

## $\mathcal{U}_{\gamma, \epsilon, \hbar}$ conventions.

$q = e^{\hbar\gamma\epsilon}$ ,  $H = \langle a, x \rangle / ([a, x] = \gamma x)$  with

$$A = e^{-\hbar\epsilon a}, \quad xA = qAx, \quad S_H(a, A, x) = (-a, A^{-1}, -A^{-1}x),$$

$$\Delta_H(a, A, x) = (a_1 + a_2, A_1A_2, x_1 + A_1x_2)$$

and dual  $H^* = \langle b, y \rangle / ([b, y] = -\epsilon y)$  with

$$B = e^{-\hbar y b}, \quad By = qyB, \quad S_{H^*}(b, B, y) = (-b, B^{-1}, -yB^{-1}),$$

$$\Delta_{H^*}(b, B, y) = (b_1 + b_2, B_1B_2, y_1B_2 + y_2).$$

Pairing by  $(a, x)^* = \hbar(b, y) \Leftrightarrow \langle B, A \rangle = q$  making  $\langle y^l b^i, a^j x^k \rangle = \delta_{ij} \delta_{kl} \hbar^{-(j+k)} j! [k]_q!$  so  $R = \sum \frac{\hbar^{j+k} y^j b^i a^i x^k}{j! [k]_q!}$ . Then  $\mathcal{U} = H^{*cop} \otimes H$  with  $(\phi f)(\psi g) = \langle \psi_1 S^{-1} f_3 \rangle \langle \psi_3, f_1 \rangle (\phi \psi_2)(f_2 g)$  and

$$S(y, b, a, x) = (-B^{-1}y, -b, -a, -A^{-1}x),$$

$$\Delta(y, b, a, x) = (y_1 + y_2 B_1, b_1 + b_2, a_1 + a_2, x_1 + A_1 x_2).$$

With the central  $t := \epsilon a - \gamma b$ ,  $T := e^{\hbar t/2} = A^{-1/2} B^{1/2}$  get

$$[a, y] = -\gamma y, \quad [b, x] = \epsilon x, \quad xy - qyx = (1 - T^2 A^2) / \hbar.$$

Cartan:  $\theta(y, b, a, x) = (-B^{-1}Tx, -b, -a, -A^{-1}T^{-1}y)$ . (Suggesting that it may be better to redefine  $y \rightarrow y' = \theta x = A^{-1}T^{-1}y$ .)

At  $\epsilon = 0$ ,  $\mathcal{U}_{\hbar, \gamma, 0} = \langle b, y, a, x \rangle / ([b, \cdot] = 0, [a, x] = \gamma x, [a, y] = -\gamma y, [x, y] = (1 - e^{-\hbar\gamma b}) / \hbar)$  with  $\Delta(b, y, a, x) = (b_1 + b_2, y_1 + e^{-\hbar\gamma b_1} y_2, a_1 + a_2, x_1 + x_2)$  and  $\theta(y, b, a, x) = (-e^{\hbar\gamma b/2} x, -b, -a, -e^{\hbar\gamma b/2} y)$ .

**Working Hypothesis.**  $(\hbar, t, y, a, x)$  makes a PBW basis.

**Casimir.**  $\omega = \gamma yx + \epsilon a^2 - (t - \gamma\epsilon)a$ , satisfies...

**Scaling** with deg:  $\{\gamma, \epsilon, a, b, x, y\} \rightarrow 1, \{\hbar\} \rightarrow -2, \{t\} \rightarrow 2, \{\omega\} \rightarrow 3$ .

