

**MAT 1350F Topics in Knot Theory**  
**Dror's Open Private Notebook**

Class of Wednesday September 9: Introduction to Knots, Knot Colourings, the Jones Polynomial.

1. <http://drorbn.net/20-1350>, especially ... /About.html.
2. The comparison w/ number theory.

$$\text{D} \approx \text{O} \approx \text{G}$$

A complicated unknot.  $\leftarrow$  Pict  
 The knot table.  $\leftarrow$  Pict

3. Knots, Knot colourings, Reid. moves. done line

4. A word on Jones  $\leftarrow$  Pict

5. The Kauffman bracket.

$$\langle \diagdown \diagup \rangle \rightarrow A \langle \diagup \rangle \langle \diagdown \rangle + B \langle \diagup \diagdown \rangle$$

0-smoothing                      1-smoothing

$$\langle \text{D.O.} \rangle = \langle \text{D} \rangle$$

$$\implies B = A^{-1}, d = -A^2 - A^{-2}$$

$$\langle \text{P} \rangle = -A^3 \langle \text{I} \rangle$$

$$J(K) := (-A^3)^{-w(K)} \frac{\langle K \rangle}{d} \quad / \quad A \rightarrow q^{-1/4}$$

6. The Jones skein relation:

$$J(\nearrow \nearrow) = -q^{3/4} (q^{-1/4} \langle \diagup \rangle \langle \diagdown \rangle + q^{1/4} \langle \text{U} \rangle)$$

$$J(\searrow \searrow) = -q^{-3/4} (q^{-1/4} \langle \text{U} \rangle + q^{1/4} \langle \diagup \rangle \langle \diagdown \rangle)$$

$$\implies q^{-1} J(\nearrow \nearrow) - q J(\searrow \searrow) = (q^{1/2} - q^{-1/2}) J(\nearrow \nearrow)$$

1. A word on Jones ← Pict.

2. The Kauffman bracket.

$$\langle \nearrow \searrow \rangle \rightarrow A \langle \rangle \langle \rangle + B \langle \searrow \nearrow \rangle$$

0-smoothing                      1-smoothing

$$\langle D \circ \rangle = d \langle D \rangle$$

$$\vdots \rightarrow B = A^{-1}, d = -A^2 - A^{-2}$$

$$\langle \rho \rangle = -A^3 \langle | \rangle$$

done line

mon 5/1/14:  $J(K) := (-A^3)^{-w(K)} \frac{\langle K \rangle}{d} / A \rightarrow q^{-1/4}$

3. The Jones skein relation:

$$J(\nearrow \nearrow) = -q^{3/4} (q^{-1/4} \langle \rangle \langle \rangle + q^{1/4} \langle \searrow \nearrow \rangle)$$

$$J(\searrow \searrow) = -q^{-3/4} (q^{-1/4} \langle \searrow \nearrow \rangle + q^{1/4} \langle \rangle \langle \rangle)$$

$$\Rightarrow q^{-1} J(\nearrow \nearrow) - q J(\searrow \searrow) = (q^{1/2} - q^{-1/2}) J(\nearrow \searrow)$$

4. A word about computation, aiming for:

`Knot[3, 1] /. Knots`

`PD[X[1, 4, 2, 5], X[3, 6, 4, 1], X[5, 2, 6, 3]]`

`KB[pd_PD] := Module[{p, t1, t2, t3, t4, B, d},`

`SetAttributes[p, Orderless];`

`t1 = pd /. X[i_, j_, k_, l_] → A * p[i, j] * p[k, l] + B * p[i, l] * p[j, k];`

`t2 = Expand[t1 /. PD → Times];`

`t3 = t2 //. {p[i_, j_] * p[j_, k_] → p[i, k]};`

`t4 = t3 /. {p[i_, i_] → d, p[i_, j_] ^ 2 → d};`

`Expand[t4 /. {B → 1/A, d → -A^2 - 1/A^2}]`

`]`

Wed Sep 11. Continue following "Foster-Jones.nb"

HW1 1. In  $\mathbb{Z}/3$ ,  $x+y+z=0$  iff  $x, y, z$  are all the same or are all different. Use this to show that  $\lambda(D)$  is always a power of 3 and that it can be computed in poly-time.

2. Something about KB at  $\sqrt[3]{-1}$ .

3. Prove that the PD notation of a knot diagrams determines it as a diagram in  $S^2$ .

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


Next few weeks:

1. Khovanov homology
  - a. For knots.
  - b. For tangles.
2. Finite type invariants & Lie Algebras.
3. Back to colouring invariants,  $\mathbb{Z}/2$ .
4. Prime knots, alternating knots
5. Alexander, Burnside.

Class of Friday Sep 18 (hour 5)

Today: Clarify Wednesday's EFKB  
Talk about worms in apples.  
On beyond Zebra!

"The Kaufman bracket is a morphism from the planar algebra of framed tangles into the the Temperley-Lieb planar algebra."

							
Yuzz	Wum	Um	Humpf	Fuddle	Glikk	Nuh	Snee
							
Quan	Thnad	Spazz	Flobb	Zatz	Jogg	Flunn	Itch
							
Yekk	Vroo	Hi!					

$$\text{Rank}_{\mathbb{Z}[A, A^{-1}]} TL_{2n} = C_n = \frac{1}{n+1} \binom{2n}{n} \sim \frac{4^n}{n^{3/2} \sqrt{\pi}}$$

Next: Khovanov homology for knots  
Following my "On Khovanov's categorification of the Jones polynomial", especially the last page.

Last time: over  $\mathbb{Z}[A^{\pm 1}]$

KB: Planar Alg of Tangles /  $K_2K_3 \longrightarrow TL := \{ \text{tangles} \} / \text{O} = \text{I}$

$$\text{Rank}_{\mathbb{Z}[A, A^{-1}]} TL_{2n} = C_n = \frac{1}{n+1} \binom{2n}{n} \sim \frac{4^n}{n^{3/2} \sqrt{\pi}}$$

Some discussion and proof.

Next: Khovanov homology for knots  
 Following my "On Khovanov's categorification of the Jones polynomial", especially the last page.

Khovanov: A graded <sup>chain</sup> complex for each knot diagram,  
 whose Euler characteristic is the Jones poly,  
 and whose homology is invariant (stronger than Jones!)  
 + more...

Define all these notions!

$V: \{ \text{oriented knots in oriented } \mathbb{R}^3 \} \longrightarrow A$  (an Abelian group)

$$V^{(m)}(\underbrace{X \cdots X}_m) := V^{(m-1)}(\nearrow X \cdots X) - V^{(m-1)}(\searrow X \cdots X)$$

"V of type m" means  $V^{(m+1)} = 0$  means  $V(\underbrace{X \cdots X}_m) \equiv 0$

---

Example 1 Linking numbers.

3. The Conway poly.

2. self-linking numbers.  
(& Framed knots)

4. The Jones poly  
 $q^1 L_+ - q L_- = (q^{1/2} - q^{-1/2}) L_0$

5. & more!

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w.s., CD, FI, 4J, The Fund. Thm.

$$V \in \mathcal{V}_m \Leftrightarrow V^{(m+1)} = 0 \Leftrightarrow V(\underbrace{X \dots X}_{> m}) \equiv 0$$

v.s. of type  $m$   
invariants

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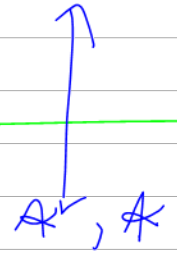
W.S., CD, FI, 4J, The Fund. Thm.

Kontsevich + ...

The Fundamental Thm of FTI:  $\forall W \in \mathcal{W}_m := (\mathcal{D}_m / \text{FI}, \text{4T})^*$

$\exists V \in \mathcal{V}_m$  s.t.  $W = W_V (\sim V^{(m)})$ .

$m$	0	1	2	3	4	5	6	7	8	9	10	11	12
$\dim \mathcal{A}_m^r$	1	0	1	1	3	4	9	14	27	44	80	132	232
$\dim \mathcal{A}_m$	1	1	2	3	6	10	19	33	60	104	184	316	548



Def  $\mathcal{A} = \bigoplus_{m=0}^{\infty} \mathcal{A}_m$      $\hat{\mathcal{A}} = \prod_{m=0}^{\infty} \mathcal{A}_m$  [I won't always keep them separate]

$\mathcal{A} \sim \mathcal{K}$      $\mathcal{K}$  has a commutative product  
 $\mathcal{K}^*$  has -11-

Thm  $\mathcal{A}$  is a connected graded & co-comm. bi-algebra <sup>commutative</sup>.

\* Talk about the product of  $\mathcal{A}$ .

Prop IF  $V_1 \in \mathcal{V}_{m_1}$  &  $V_2 \in \mathcal{V}_{m_2}$  then  $V_1 \cdot V_2 \in \mathcal{V}_{m_1+m_2}$

Prop  $\exists \square: \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$  s.t.  $W_{V_1 \cdot V_2} = \square // W_{V_1} \otimes W_{V_2}$

Thm  $(\mathcal{A}, m, \square, \epsilon, \eta)$  is as stated above.



