adjacent.

We want to weaken this constraint.

weaker constraint: The graph of adjacencies of delayed crossing is made of small connected components.

Ex.



• delayed

• not delayed

We need a lookup table to count all the crossings in a component at once.

For a choice of non-delayed crossings, we need to count

$$f(a_0, a_1, a_2, a_3, a_4, a_5) = \left( \begin{array}{l} \{ (w, x) \in C_j, (y, z) \in C_k : \\ t(a_0) < t(w) < t(a_1), \\ t(a_2) < t(y) < t(x) < t(a_3), \\ t(a_4) < t(z) < t(a_5) \} \end{array} \right)$$

# choices for  $a_0 \leq \max |C_j| \leq L^4$

$$|\text{dom } f| \leq L^{4 \cdot 6} \leq L^{4 \cdot 3 \cdot |\text{component}|}$$

Creating a lookup table:

For each  $\bar{a} \in \text{dom } f$ :

Count over  $C_j \times C_k$  how many satisfy the condition.

$$\text{(time } |C_j| \cdot |C_k| \leq L^{4 \cdot |\text{component}|})$$

$$\begin{aligned} \text{Overall time: } & L^{12 \cdot |\text{component}|} \cdot L^{4 \cdot |\text{component}|} \\ & = L^{16 \cdot |\text{component}|} \end{aligned}$$

How to pick delayed crossing:

For  $p = \frac{1}{3} - \varepsilon$  we delay each crossing w/ independent probability  $p$ .



Then with prob.  $\geq \frac{1}{2}$

$$\prod_{\text{non-delayed } j} |C_j| \leq \left( \prod_j |C_j| \right)^{\frac{2}{3} + \epsilon}$$

Next, need to show delayed crossings are a graph with small components.

For a given crossing  $C$ , calculate the expected size of component containing  $C$ .

Fri. 2pm