## Verification (as in [Projects/PPSA/Verification.nb](#)).

```
$T\hbar D := 3; $TeD := 2; \epsilon := e^{d-}; d > $TeD := 0;
(* $TeD can't be \infty at least because of Quesne. Can't be \le 1 at least because of the explicit \epsilon^2 in S\mathbb{D}\$g. *)
SetAttributes[{SS, SST}, HoldAll];
SS[\mathcal{E}_-] := Block[{h, \epsilon}, (* Shielded Series *)
  Collect[Normal@Series[\mathcal{E}, {h, \theta, $T\hbar D}], h, Together];
SST[\mathcal{E}_-] :=
  Block[{h, \epsilon},
    Collect[Normal@Series[\mathcal{E} /. {T_i \to e^{\hbar t_i/2}, T \to e^{\hbar t/2}},
      {h, \theta, $T\hbar D}], h, Together];
Simp[\mathcal{E}_-, op_] := Collect[\mathcal{E}, _CU | _QU, op];
Simp[\mathcal{E}_-] :=
  Simp[\mathcal{E}, Collect[Normal@Series[#, {h, \theta, $T\hbar D}],
    h, Expand] &];
SimpT[\mathcal{E}_-] := Collect[\mathcal{E}, _CU | _QU,
  Collect[Normal@Series[#, /. {T_i \to e^{\hbar t_i/2}, T \to e^{\hbar t/2}},
    {h, \theta, $T\hbar D}], h, Expand] &];
```

```
DP_{\alpha \to D_x, \beta \to D_y}[P_-][\lambda_-] :=
  Total[CoefficientRules[P, {\alpha, \beta}] /.
    ({m_-, n_-} \to c_-) \to CD[\lambda, {x, m}, {y, n}];
DeclareAlgebra[CU, Generators \to {y, a, x}, Centrals \to {t}];
B[a_{cu}, y_{cu}] = -\gamma y_{cu}; B[x_{cu}, a_{cu}] = -\gamma x_{cu};
B[x_{cu}, y_{cu}] = 2 \epsilon a_{cu} - t CU[];
(S@CU@y = -y_{cu}; S@a_{cu} = -a_{cu}; S@x_{cu} = -x_{cu});
S_i[CU, Centrals] = {t_i \to -t_i};
```

“consolidate”

```
DeclareAlgebra[QU, Generators \to {y, a, x},
  Centrals \to {t, T}];
q = SS[e^{\gamma \epsilon \hbar}]; (* T = SS[e^{\hbar t/2}]; *)
B[a_{qu}, y_{qu}] = -\gamma y_{qu}; B[x_{qu}, a_{qu}] = -\gamma QU@x;
B[x_{qu}, y_{qu}] = (q - 1) QU@{y, x} +
  O_{qu}[SS[(1 - T^2 e^{-2\epsilon a \hbar}) / \hbar], {a}];
(S@y_{qu} = O_{qu}[SS[-T^2 e^{\hbar \epsilon a} y], {a, y}]; S@a_{qu} = -a_{qu};
  S@x_{qu} = O_{qu}[SS[-e^{\hbar \epsilon a} x], {a, x}];)
S_i[QU, Centrals] = {t_i \to -t_i, T_i \to T_i^{-1}};
```

```
DeclareMorphism[C@, CU \to CU, {y \to -x_{cu}, a \to -a_{cu}, x \to -y_{cu}},
  {t \to -t, T \to T^{-1}}];
```

```
DeclareMorphism[Q@, QU \to QU,
  {y \to O_{qu}[SS[-T^{-1} e^{\hbar \epsilon a} x], {a, x}], a \to -a_{qu},
  x \to O_{qu}[SS[-T^{-1} e^{\hbar \epsilon a} y], {a, y}], {t \to -t, T \to T^{-1}}];
```

Can the  $A\mathbb{D}$  and  $S\mathbb{D}$  formulas be written so as to manifestly see their lowest term in  $\epsilon$ ? This may allow more flexibility with  $\$TeD$ . Or perhaps better, these should be written in implicit form and solved by power series.

$$AD\$f = \frac{\gamma}{\hbar} e^{\hbar \left( \frac{t}{2} - (a+\gamma)\epsilon \right)}$$