Prop. IF  $V_1 \in \mathcal{V}_{m_1}$  &  $V_2 \in \mathcal{V}_{m_2}$  then  $V_1 \cdot V_2 \in \mathcal{V}_{m_1+m_2}$

Prop  $\exists \square: \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$  s.t.  $W_{V_1 \cdot V_2} = \square // W_{V_1} \otimes W_{V_2}$

Wconway.

Thm  $(\mathcal{A}, m, \square, \epsilon, \eta)$  is as stated above.

Milnor-Moore.

$m$	0	1	2	3	4	5	6	7	8	9	10	11	12
$\dim \mathcal{A}_m^r$	1	0	1	1	3	4	9	14	27	44	80	132	232
$\dim \mathcal{A}_m$	1	1	2	3	6	10	19	33	60	104	184	316	548
$\dim \mathcal{P}_m$	0	1	1	1	2	3	5	8	12	18	27	39	55

$\mathcal{A}^t$

Lie algebras & weight systems.

Thm  $(A, m, \square, \epsilon, \eta)$  is as stated above.

Milnor-Moore.

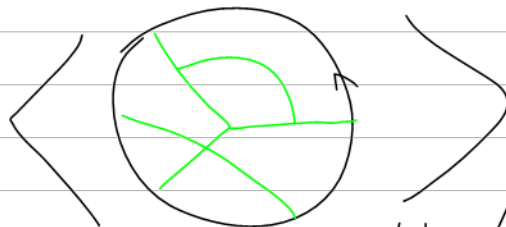
$m$	0	1	2	3	4	5	6	7	8	9	10	11	12
$\dim \mathcal{A}_m^r$	1	0	1	1	3	4	9	14	27	44	80	132	232
$\dim \mathcal{A}_m$	1	1	2	3	6	10	19	33	60	104	184	316	548
$\dim \mathcal{P}_m$	0	1	1	1	2	3	5	8	12	18	27	39	55

$A^t$

Lie algebras & weight systems.

Thm

$A \cong A^t :=$



\* Connected, oriented vertices,  $d_{ij} = \frac{1}{2}(\text{verts})$

AS:  $Y + \cancel{X} = 0$

STU:  $\underbrace{Y}_{\rightarrow} = \underbrace{U}_{\rightarrow} - \underbrace{X}_{\rightarrow}$

IHX:  $\mathbf{I} = \mathbf{H} - \mathbf{X}$

PF. . . .

Lie algebras, metrized Lie algebras, reps in  $R$

$F, t, r, W_{L,R}(D)$

Reminders  $\mathfrak{g}$ : metrized f.d Lie Algebra

$R$ : Representation thereof.

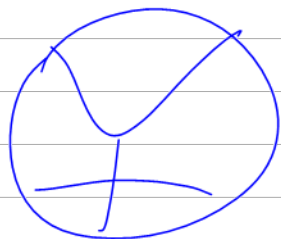
Thm  $\exists W_{\mathfrak{g}, R}: A \rightarrow \mathbb{F}$

$$\mathfrak{g} = \langle X_a \rangle_{a=1}^{\dim \mathfrak{g}} \quad R = \langle \rho_a \rangle_{a=1}^{\dim R}$$

$$[X_a, X_b] = f_{ab}^c X_c \quad \langle X_a, X_b \rangle = t_{ab} \quad t_{ab} = t_{ba} = \delta_{ab}$$

$$F_{abc} = \langle [X_a, X_b], X_c \rangle = f_{ab}^d t_{dc} \quad X_a \rho_b = \int_{\alpha}^{\beta} \rho_a \times \rho_b$$

$\leftarrow$  totally as.



Lemma 1 This is well defined on diagrams.

- PF
1. Phys. way.
  2. Tens. calc way.
  3. hands on.

Lemma 2  $W_{\mathfrak{g}, R}$  satisfies AS, STU, IHX.

Last time. on board

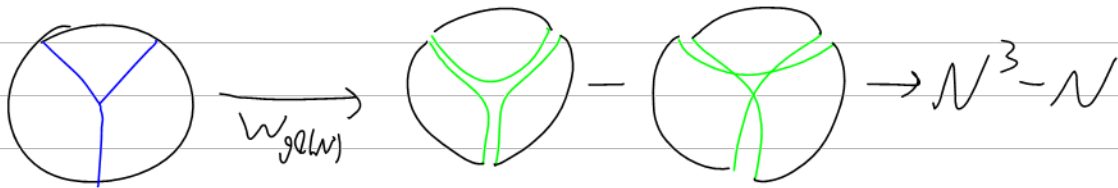
$\otimes / \mathcal{H} \cong \otimes / \begin{matrix} AS \\ IHX \\ STU \end{matrix}$

NTS: STU  $\Rightarrow$  AS, IHX.

sym

sym





HW6 Q2 IF  $D$  is blue,  $|W_{\text{gelw}}^{\text{top}}(D)| = \# \text{ planar embeddings of } D$

Last bit of H19: IF  $D$  is blue & planar,  $|W_{\text{sel2}}(D)|$

Thm IF  $D$  is blue,

$$= \# \text{ edge 3-colourings of } D$$

$$4(\# \text{ edge 3-colourings of } D) = \#(\text{map 4-colourings of } D)$$

$$\text{So } 4CT \Leftrightarrow (\# \text{ planar embeddings of } D \neq 0 \Rightarrow \# \text{ edge 3-colourings of } D \neq 0)$$

$$\Leftrightarrow W_{\text{sel2}}(D) = 0 \Rightarrow W_{\text{gelw}}^{\text{top}}(D) = 0 \quad \text{Super reasonable!}$$

Note on HW6 Q1:  $\exists$  a good  $P: \hat{A} \rightarrow A \xrightarrow{W_{\text{gelw}}} F \dots$

$$\text{Prop (Fund Thm (Framed knots))} \Leftrightarrow \exists Z: \{\text{Knots}\} \rightarrow \hat{A} \text{ s.t. } Z(K) = D_K + h.o.$$

Such  $Z$  is "an expansion" or a UFTI

PF Given  $Z, \dots$

Given FT, take a basis  $a_{m,i}$  of  $A_m$ , let  $W_{m,i}$  be the dual basis, let  $V_{m,i}$  be s.t.  $W(V_{m,i}) = W_{m,i}$  & set  $Z(K) = \sum a_{m,i} V_{m,i}(K)$

$\langle D, K \rangle_{\mathbb{R}} := \left( \begin{array}{l} \text{The signed Stonehenge} \\ \text{pairing of } D \text{ and } K \end{array} \right) :$

$D =$   $K =$   $\Rightarrow$  **count with signs**

The Gaussian linking number  $= \langle \bigcirc - \bigcirc, \bigcirc \rangle_{\mathbb{R}}$

$lk(\bigcirc \bigcirc) = \sum_{\text{vertical chopsticks}} (\text{signs})$

C.F. Gauss

**The generating function of all cosmic coincidences:**

$Z(K) := \lim_{N \rightarrow \infty} \sum_{\text{3-valent } D} \frac{\langle D, K \rangle_{\mathbb{R}} D}{2^c c! \binom{N}{e}} \cdot \left( \begin{array}{l} \text{framing-} \\ \text{dependent} \\ \text{counter-term} \end{array} \right) \in \mathcal{A}(\odot)$

$N := \# \text{ of stars}$   
 $c := \# \text{ of chopsticks}$   
 $e := \# \text{ of edges of } D$

$\mathcal{A}(\odot) := \text{Span} \left\langle \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \right\rangle // \text{oriented vertices}$   
 AS: + = 0 & more relations

*Done line*  
 Friday Oct 30, hour 22

**When deforming, catastrophes occur when:**

A plane moves over an intersection point – Solution: Impose IHX.	An intersection line cuts through the knot – Solution: Impose STU.	The Gauss curve slides over a star – Solution: Multiply by a framing-dependent counter-term.
=  -	=  -	
(see below)	(similar argument)	(not shown here)

**Theorem.** Modulo Relations,  $Z(K)$  is a knot invariant!

### The IHX Relation

the red star is your eye.

=
-

- The Cast**  
 in rough historical order
- The Neolithic People
  - Carl Friedrich Gauss
  - Edward Witten
  - Victor Vassiliev
  - Mikhail Goussarov
  - Maxim Kontsevich
  - Raoul Bott
  - Clifford Taubes
  - Thang Le
  - Jun Murakami
  - Tomotada Ohtsuki

Chern-Simons-Witten theory and Feynman diagrams.

$$\int_{\mathfrak{g}\text{-connections}} \mathcal{D}A \text{hol}_K(A) \exp \left[ \frac{ik}{4\pi} \int_{\mathbb{R}^3} \text{tr} \left( A \wedge dA + \frac{2}{3} A \wedge A \wedge A \right) \right]$$



Witten

$$\rightarrow \sum_{\substack{D: \text{Feynman} \\ \text{diagram}}} W_{\mathfrak{g}}(D) \int \mathcal{E}(D) \rightarrow \sum_{\substack{D: \text{Feynman} \\ \text{diagram}}} D \int \mathcal{E}(D)$$



Feynman

Monday Nov 2: A quick intro to Hour 23

Feynman Diagrams. See notes for

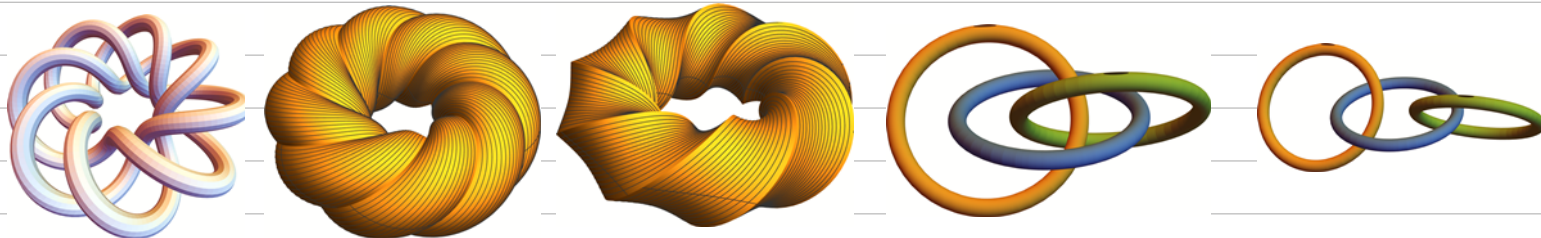
Week 9.

