

COMPUTING SIGNATURES

Let U, V be finite dimensional vector spaces and let $A : U \rightarrow U^*$, $B : V \rightarrow U^*$, $C : V \rightarrow V^*$ be such that A and C are self-adjoint. Define the self-adjoint transformation $M(A, B, C)$ on $U \oplus V$ by $M(u + v) := A(u) + B^*(u) + B(v) + C(v)$. That is, let $M(A, B, C)$ be given by the matrix

$$M(A, B, C) := \begin{array}{c|cc} & U & V \\ \hline U^* & A & B \\ \hline V^* & B^* & C \end{array}$$

$\emptyset \rightarrow \ker A \rightarrow U \rightarrow U/\ker A \rightarrow 0$

Now pick isomorphisms

$$\begin{aligned} U &\xrightarrow{f} (U/\ker A) \oplus (\ker A \cap \ker B^*) \oplus (\ker A/\ker B^*) \\ V &\xrightarrow{g} (V/B^{-1}(\operatorname{im}A)) \oplus B^{-1}(\operatorname{im}A) \end{aligned}$$

that make the corresponding short exact sequences split. That is, we require:

- $U \xrightarrow{f} U/\ker A$ is the natural projection
- $(\ker A \cap \ker B^*) \xrightarrow{f^{-1}} U$ is the natural inclusion
- $\ker A \xrightarrow{f} \ker A/\ker B^*$ is the natural projection
- $V \xrightarrow{g} V/B^{-1}(\operatorname{im}A)$ is the natural projection
- $B^{-1}(\operatorname{im}A) \xrightarrow{g^{-1}} V$ is the natural inclusion

By taking the dual map of the isomorphisms above, we also get isomorphisms for U^* and V^* :

$$\begin{aligned} U^* &\cong \operatorname{im}A \oplus (U^*/(\operatorname{im}A + \operatorname{im}B)) \oplus \operatorname{im}B/\operatorname{im}A \\ V^* &\cong B^*(\ker A) \oplus (V^*/B^*(\ker A)) \end{aligned}$$

where the spaces of the same colour are naturally dual to each other. Then A, B, C induce maps $A_1, B_1, B_2, C_1, C_2, C_3$ on the summands in the direct sums as in the matrix below:

| | ($U/\ker A$) | ($\ker A \cap \ker B^*$) | ($\ker A/\ker B^*$) | ($V/B^{-1}(\operatorname{im}A)$) | ($B^{-1}(\operatorname{im}A)$) |
|---|----------------|----------------------------|-----------------------|------------------------------------|----------------------------------|
| $\operatorname{im}A$ | A_1 | | | | B_1 |
| $(U^*/(\operatorname{im}A + \operatorname{im}B))$ | | | | | |
| $\operatorname{im}B/\operatorname{im}A$ | | | | B_2 | |
| $B^*(\ker A)$ | | | B_2^* | C_1 | C_2 |
| $(V^*/B^*(\ker A))$ | B_1^* | | | C_2^* | C_3 |

For example, B induces the map $B_2 : (V/B^{-1}(\operatorname{im}A)) \rightarrow \operatorname{im}B/\operatorname{im}A$ by first restricting to $(V/B^{-1}(\operatorname{im}A))$ and then projecting to $\operatorname{im}B/\operatorname{im}A$. Let the empty spots in the matrix above be 0. Then the matrix above represents a map M_1 , which is just the map M after applying the isomorphisms on U, U^*, V , and V^* . Thus M and M_1 have the same signature.

Note that A_1 and B_2 are invertible. Then let Q be the invertible map:

$$Q : (u_1 + u_2 + u_3 + v_1 + v_2) \mapsto (u_1 - A_1^{-1}B_1v_2 + u_2 + u_3 - (B_2^*)^{-1}C_2v_2 + v_1 + v_2)$$

We can verify that Q^*M_1Q is represented by the following matrix:

| | ($U/\ker A$) | ($\ker A \cap \ker B^*$) | ($\ker A/\ker B^*$) | ($V/B^{-1}(\operatorname{im}A)$) | ($B^{-1}(\operatorname{im}A)$) |
|---|----------------|----------------------------|-----------------------|------------------------------------|----------------------------------|
| $\operatorname{im}A$ | A_1 | | | | |
| $(U^*/(\operatorname{im}A + \operatorname{im}B))$ | | | | | |
| $\operatorname{im}B/\operatorname{im}A$ | | | | B_2 | |
| $B^*(\ker A)$ | | | B_2^* | C_1 | |
| $(V^*/B^*(\ker A))$ | | | | | $C_3 - B_1^*A_1^{-1}B_1$ |

Thus the signature of $M(A, B, C)$ can be computed by

$$\begin{aligned} \sigma(M(A, B, C)) &= \sigma(A_1) + \sigma\left(\begin{array}{c|c} B_2 & \\ \hline B_2^* & C_1 \end{array}\right) + \sigma(C_3 - B_1^*A_1^{-1}B_1) \\ &= \sigma(A) + \sigma(C_3 - B_1^*A_1^{-1}B_1) \end{aligned}$$

Since $\sigma(A) = \sigma(A_1)$ and anything of the form $\left(\begin{array}{c|c} B_2 & \\ \hline B_2^* & C_1 \end{array}\right)$ has signature 0. [A BETTER DESCRIPTION OF $C_3 - B_1^*A_1^{-1}B_1$]?

Theorem 0.1.

$$\sigma \begin{pmatrix} A & B \\ B^* & C + D & E \\ & E^* & F \end{pmatrix} = \sigma(A) + \sigma(F) + \sigma(\pi(C + D - B_1^* A_1^{-1} B - E_1 F_1^{-1} E^*)|_{(E^*)^{-1}(imF) \cap B^{-1}(imA)})$$

where:

- π is projection onto $V^*/(B^*(\ker A) + E(\ker F))$;
- A_1^{-1} and F_1^{-1} are the inverses of the induced isomorphisms $A_1 : U/\ker A \xrightarrow{\cong} imA$ and $F_1 : W/\ker F \xrightarrow{\cong} imF$; and
- B_1^* and E_1 are the induced maps on the quotients $B_1^* : U/\ker A \rightarrow V^*/B(\ker A)$ and $E_1 : W/\ker F \rightarrow V^*/E(\ker F)$