$$\frac{\text{Cosh}\left[\hbar \left( a\epsilon + \frac{\gamma\epsilon}{2} - \frac{t}{2} \right)\right] - \text{Cosh}\left[\hbar \sqrt{\left(\frac{t-\gamma\epsilon}{2}\right)^2 + \epsilon\omega}\right]}{\text{Sinh}\left[\frac{\gamma\epsilon\hbar}{2}\right] (a^2\epsilon + a\gamma\epsilon - a t - \omega)};$$

```
AD\$w = \gamma CU[y, x] + \epsilon CU[a, a] - (t - \gamma\epsilon) CU[a];
```

```
DeclareMorphism[AD, QU \to CU,
  {a \to a_{cu}, x \to CU@x,
  y \to S_{cu}[SS[AD\$f], a \to a_{cu}, \omega \to AD\$w] ** y_{cu}}];
```

```
S\mathbb{D}\$g =
  \sqrt{\frac{\text{Cosh}\left[\frac{\hbar}{2} \sqrt{t^2 + \gamma^2 \epsilon^2 + 4\epsilon\omega}\right] - \text{Cosh}\left[\frac{\hbar}{2} (t - (2a + \gamma)\epsilon)\right]}{\text{Sinh}\left[\frac{\gamma\epsilon\hbar}{2}\right] (t(2a + \gamma) - 2a(a + \gamma)\epsilon + 2\omega)\hbar / (2\gamma)}};
S\mathbb{D}\$f = FullSimplify[e^{\hbar(t/2 - \epsilon a)} (S\mathbb{D}\$g /. {a \to -a, t \to -t})];
```

```
S\mathbb{D}\$w = \gamma CU[y, x] + \epsilon CU[a, a] - (t - \gamma\epsilon) CU[a] - t \gamma CU[] / 2;
```

```
DeclareMorphism[S\mathbb{D}, QU \to CU, {a \to a_{cu},
  x \to S_{cu}[SS[S\mathbb{D}\$f], a \to a_{cu}, \omega \to S\mathbb{D}\$w] ** x_{cu},
  y \to S_{cu}[SS[S\mathbb{D}\$g], a \to a_{cu}, \omega \to S\mathbb{D}\$w] ** y_{cu}
  }];
```

$$e_{q, n}[X_-] := e^{\left( \sum_{k=1}^n \frac{(1-q)^k X^k}{k(1-q^k)} \right)}; e_{q, \text{TeD}}[X_-]$$

```
QU[R_{i, j}] := O_{qu}[SS[e^{\hbar b_1 a_2} e_q[\hbar y_1 x_2] /. b_i \to \gamma^{-1} (\epsilon a_i - t_i)],
  {y_1, a_1}_i, {a_2, x_2}_j];
```

```
QU[R_{i, j}^{-1}] := S_j@QU[R_{i, j}];
```

```
SetAttributes[CO, Orderless];
CU@CO[specs___, E[L_-, Q_-, P_-]] := O_{cu}[SS[e^{L+Q} P], specs]
```

$$\rho@y_{cu} = \rho@y_{qu} = \begin{pmatrix} \theta & \theta \\ \epsilon & \theta \end{pmatrix}; \rho@a_{cu} = \rho@a_{qu} = \begin{pmatrix} \gamma & \theta \\ \theta & \theta \end{pmatrix};$$