Hour 24, Wednesday November 4: The Fundamental Group  
HW7 on web by midnight! (And I hope to clear my marking backlog soon).

Finite type / Lie Algebras and Reps omissions:

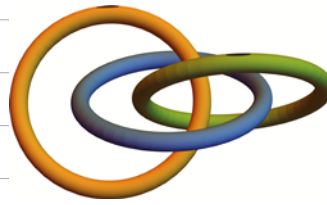
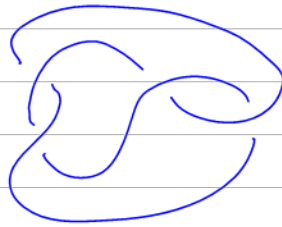
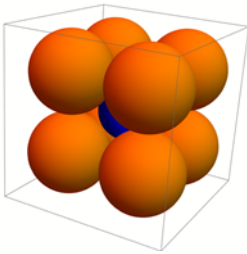
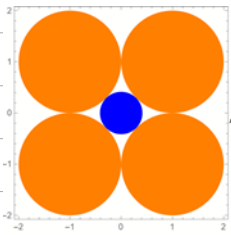
- \* The KZ proof of the Fundamental Theorem.
- \* The "Associators" proof of the Fundamental Theorem (also, "Knotted Trivalent Graphs").
- \* The step-by-step-integration non-proof of the Fundamental Theorem.
- \* Computing FT Invariants using "Gauss Diagram Formulas".
- \* Computations of invariants for specific Lie algebras and reps ("Quantum Groups").
- \* Finite type invariants of other types knotted objects.
- \* Finite type invariants of 3-manifolds.
- \* Vogel's work on non-Lie-algebraic weight systems.
- \* And more....

A Gallery of Pictures from BlownTorus.nb at <http://drorbn.net/AcademicPensieve/Classes/20-1350-KnotTheory>:



$\pi_1$ ;  $\pi_1(\mathbb{T}_{8,3})$ , Thm sep, Wirtinger,  $\pi_1(\text{Hopf})$





The 1206 riddle

$\pi_1(4,1)$

$\pi_1(\text{Hopf})$  why so easy?

$\pi_1$  nearly 4 paratus!

$\text{Rep}(\pi_1, G)$

$\text{Rep}(\pi_1, \mathfrak{g}^G)$   $\{\pm 1\}$   $\mathbb{Z}/k$

Example  $D_{2n} = \left\{ \begin{pmatrix} s & k \end{pmatrix} \right\}$  w/  $(s_1, k_1)(s_2, k_2) = (s_1 s_2, s_2 k_1 + k_2)$

$$(s, k)^{-1} = (s, -sk)$$

$$(-1, k_1)^{(-1, k_2)} = (-1, 2k_2 - k_1)$$

- Lickorish GTM 175, P115
0. The word problem is insoluble. (also L1)
  1. Waldhausen 66:  $(\pi_1, \lambda, M)$  determines  $K$ .
  2. Whitten/Gonzales-Acuña 87: IF  $K$  is prime,  $\pi_1(K)$  determines  $K$ .
  3. Gordon-Luecke 89: The complement of an unoriented  $K$  determines it.
  4. The links when have homeomorphic complements.

Quandles

# Post-reading week plans:

Week 10: Colouring, quandles.  
prime knots, decomposition  
into primes.

Week 11:  $(u, v, w)$ -brambles.  
Combining  $u$ -brambles  
OU-stuff.

Week 12: Expansions for groups

Week 13 (2 classes): Burau & Alexander.

But first, ctrl-C ctrl-V from Oct 14 and from Sep 14:

$$C: \{\text{links}\} \rightarrow \mathbb{Z}[\mathbb{Z}] \quad C_0(\text{link}) = \delta_{k1}$$

$$C(\underbrace{O \dots O}_k) = \delta_{k1}$$

$$C(\nearrow) = C(\nwarrow) - C(\searrow) = \mathbb{Z}C(\uparrow) \quad \text{oct 14}$$

$$C(K) = \sum_{m=0}^{\infty} C_m(K) \cdot \mathbb{Z}^m$$

is of type  $m$ .

$$W_{C_m}: A_m \rightarrow \mathbb{Z}$$

$m$ -singular knot whose  
underlying c.d. is  $D$ .

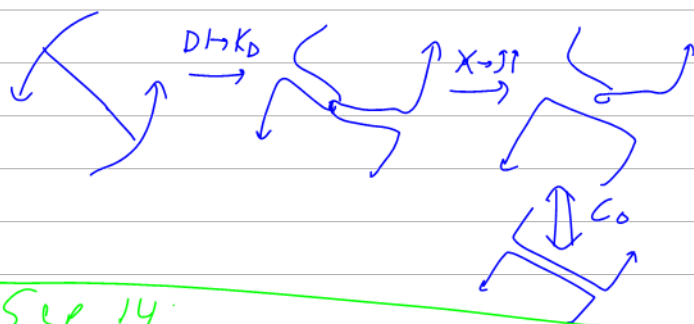
$$W_{C_m}(\underbrace{\bigoplus_m}_{m \text{ chords}} = D) = C_m(K_D)$$

$$= \text{Coeff}_{\mathbb{Z}^m} \left( C(K_D / X \rightarrow \uparrow) \right)$$

$$= \text{Coeff}_{\mathbb{Z}^m} (C(K_D / X \rightarrow \uparrow))$$

$$= C_0(K_D / X \rightarrow \uparrow)$$

$$W_{C_m}(D) = \begin{cases} 1 & \text{if } K_D / X \rightarrow \uparrow \text{ is connected} \\ 0 & \text{otherwise} \end{cases}$$



Sep 14:

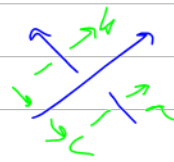
The Jones skein relation:

$$q^{-1}J(\nearrow) - qJ(\nwarrow) = (q^{1/2} - q^{-1/2})J(\uparrow)$$

$$J(O^k) = (-q^{1/2} - q^{-1/2})^k$$

The Wirtinger presentation

$$\pi_1(K) = \langle a_i \mid a = c^b \dots \rangle$$



$$\rightarrow a = c^{-1} b c = c^b$$

$\text{Rep}(\pi, G)$

$\text{Rep}(\pi, g^G) \quad \{\pm 1\} \quad \mathbb{Z}/k$

Example  $D_{2n} = \left\{ \begin{pmatrix} s & k \\ 1 & 1 \end{pmatrix} \right\} \quad \text{w/} \quad (s_1, k_1)(s_2, k_2) = (s_1 s_2, s_2 k_1 + k_2)$

$$(s, k)^{-1} = (s, -sk)$$

Quandles  $a, b \mapsto a \cdot b \quad (-1, k_1)^{(-1, k_2)} = (-1, 2k_2 - k_1)$

1. Axiomatize conjugation
2. Derivation from  $R_1, R_2, R_3$  "self-distributivity"
3. Examples.
4. A binary op that induces an action by automorphisms
5. Lie algebras.

Seifert surfaces

Construction using Seifert cycles.

Example: The Trefoil [compute genus using  $\chi$ ]

Construction using unknotting

Def  $g(K)$

Thm  $g(K_1 \# K_2) = g(K_1) + g(K_2)$

Correction I said " $\text{ad}_z: L \rightarrow L$  is a morphism of Lie algs." Nonsense!