$$\rho@x_{cu} = \begin{pmatrix} \theta & \gamma \\ \theta & \theta \end{pmatrix}; \rho@x_{qu} = \text{SS}\left( \begin{pmatrix} \theta & (1 - e^{-\gamma\epsilon\hbar}) \\ \theta & \theta \end{pmatrix} / (\epsilon\hbar) \right);$$

```
\rho[e^{\mathcal{E}}] := MatrixExp[\rho[\mathcal{E}]];
\rho[\mathcal{E}_-] :=
```

```
{\mathcal{E} /. {t \to \gamma\epsilon, T \to e^{\hbar\gamma\epsilon/2}} /.
  (U : CU | QU)[u___] \to Fold[Dot, \left( \begin{pmatrix} 1 & \theta \\ \theta & 1 \end{pmatrix} \right), \rho / @ U / @ {u}]}
```

```

SSε[ $\mathcal{E}_-$ ] :=
Block[{ $\epsilon$ }, Collect[Normal@Series[ $\mathcal{E}$ , { $\epsilon$ , 0, $TeD}],
 $\epsilon$ , Together]]; (* Shielded  $\epsilon$ -Series *)
C $\Delta$ [ $t_1$ ,  $y_1$ ,  $a_1$ ,  $x_1$ ,  $\xi_1$ ,  $\eta_1$ ,  $\delta_-$ ] := Module[
{eqn, d, b, c, sol,  $\lambda$ , q, v,  $\xi$ ,  $\eta$ },
eqn =  $\rho[e^{\xi x_{cu}}] \cdot \rho[e^{\eta y_{cu}}] ==$ 
 $\rho[e^{d y_{cu}}] \cdot \rho[e^{c(t_{cu} - 2\epsilon a_{cu})}] \cdot \rho[e^{b x_{cu}}]$ ;
sol = Solve[Thread[Flatten /@ eqn], {d, b, c}][[1]] /.
C[1]  $\rightarrow$  0;
 $\lambda$  = Simplify[ $e^{-\eta y - \xi x + \eta \xi t}$  SSε[ $e^{c t + d y - 2\epsilon c a + b x}$  /. sol]];
q =  $e^{v(-t \xi \eta + \eta y + \xi x + \delta y x)}$ ;
Collect[ $v q^{-1} DP_{\xi \rightarrow D_x, \eta \rightarrow D_y}[\lambda][q]$  /.  $v \rightarrow (1 + t \delta)^{-1}$ ,
 $\epsilon$ , Simplify] /. {t  $\rightarrow$   $t_1$ , y  $\rightarrow$   $y_1$ , a  $\rightarrow$   $a_1$ , x  $\rightarrow$   $x_1$ ,
 $\xi \rightarrow \xi_1$ ,  $\eta \rightarrow \eta_1$ 
];
Q $\Delta$ [ $T_-$ ,  $y_1$ ,  $a_1$ ,  $x_1$ ,  $\xi_1$ ,  $\eta_1$ ,  $\delta_-$ ] := Module[
{adx, G, F, f, unowns, bas, eqns, sol,  $\lambda$ , q, v,  $\xi$ ,  $\eta$ , t},
adx[ $\mathcal{E}_-$ ] := Simp[ $x_{qu} ** \mathcal{E} - \mathcal{E} ** x_{qu}$ ];
G = Simp[NestList[adx,  $y_{qu}$ , $TeD + 1].
Table[ $\xi^k / k!$ , {k, 0, $TeD + 1}]];
F = Sum[f1,i,j,k[ $\eta$ ]  $e^1$  QU@{ $y^i$ ,  $a^j$ ,  $x^k$ }, {1, 0, $TeD},
{i, 0, 1}, {j, 0, 1}, {k, 0, Min[1, 2 1 - i - j]}];
unowns = Cases[F, f___[ $\eta$ ],  $\infty$ ];
bas =
Union@@Table[ $e^1$  Cases[Coefficient[F,  $\epsilon$ , 1], _QU,  $\infty$ ],
{1, 0, $TeD}];
eqns =
Flatten[
{(Coefficient[F - QU[], #] /.  $\eta \rightarrow 0$ ) == 0,
Expand[Coefficient[Simp[F ** G -  $y_{qu} ** F - \partial_\eta F$ ],
#]] == 0} & /@ bas];
{sol} = DSolve[eqns, unowns,  $\eta$ ];
 $\lambda$  = Collect[F /. sol /. { $\epsilon \rightarrow 1$ , QU  $\rightarrow$  Times},  $\epsilon$ ,
Simplify];
q =  $e^{v(-t \xi \eta + \eta y + \xi x + \delta y x)}$ ;
Collect[ $v q^{-1} DP_{\xi \rightarrow D_x, \eta \rightarrow D_y}[\lambda][q]$  /.  $v \rightarrow (1 + t \delta)^{-1}$  /.
t  $\rightarrow (t^2 - 1) / \hbar$ ,  $\epsilon$ , Simplify] /.
{y  $\rightarrow$   $y_1$ , a  $\rightarrow$   $a_1$ , x  $\rightarrow$   $x_1$ ,  $\xi \rightarrow \xi_1$ ,  $\eta \rightarrow \eta_1$ 
];