$Q \times Q \xrightarrow{\wedge} Q$  is equivariant, meaning

$$\begin{array}{ccc}
 Q \times Q & \xrightarrow{\wedge} & Q \\
 \downarrow *z & \cong & \downarrow *z \\
 Q \times Q & \xrightarrow{\wedge} & Q
 \end{array}
 \quad
 \begin{array}{ccc}
 L \otimes L & \xrightarrow{\epsilon, \sigma} & L \\
 \downarrow \text{ad}_z & \cong & \downarrow \text{ad}_z \\
 L \otimes L & \xrightarrow{\epsilon, \sigma} & L
 \end{array}$$

Quandles from groups:

- 1.  $x \wedge y := y^{-1}xy$
  - 2.  $x \wedge y := y^{-n}xy^n$
  - 3.  $x \wedge y := yx^{-1}y$
- (can restrict to a conjugacy class)

Vendramin:

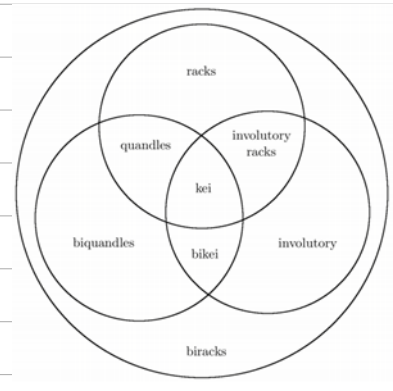
TABLE 2. The number of non-isomorphic indecomposable quandles

$n$	1	2	3	4	5	6	7	8	9	10	11	12
$q(n)$	1	0	1	1	3	2	5	3	8	1	9	10
$n$	13	14	15	16	17	18	19	20	21	22	23	24
$q(n)$	11	0	7	9	15	12	17	10	9	0	21	42
$n$	25	26	27	28	29	30	31	32	33	34	35	
$q(n)$	34	0	65	13	27	24	29	17	11	0	15	

**Conjecture 3.5.** Let  $p$  be an odd prime number and let  $Q$  be an indecomposable quandle of  $2p$  elements. Then  $p \in \{3, 5\}$ .

Elhamdani/Nelson: A whole book.

The fundamental quandle of a knot. (Joyce)  
 On beyond quandles!



Aksoy, Nelson arxiv: 1102.1473:

Seifert surfaces

Construction using Seifert cycles.

Example: The Trefoil [compute genus using  $\chi$ ]

Construction using unknotting

Def  $g(K)$

Thm  $g(K_1 \# K_2) = g(K_1) + g(K_2)$

Everything is smooth

Def A Seifert surface for an oriented link  $K$  is a connected oriented surface  $\Sigma \subset \mathbb{R}^3$  st.  $\partial \Sigma = K$ .

Example



topologically this is a  $\Sigma_{g,1,1}$



Computing genus using  $\chi$   
 Construction using Seifert cycles.

Example: The Trefoil

Construction using unknotting

Def  $g(K)$  Note  $g(K) = 0 \iff K = \emptyset$ .

Thm  $g(K_1 + K_2) = g(K_1) + g(K_2)$

Cor 1 knots don't make a group! If  $K_1 + K_2 = \emptyset$ , then  $K_1 = K_2 = \emptyset$ .

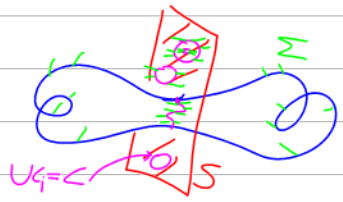
Cor 2 A knot of genus 1 is prime.  $3_1$  is prime.

Cor 3 Existence of prime decomposition (not yet uniqueness)

PF of thm (Modules all about diff geom & the topology of  $\mathbb{R}^2$ )

$g(K_1 + K_2) \leq g(K_1) + g(K_2)$  [Easy, yet...]

$g(K_1 + K_2) \geq g(K_1) + g(K_2)$ :



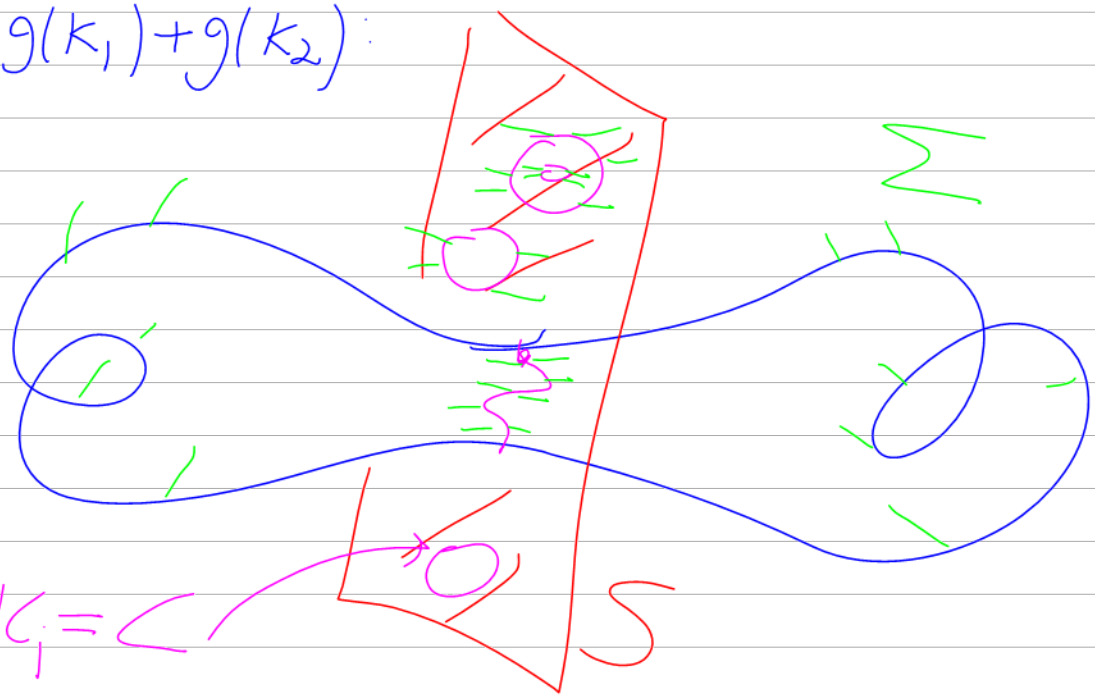
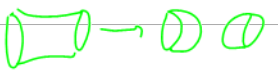
Thm  $g(K_1 + K_2) = g(K_1) + g(K_2)$

PF of thm (Modules all about diff geom & the topology of  $\mathbb{R}^2$ )

$g(K_1 + K_2) \leq g(K_1) + g(K_2)$  [Easy, yet...]

$g(K_1 + K_2) \geq g(K_1) + g(K_2)$ :

Added after class:  
I should have prepared a clear statement of "What does neck cutting do to the genus":



Thm If  $P+Q = K_1 + K_2$  w/  $P$  prime then

either  $K_1 = P + L$  &  $Q = L + K_2$

or  $K_2 = P + L$  &  $Q = L + K_1$  PF Intur!

Cor If  $P + Q_1 = P + Q_2$ ,  $P$  prime, then  $Q_1 = Q_2$

Cor If  $P_1 + \dots + P_n = P'_1 + \dots + P'_{n'}$ ,  $n \leq n'$  and all are prime, then  $n = n'$  &  $(P'_i)$  is a perm of  $(P_i)$ .

PF By induction on  $n$ .  $n=0 \dots$   
 $n>0 \dots$

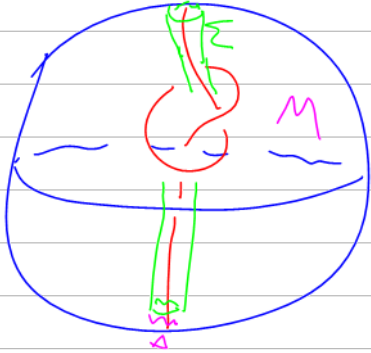
The  $PQ=K_1K_2$  Theorem following Lickorish.

$\gamma$ : the curve.

$B$ : a ball w/  $P$  inside &  $Q$  outside.

$\Sigma$ : a sphere separating  $K_1$  &  $K_2$ .

IF  $\Sigma \cap B = \emptyset$ , we're done





Plan for Nov 25.

1. restate  $PQ=KK$  thm

We started class all wrong to prove it!


2. Pathologies in  $\mathbb{R}^3$ : ———, knotted intervals,  
knotted cantor sets, knotted  $S^2$ 's

3. Alex-Scho thm, ref to M-F.

4. No knotted smooth  $S^2$ 's; Every  $(S^3, S)$  is  $(S^3, S^2)$

5. But yes knotted  $T^2$ 's! w/ two examples.

6. 3 def's of knots.

7. Reformulation of prime in terms of spheres, an  example.

8. start the  $PQ=KK$  proof.



Thm IF  $P+Q=K_1+K_2$  w/  $P$  prime then  
either  $K_1=P+L$  &  $Q=L+K_2$   
or  $K_2=P+L$  &  $Q=L+K_1$

We started class all wrong to prove this!

My hope is to get some better "Feel"  
for  $\mathbb{R}^3$  today.

Yet there's the Jordan curve thm, &  
Alexander-Schönflies. A smooth  $S^2$   
in  $S^3$  divides  $S^3$  into two smooth  
balls. Best proof: Calder Morton-Ferguson

<http://katlas.math.toronto.edu/caldermf/3manifolds/3manifolds.pdf>

Following Hatcher's

<http://pi.math.cornell.edu/~hatcher/3M/3Mdownloads.html>

Doc: Doc: Natur: Class: 2001-02: Algebraic Topology: screen version print version

### Topological Pathologies in $\mathbb{R}^3$

An embedding of an interval in  $\mathbb{R}^3$  whose complement is not simply connected:

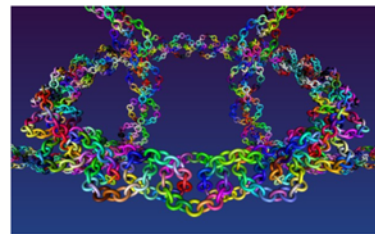


See Hocking and Young's Topology pp. 176-177.



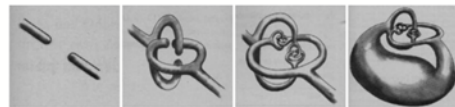
See <http://www.math.ohio-state.edu/~friedson/math655/leedna.html>

Antoine's necklace - an embedding of a Cantor set in  $\mathbb{R}^3$  whose complement is not simply connected:



See <http://www.ca.ubc.ca/math/imppa/contributors/schaerin/varian/AntoinnesNecklace.html>

The Alexander horned sphere - a continuous embedding of a ball in  $\mathbb{R}^3$  whose complement is not simply connected:



See <http://users.math.uisi-octsdan.de/~oelmer/EIGENES-RAEUME-horned.htm>

Cor 1 No knotted  $S^2$  in  $S^3$ .

2. Every  $(S^3, S)$  is  $(S^3, S^2)$

3. But there are knotted  $T^2$  in  $\mathbb{R}^3$ !

1. nbds of knots (inside is std)  
balls w/ knot removed (outside is std) (never  
657?)

4. 4 defns of "knots": smooth curves.

- a. smooth deformations
- c. diffeo

- b. Ambient isotopy
- d. disks/ $\mathbb{R}$ -mans.

5. Prime: IF an  $S^2$

intersects  $\gamma$  twice,

Post Factum: 5.5 below.

6. Beginning of the PQ = KK PF:

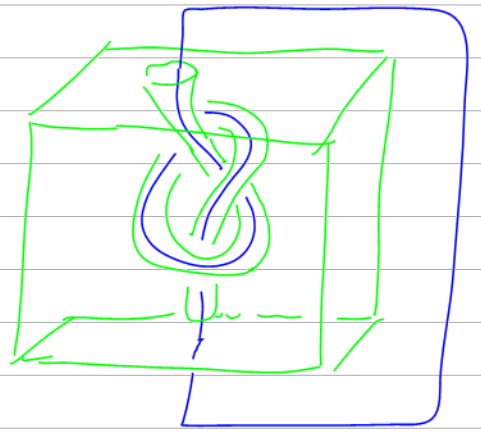
$\gamma$ : the curve.

$B$ : a ball w/  $P$  inside &  $Q$  outside.

$S := \partial B$

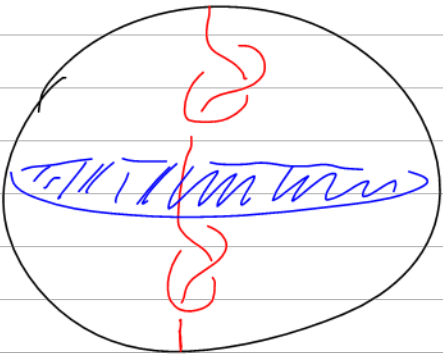
$\Sigma$ : a sphere separating  $K_1$  &  $K_2$

IF  $S \cap \Sigma = \emptyset$ , we are done otherwise...

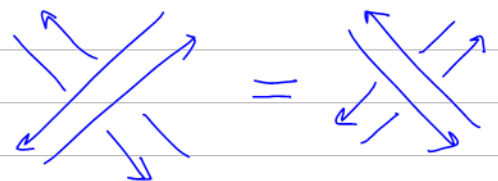
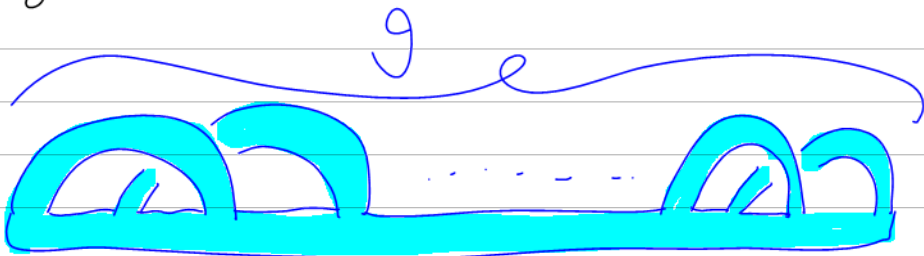


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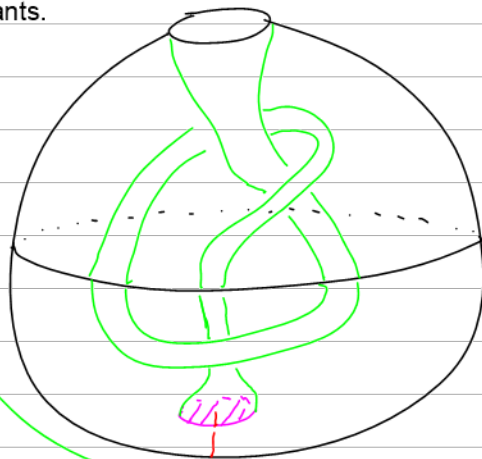
5.5 I should have added words about  
a knot in a ball & cutting it in half by  
an equatorial plane that intersects it once:



Figures for HW9:



Thm If  $P+Q=K_1+K_2$  w/  $P$  prime then  
 either  $K_1=P+L$  &  $Q=L+K_2$   
 or  $K_2=P+L$  &  $Q=L+K_1$

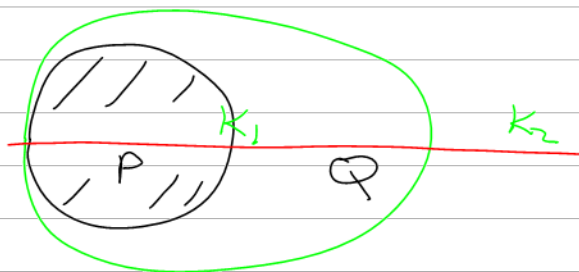


Proof  $\gamma$ : the curve.

$B$ : a ball w/  $P$  inside &  $Q$  outside.  
 $S := \partial B$  For drawing,  $B$  is standard.

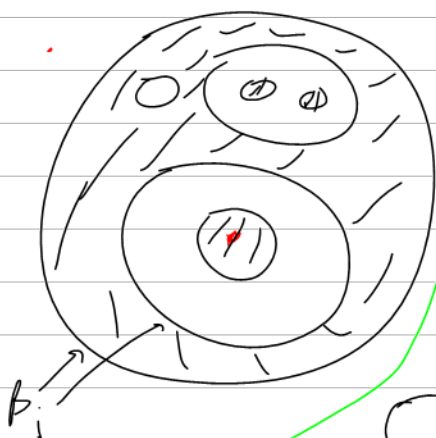
$\Sigma$ : a sphere separating  $K_1$  &  $K_2$

IF  $S \cap \Sigma = \emptyset$ , we are done.

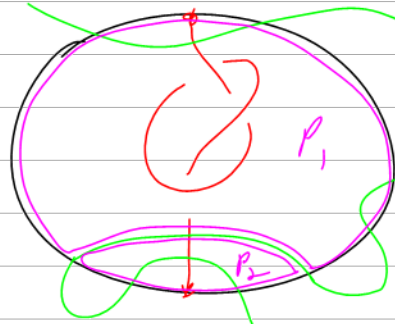
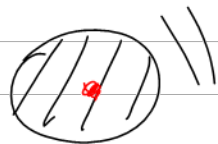
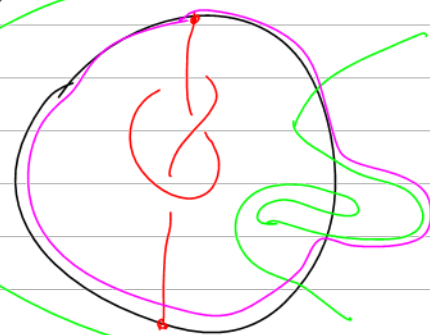
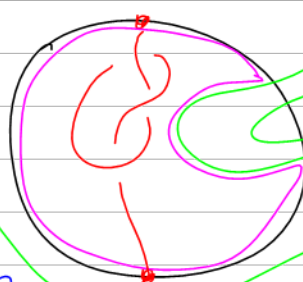


Otherwise,  $\Sigma$  wears a pair of pants:

Cases:

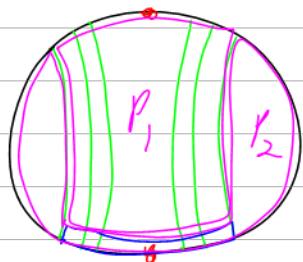
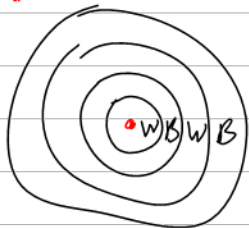


Let  $P$  be  
 the new  $B$ !