```

```

SW $x_i, a_j$ [CO[{Lh___,  $x_i$ ,  $a_j$ , rh___}  $s_$ , more___,
 $\mathbb{E}[L_-, Q_-, P_-]$ ] := CO[{Lh,  $a_j$ ,  $x_i$ , rh}  $s_$ , more,
With[{q =  $e^{-\gamma \alpha} \xi x_i + \alpha a_j$ },
 $\mathbb{E}[L, e^{-\gamma \alpha} \xi x_i + (Q / . x_i \rightarrow \theta)$ ,  $e^{-q} DP_{x_i \rightarrow D_\xi, a_j \rightarrow D_\alpha}[P][e^q]$ ] /.
{ $\alpha \rightarrow \partial_{a_j} L$ ,  $\xi \rightarrow \partial_{x_i} Q$ }]
SW $x_i, y_j \rightarrow k$ [CO[{Lh___,  $x_i$ ,  $y_j$ , rh___}  $s_$ , more___,
 $\mathbb{E}[L_-, Q_-, P_-]$ ] := CO[{Lh,  $y_k$ ,  $a_k$ ,  $x_k$ , rh}  $s_$ , more,
With[{q =  $v(\xi x_k + \eta y_k + \delta x_k y_k - t_k \xi \eta)$ },
 $\mathbb{E}[L, q + (Q / . x_i | y_j \rightarrow \theta)$ ,
 $e^{-q} DP_{x_i \rightarrow D_\xi, y_j \rightarrow D_\eta}[P][C\Delta[t_k, y_k, a_k, x_k, \xi, \eta, \delta][e^q]$ ] /.
v  $\rightarrow (1 + t_k \delta)^{-1}$  /.
{ $\xi \rightarrow (\partial_{x_i} Q / . y_j \rightarrow \theta)$ ,  $\eta \rightarrow (\partial_{y_j} Q / . x_i \rightarrow \theta)$ ,  $\delta \rightarrow \partial_{x_i, y_j} Q$ }]

```

**To do.** • Consider renormalizing  $x$  and  $y$ . • Implement variable swaps. • Implement  $m_{ij \rightarrow k}$ . • Implement  $\mathbb{E}$ ,  $R\mathbb{E}$ , and the casts CU and QU. • Reconsider the expansion of  $T$  and  $q$  in the hope of improving speed.

**Program** (as in [Projects/PPSA/Verification.nb](#)).

```

Unprotect[NonCommutativeMultiply];
Attributes[NonCommutativeMultiply] = {};
(NCM = NonCommutativeMultiply)[x_] := x;
NCM[x_, y_, z_] := (x ** y) ** z;
0 ** _ = _ ** 0 = 0;
(x_Plus) ** y_ := (# ** y) & /@ x;
x_ ** (y_Plus) := (x ** #) & /@ y;
B[x_, x_] = 0; B[x_, y_] := x ** y - y ** x;
DeclareAlgebra[U_Symbol, opts__Rule] :=
Module[{gp, sr, cp, CE, pow,
  gs = Generators /. {opts}, cs = Centrals /. {opts},
  (#y = U@#) & /@ gs;
  gp = Alternatives @@ gs; gp = gp | gp_;
  (* gen's pattern *)
  sr = Thread[gs → Range@Length@gs]; (* sorting rule *)
  cp = Alternatives @@ cs; (* cent's pattern *)
  CE[ε_] := Collect[ε, _U,
    (Expand[#] /.  $\hbar^d$  /. ; d > $TħD ⇒ 0) &];
  U_i[ε_] :=
    ε /. {t : cp ⇒ t_i, u_U ⇒ Replace[u, x_ ⇒ x_i, 1]};
  U_i[NCM[]] := U[];
  B[U@(x_)_i, U@(y_)_i] :=
    B[U@x_i, U@y_i] = U_i@B[U@x, U@y];
  B[U@(x_)_i, U@(y_)_j] /. ; i != j := 0;
  B[U@y_, U@x_] := CE[-B[U@x, U@y]];
  x_ ** U[] := x; U[] ** x_ := x;
  (a_.*x_U) ** (b_.*y_U) :=
    If[ab == 0, 0, CE[ab(x ** y)]];
  U[xx____, x_] ** U[y_, yy____] :=
    If[OrderedQ[{x, y} /. sr], U[xx, x, y, yy],
      U@xx ** (U@y ** U@x + B[U@x, U@y]) ** U@yy];
  U@{c_. * (L : gp)n, r____} /. ; FreeQ[c, gp] :=
    CE[c U@Table[L, {n}] ** U@{r}];
  U@{c_. * L : gp, r____} := CE[c U[L] ** U@{r}];
  U@{c_, r____} /. ; FreeQ[c, gp] := CE[c U@{r}];
  U@{} = U[];
  U@{L_Plus, r____} := CE[U@{#, r} & /@ L];
  U@{L_, r____} := U@{Expand[L], r};
  U[ε_NonCommutativeMultiply] := U /@ ε;
  O_U[poly_, specs____] := Module[{sp, null, vs, us},
    sp = Replace[{specs}, L_List ⇒ L_null, {1}];
    vs = Join @@ (First /@ sp);
    us = Join @@ (sp /. L_s ⇒ (L /. x_i ⇒ x_s));
    CE[Total[
      CoefficientRules[poly, vs] /. (p_ → c_) ⇒ c U@(usp)
    ] /. x_null ⇒ x
    ];
  pow[ε_, 0] = U[]; pow[ε_, n_] := pow[ε, n - 1] ** ε;
  S_U[ε_, ss__Rule] := CE@Total[
    CoefficientRules[ε, First /@ {ss}] /.
      (p_ → c_) ⇒
      c NCM @@ MapThread[pow, {Last /@ {ss}, p}]];
  S_i[c_. * u_U] :=
    CE[(c /. S_i[U, Centrals])
      DeleteCases[u, _i] **
      U_i[NCM @@ Reverse@Cases[u, x_i ⇒ S@U@x]]];
]

```

```

DeclareMorphism[m_, U_ → V_, ongs_List, oncs_List: {}] := (
  Replace[ongs, (g_ → img_) ⇒ (m[U[g]] = img), {1}];
  m[U[]] = V[];
  m[U[g_i]] := V_i[m[U@g]];
  m[U[vs____]] := NCM @@ (m /@ U /@ {vs});
  m[ε_] := Simp[ε /. oncs /. u_U ⇒ m[u]];
)
S_i[ε_Plus] := Simp[S_i /@ ε];

```

**(Proposed) Agenda.** Using Århus-like techniques, construct a map  $Z: \mathcal{T}_{vous} \rightarrow \mathcal{A}_{vous}$ , where  $\mathcal{T}_{vous}$  is the space of VOUS-tangles: Virtual tangles with only Over or Under strands, some labeled as Surgery strands, with a non-singular linking matrix between the surgery strands, modulo acyclic Reidemeister 2 moves and Kirby slide relations, and where  $\mathcal{A}_{vous}$  is some space of arrow diagrams modulo appropriate relations. The construction will either fix the definitions of  $\mathcal{T}_{vous}$  and  $\mathcal{A}_{vous}$  or will allow some flexibility that will be fixed so that the following will hold true:

1.  $\mathcal{T}_{vous}$  should have a clearer topological interpretation, perhaps in terms of Heegaard diagrams.
2.  $\mathcal{A}_{vous}$  should pair with some kind of Lie bialgebras.
3.  $\mathcal{A}_{vous}$  should be the associated graded of  $\mathcal{T}_{vous}$  and  $Z$  should be an expansion.
4. Ordinary tangles  $\mathcal{T}_{ord}$  and ordinary virtual tangles  $\mathcal{T}_{v-ord}$  should map into  $\mathcal{T}_{vous}$ , and when viewed on  $\mathcal{T}_{(v-)ord}$ , the invariant  $Z$  should explain the Drinfel'd double construction.

It may be better to first construct a  $Z$  and only later worry about the numbered properties. Yet property 4 has stand-alone topological content which may be very interesting:  $\mathcal{T}_{vous}$  is a space with an  $R3$ -free presentation and which contains  $\mathcal{T}_{(v-)ord}$ , at least nearly faithfully. What does it mean? To what extent does it make  $R3$  superfluous in knot theory?

As for constructing  $Z$ , the first step should be a  $Z: \mathcal{T}_{vou} \rightarrow \mathcal{A}_{vou}$  (no surgery), which would have a prescribed behaviour on strand-doubling.