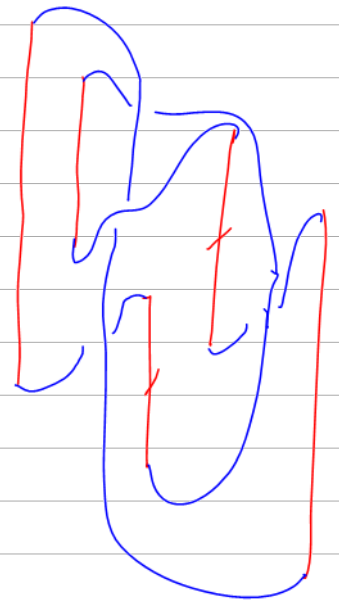
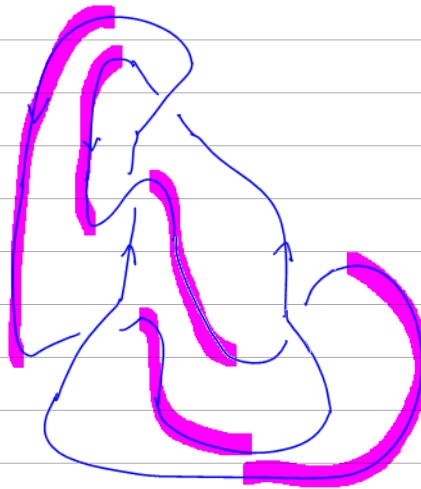
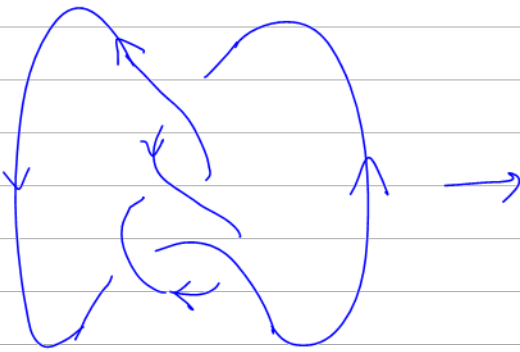
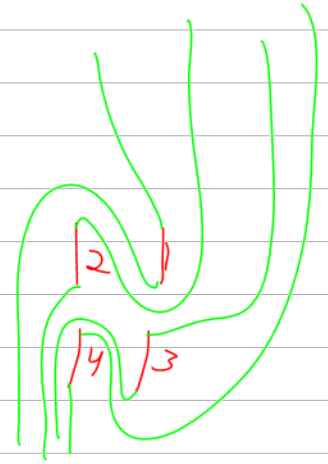
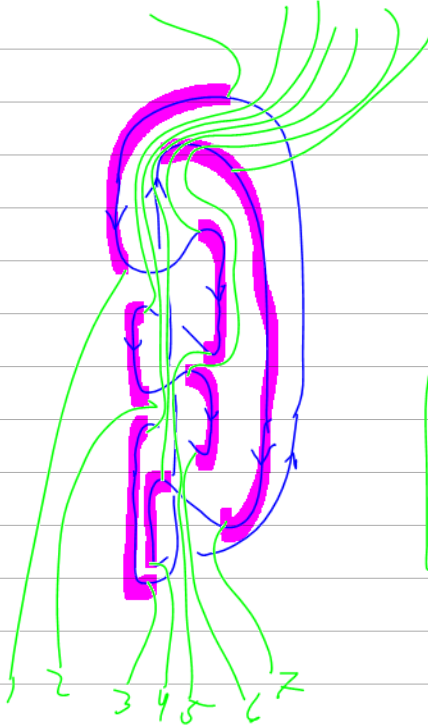
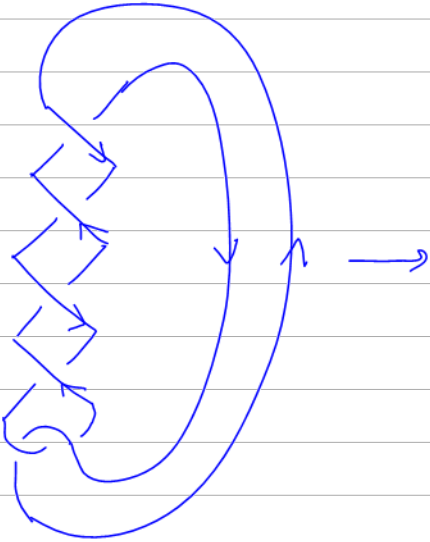
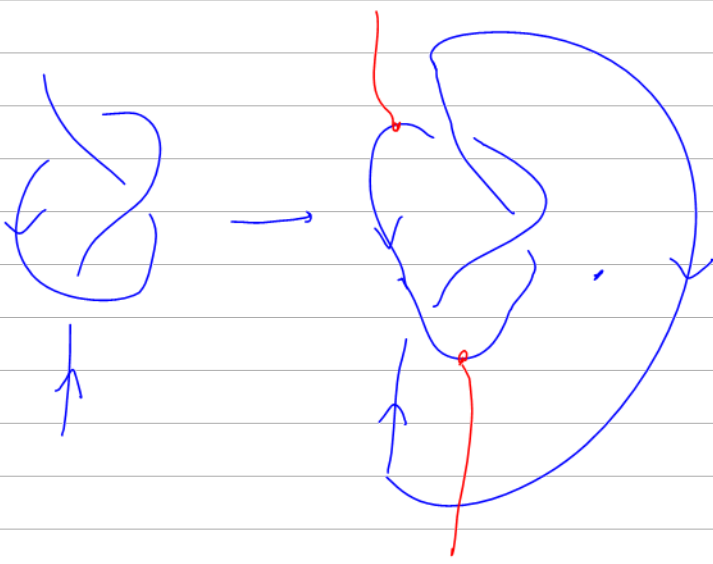


one of  $P_1$  or  $P_2$   
 is the new  $B$

$\Sigma$  cases



one of  $P_1$  or  $P_2$   
 is the new  $B$



Braids:  $B_n$   
 Composition  
 $C_n, \tilde{C}_n, \pi_1(\tilde{C}_n)$   
 gens & rels.

Relevance to knot theory:

1. Alexander's  $\mathcal{R}_m$
2. Markov's  $\mathcal{R}_m$
3. The  $n^2$  issue
4. An aside on b-type & c-type  $R$ -moves.

$1 \rightarrow PB_n \xrightarrow{\pi} B_n \xrightarrow{\sigma} S_n \rightarrow 1$     1. Studying  $B_n$  &  $PB_n$  is  
 $\pi_1^1(C_n)$      $\Rightarrow$     made or less the same.

2. Not split  $\Downarrow$

Aside on split exact:

$$1 \rightarrow A \xrightarrow{i} B \begin{matrix} \xrightarrow{p} \\ \xleftarrow{s} \end{matrix} C \rightarrow 1 \quad S/P = Id_C$$

1.  $B = A \times C$  as sets  $\Downarrow$
2.  $A \triangleleft B$     3.  $C$  acts on  $A$ .

so  $B = A \rtimes C$

$$1 \rightarrow F_{n-1} \rightarrow PB_n \rightarrow PB_{n-1} \rightarrow 1$$

1. split  $\Downarrow$
2. combing.

$$C_n = \{z \in \mathbb{C}^n : z_i \neq z_j \text{ for } i \neq j\} \quad \tilde{C}_n = C_n / S_n$$

$$B_n = \pi_1(\tilde{C}_n) = \langle \sigma_i \mid 1 \leq i \leq n-1 : \begin{array}{l} \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \\ \sigma_i \sigma_j = \sigma_j \sigma_i \quad |i-j| > 1 \end{array} \rangle$$

Alexander's Thm. Every knot/link is a braid closure:



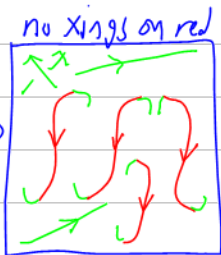
PF

Morse Link



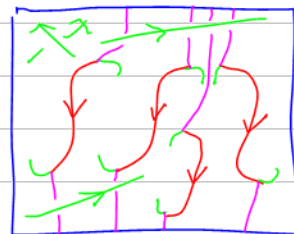
relations:  $R1, R2, R3$   
 $\eta = 1, \hat{\eta} = \nearrow$

rotate  
Xings

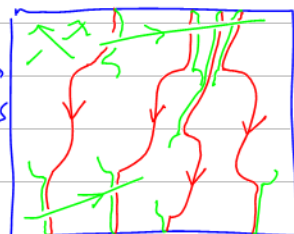


rotate left or right?

disjoint choose monotone  
 purple paths  
 max  $\rightarrow$  top  
 min  $\rightarrow$  bottom  
 no purple/red intersections



pull!  
 while always crossing "under"



This is a braid closure!

Describes knots  $\Downarrow$  comments 1. The  $n^2$  issue  
 2. The  $R_b R_c$  issue.

$$1 \rightarrow PB_n \rightarrow B_n \xrightarrow{\sigma} S_n \rightarrow 1 \quad \Rightarrow \begin{array}{l} 1. \text{ studying } B_n \text{ \& } PB_n \text{ is} \\ \text{made or less the same.} \\ 2. \text{ Not split } \Downarrow \end{array}$$

$\pi_1(C_n)$

Aside on split exact:  $1 \rightarrow A \xrightarrow{i} B \xrightarrow{p} C \rightarrow 1 \quad s/p = Id_C$

1.  $B = A \times C$  as sets  $\Downarrow$
2.  $A \triangleleft B$       3.  $C$  acts on  $A$ .

so  $B = A \rtimes C$

$$1 \rightarrow F_{n-1} \rightarrow PB_n \rightarrow PB_{n-1} \rightarrow 1$$

1. split  $\Downarrow$
2. Combing.

$$1 \rightarrow PB_n \rightarrow B_n \xrightarrow{\sigma} S_n \rightarrow 1$$

1. Studying  $B_n$  &  $PB_n$  is made or less the same.
2. Not split!

Aside on split exact:

$$1 \rightarrow A \xrightarrow{i} B \begin{matrix} \xrightarrow{p} \\ \xleftarrow{s} \end{matrix} C \rightarrow 1 \quad S/P = Id_C$$

1.  $B = AC$  and  $A \cap C = \{1\}$

So

2.  $A \triangleleft B$  hence  $C$  acts on  $A$ .

$$B = A \rtimes C$$

$$1 \rightarrow F_{n-1} \rightarrow PB_n \rightarrow PB_{n-1} \rightarrow 1$$

1. split!
2. Combing

$PvB_n, PwB_n$ , explain  $PwB_n$ , explain  $PvB_n$

explain  $PuB_n \rightarrow PvB_n \rightarrow PwB_n$  [not a complex!]

**Theorem.** Let  $S = \{\tau\}$  be the symmetric group. Then  $vB$  is both

$$PB \rtimes S \cong B * S \quad \left( \gamma_i \tau = \tau \gamma_j \text{ when } \tau i = j, \tau(i+1) = (j+1) \right)$$



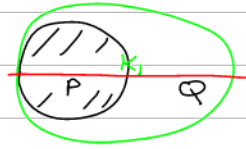
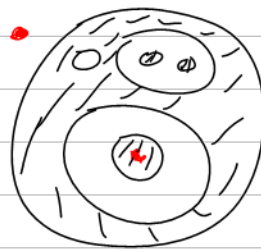
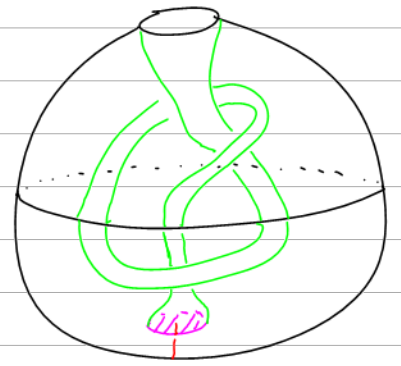
Thm If  $P+Q=K_1+K_2$  w/  $P$  prime then either  $K_1=P+L$  &  $Q=L+K_2$  or  $K_2=P+L$  &  $Q=L+K_1$

Proof  $\gamma$ : the curve.

$B$ : a ball w/  $P$  inside &  $Q$  outside.  $S := \partial B$

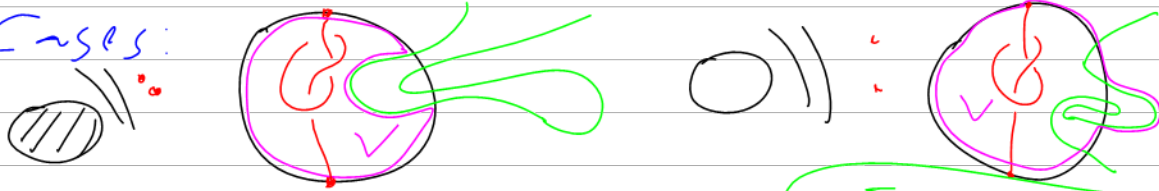
$\Sigma$ : a sphere separating  $K_1$  &  $K_2$

IF  $S \cap \Sigma = \emptyset$ , we are done.

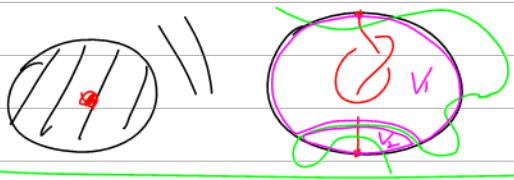


Otherwise,  $\Sigma$  wears a pair of pants:

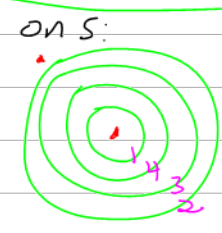
Cases:



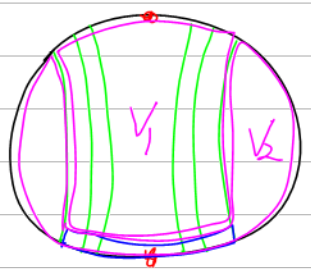
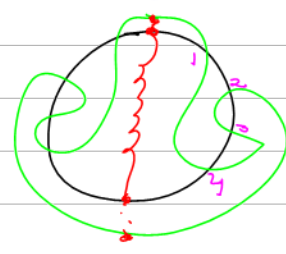
Let  $V$  be the new  $B$



one of  $V_1$  or  $V_2$  is the new  $B$



side view:



one of  $V_1$  or  $V_2$  is the new  $B$

Goal for the remaining two classes: More on (uvw)B. Prove that PwB has a "Taylor Expansion".

But first an apology regarding unique factorization, following

<http://drorbn.net/AcademicPensieve/Classes/20-1350-KnotTheory/LickorishOnUniqueFactorization.pdf>

"silly braids"

"i over j, +"

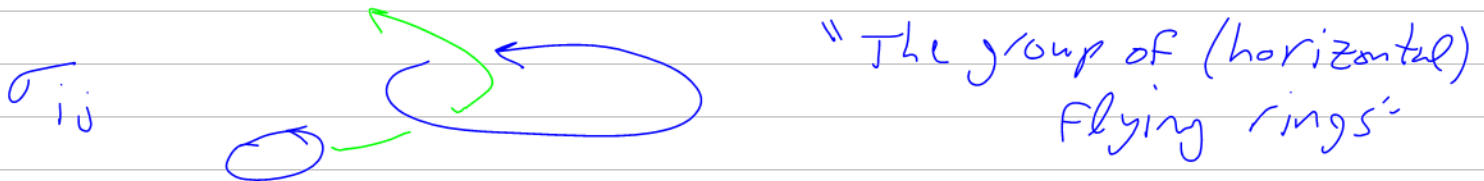
$$P_u B := \ker(uB \rightarrow S) \quad P_v B = \langle \sigma_{ij} : \sigma_{ij} \sigma_{ik} \sigma_{jk} = \sigma_{jk} \sigma_{ik} \sigma_{ij} \rangle$$

↓

$$P_w B := P_v B / \sigma_{ij} \sigma_{kl} = \sigma_{kl} \sigma_{ij}$$

"pure virtual braids"

"Overcrossings commute (OC)"



### R3 & OC for PwB

### philosophy for virtual knots

- \* PD without the P
- \* virtual crossings.
- \* many links extend.
- \* Not an Abelian monoid!
- \* Two different mirrors!
- \* Two  $\pi_1$ 's!

done

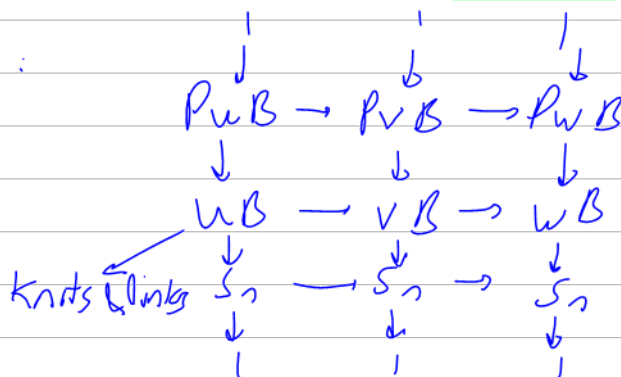
### The $\chi/\tau$ presentation of vB/wB

loosely done  
not done

**Theorem.** Let  $S = \{\tau\}$  be the symmetric group. Then vB is both

$$PB \rtimes S \cong B * S \quad / (\gamma_i \tau = \tau \gamma_j \text{ when } \tau i = j, \tau(i+1) = (j+1))$$

In summary:



$G, \mathbb{Q}G, I, A = A(G),$  expansions, homo, co-homo

\*  $C^\infty(\mathbb{R}^n)$

\*  $PB_n$  & Finite type invariants.

Hour 36 and last, Wednesday December 9.

No time for the full story of "Taylor Expansion for PwB".

Goal: Explain what is a "Taylor Expansion" and mumble about why, for PwB, it is useful.

$$S = \langle \tau_i : \tau_i^2 = 1, \tau_i \tau_{i+1} \tau_i = \tau_{i+1} \tau_i \tau_{i+1}, \tau_i \tau_j = \tau_j \tau_i \mid |i-j| > 1 \rangle$$

$$wB = \langle \gamma_i : \gamma_i \gamma_{i+1} \gamma_i = \gamma_{i+1} \gamma_i \gamma_{i+1}, \gamma_i \gamma_j = \gamma_j \gamma_i \mid |i-j| > 1 \rangle \leftrightarrow PwB = \ker(B \xrightarrow{\gamma_i \rightarrow \tau_i} S)$$

$$vB = B * S / \left. \begin{array}{l} \tau_i \gamma_{i+1} \tau_i = \tau_{i+1} \gamma_i \tau_{i+1} \\ \tau_i \gamma_j = \gamma_j \tau_i \mid |i-j| > 1 \end{array} \right\rangle \xleftrightarrow{?} PvB = \langle \sigma_{ij} : \begin{array}{l} \sigma_{ij} \sigma_{ik} \sigma_{jk} = \sigma_{jk} \sigma_{ik} \sigma_{ij} \\ \sigma_{ij} \sigma_{kl} = \sigma_{kl} \sigma_{ij} \end{array} \rangle$$

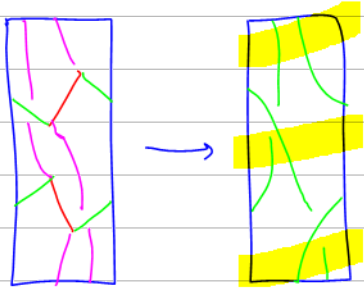
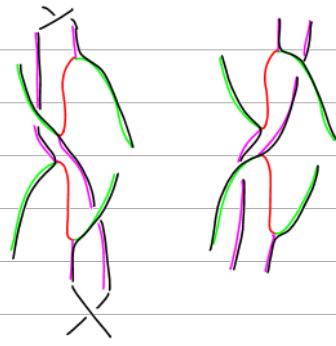
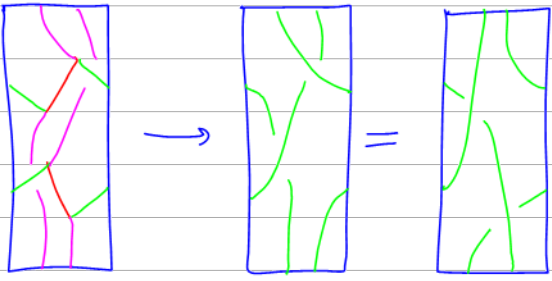
$$wB = vB / \langle \gamma_i \gamma_{i+1} \tau_i = \tau_{i+1} \gamma_i \gamma_{i+1} \rangle \xleftrightarrow{?} PwB = PvB / \langle \sigma_{ij} \sigma_{ik} = \sigma_{ik} \sigma_{ij} \rangle$$

In fact,  $vB = PvB * S$  and  $wB = PwB * S$  So a 'good' invariant of  $PwB$  may lead to an invt of links.

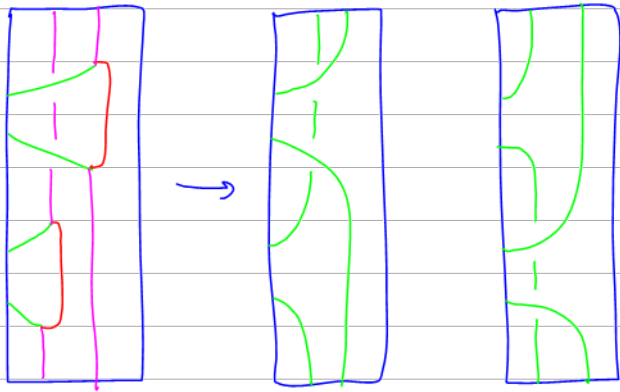
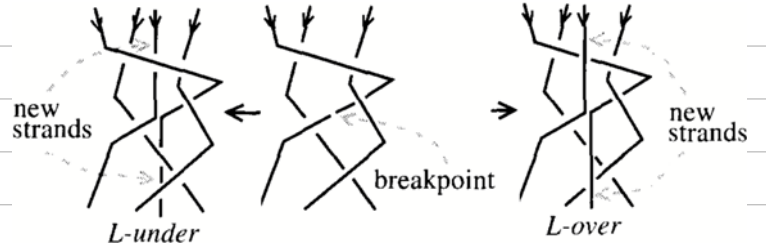
$G, QG, I, A = A(G)$ , expansions, homo, co-homo

\*  $C^\infty(\mathbb{R}^n)$

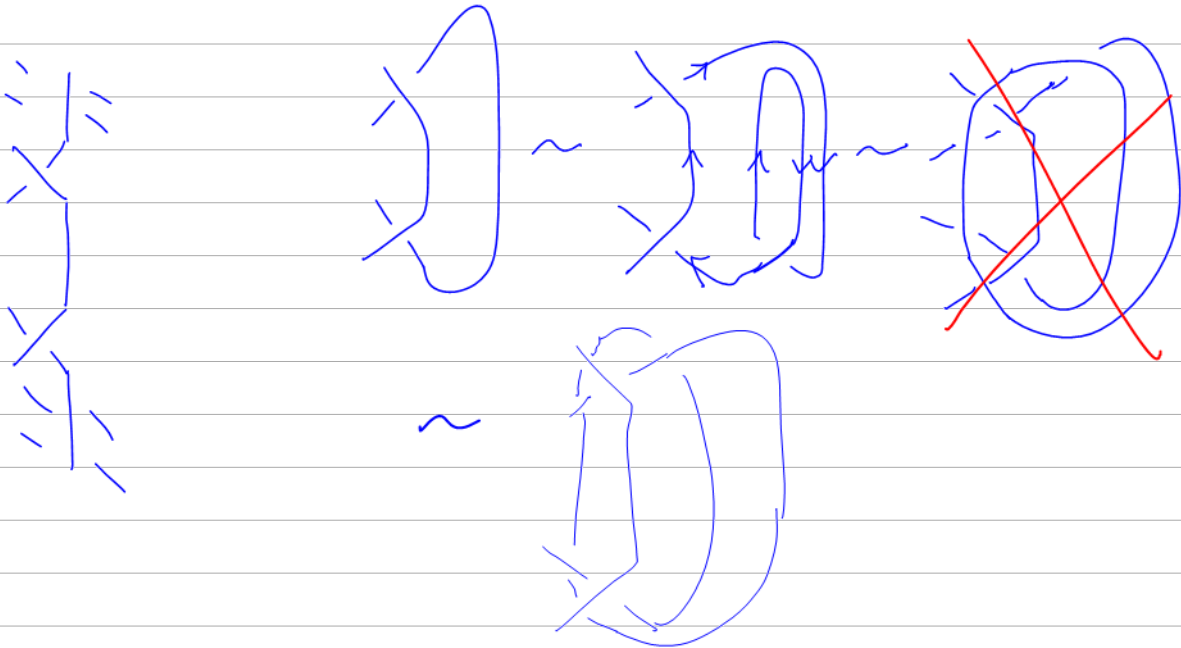
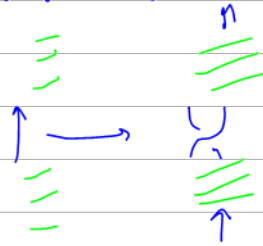
\*  $PB_n$  & Finite type invariants.

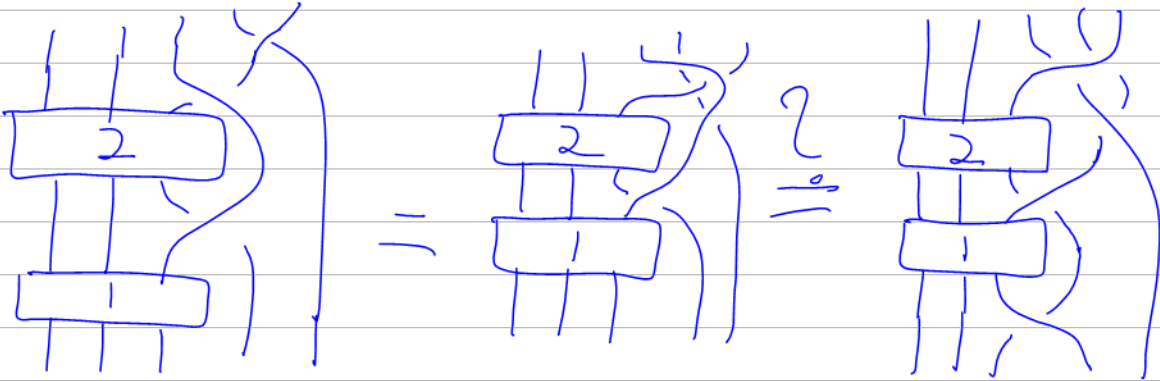
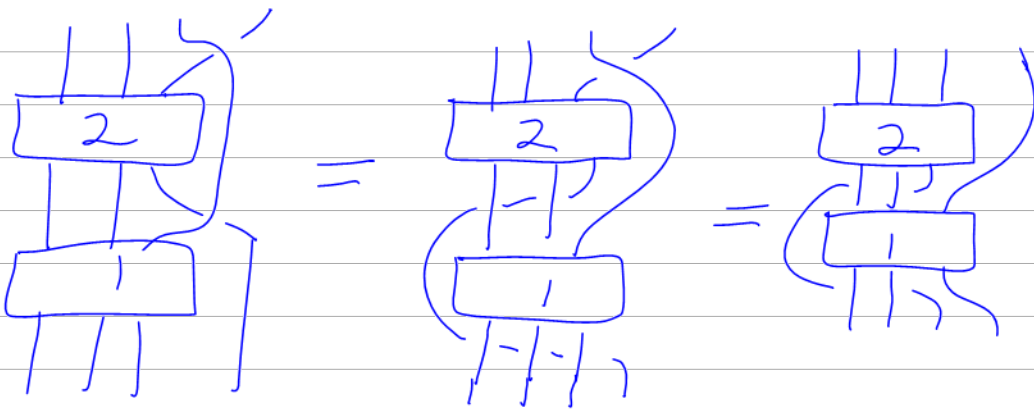


From Lambropoulou-Rourke:



A variant:





From Birman-Brendle, page 27:

