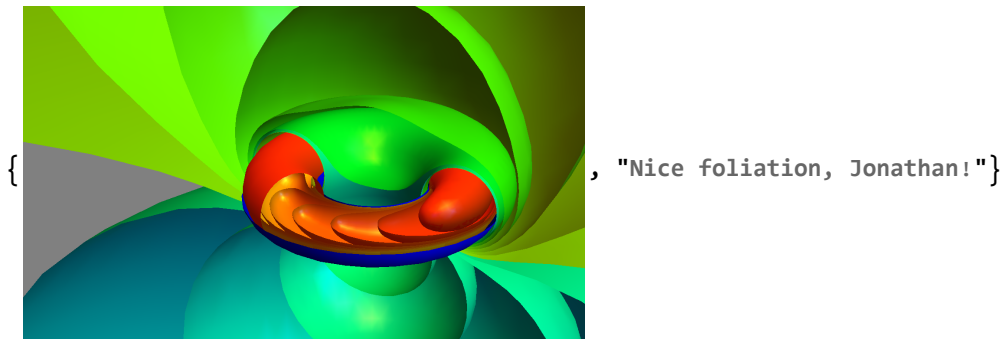
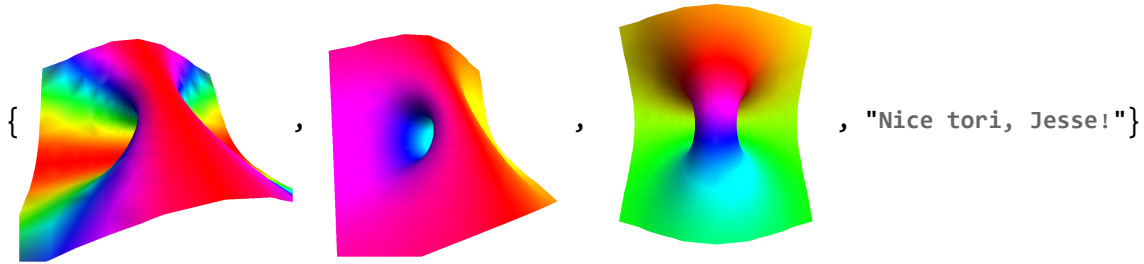
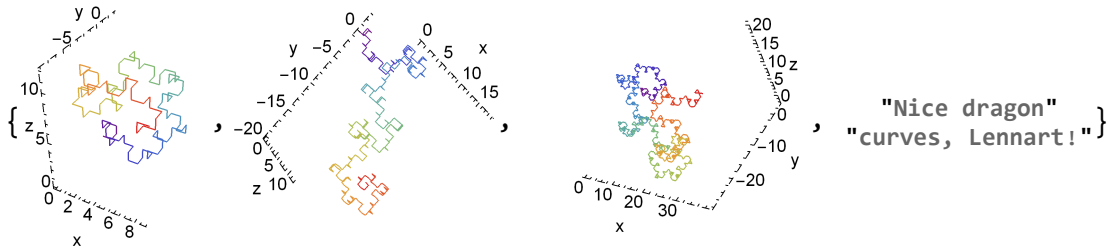


Pensieve header: Implementing KH, day 4.



From <http://www.math.toronto.edu/drorbn/classes/16-1750-ShamelessMathematica/About.html>:

**Possible Topics** (in no particular order). Whatever you may suggest, and the ~~Fibonacci numbers; the Jones polynomial; a more efficient Jones algorithm; a riddle on spheres; Khovanov homology;  $\Gamma$ -calculus; the Hopf fibration; Hilbert's 13th problem; non-commutative Gaussian elimination; free Lie algebras; the Baker-Campbell-Hausdorff formula; wacky numbers; an order 4 torus; the Schwarz Lantern; knot colourings; the Temperley-Lieb pairing; the dodecahedral link; sound experiments; barycentric subdivisions; a Peano curve; braid closures and Vogel's algorithm; the insolubility of the quintic.~~

`Import ["http://www.math.toronto.edu/~drorbn/papers/Categorification/QRG.png"]`

### A Quick Reference Guide to Khovanov's Categorification of the Jones Polynomial

Dror Bar-Natan, June 12, 2002

The Kauffman Bracket:  $\langle \emptyset \rangle = 1$ ;  $\langle \bigcirc L \rangle = (q + q^{-1})\langle L \rangle$ ;  $\langle \times \rangle = \langle \overset{\sim}{\times} \rangle - q \langle \underset{\sim}{\times} \rangle$ .

The Jones Polynomial:  $\hat{J}(L) = (-1)^{n_-} q^{n_+ - 2n_-} \langle L \rangle$ , where  $(n_+, n_-)$  count  $(\times, \times)$  crossings.

Khovanov's construction:  $[[L]]$  — a chain complex of graded  $\mathbb{Z}$ -modules;

$$[[\emptyset]] = 0 \rightarrow \underset{\text{height } 0}{\mathbb{Z}} \rightarrow 0; \quad [[\bigcirc L]] = V \otimes [[L]]; \quad [[\times]] = \text{Flatten} \left( 0 \rightarrow \underset{\text{height } 0}{[\times]} \rightarrow \underset{\text{height } 1}{\mathbb{Z}\langle \{1\} \rangle} \rightarrow 0 \right);$$

$$\mathcal{H}(L) = \mathcal{H}(\mathcal{C}(L) = [[L]][-n_-]\{n_+ - 2n_-\})$$

$$V = \text{span}\langle v_+, v_- \rangle; \quad \text{deg } v_{\pm} = \pm 1; \quad q\text{dim } V = q + q^{-1} \quad \text{with} \quad q\text{dim } \mathcal{O} := \sum_m q^m \dim \mathcal{O}_m;$$

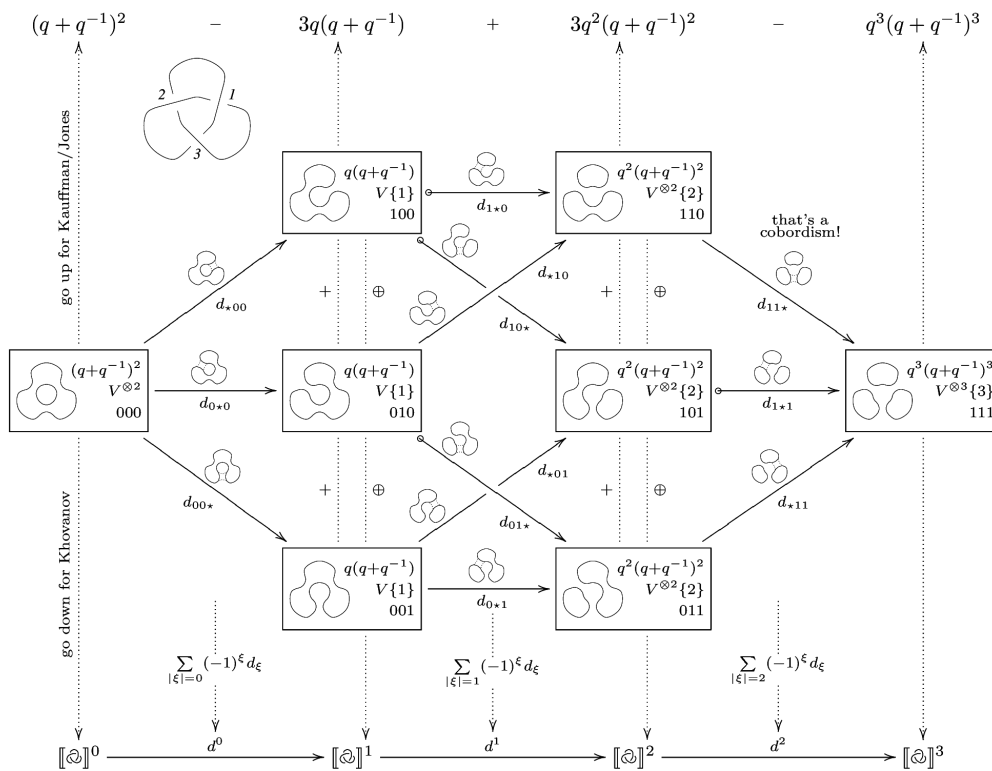
$$\mathcal{O}\{l\}_m := \mathcal{O}_{m-l} \quad \text{so} \quad q\text{dim } \mathcal{O}\{l\} = q^l q\text{dim } \mathcal{O}; \quad \cdot\{s\} : \text{height shift by } s;$$

$$\begin{aligned} \left( \bigcirc \bigcirc \xrightarrow{\quad} \bigcirc \bigcirc \right) &\rightarrow (V \otimes V \xrightarrow{m} V) & m : \begin{cases} v_+ \otimes v_- \mapsto v_- & v_+ \otimes v_+ \mapsto v_+ \\ v_- \otimes v_+ \mapsto v_- & v_- \otimes v_- \mapsto 0 \end{cases} \\ \left( \bigcirc \bigcirc \xrightarrow{\quad} \bigcirc \bigcirc \right) &\rightarrow (V \xrightarrow{\Delta} V \otimes V) & \Delta : \begin{cases} v_+ \mapsto v_+ \otimes v_- + v_- \otimes v_+ \\ v_- \mapsto v_- \otimes v_- \end{cases} \end{aligned}$$

That's a Frobenius Algebra! And a (1+1)-dimensional TQFT!

Example:

$$\uparrow \quad q^{-2} + 1 + q^2 - q^6 \quad \xrightarrow{\cdot(-1)^{n_-} q^{n_+ - 2n_-} \text{ (with } (n_+, n_-) = (3, 0))} \quad q + q^3 + q^5 - q^9.$$



$$\left( \text{here } (-1)^\xi := (-1)^{\sum_{i < j} \xi_i} \text{ if } \xi_j = \star \right) \quad = \quad [[\bigcirc]] \xrightarrow{\cdot[-n_-]\{n_+ - 2n_-\} \text{ (with } (n_+, n_-) = (3, 0))} \mathcal{C}(\bigcirc).$$

**Theorem 1.** The graded Euler characteristic of  $\mathcal{C}(L)$  is  $\hat{J}(L)$ .

**Theorem 2.** The homology  $\mathcal{H}(L)$  is a link invariant and thus so is  $Kh_{\mathbb{F}}(L) := \sum_r t^r q\text{dim } \mathcal{H}_{\mathbb{F}}(\mathcal{C}(L))$  over any field  $\mathbb{F}$ .

**Theorem 3.**  $\mathcal{H}(\mathcal{C}(L))$  is strictly stronger than  $\hat{J}(L)$ :  $\mathcal{H}(\mathcal{C}(\bar{5}_1)) \neq \mathcal{H}(\mathcal{C}(10_{132}))$  whereas  $\hat{J}(\bar{5}_1) = \hat{J}(10_{132})$ .

**Conjecture 1.**  $Kh_{\mathbb{Q}}(L) = q^{s-1} (1 + q^2 + (1 + tq^4)Kh')$  and  $Kh_{\mathbb{F}_2}(L) = q^{s-1} (1 + q^2) (1 + (1 + tq^2)Kh')$  for even  $s = s(L)$  and non-negative-coefficients laurent polynomial  $Kh' = Kh'(L)$ .

**Conjecture 2.** For alternating knots  $s$  is the signature and  $Kh'$  depends only on  $tq^2$ .

**References.** Khovanov's arXiv:math.QA/9908171 and arXiv:math.QA/0103190 and DBN's

<http://www.ma.huji.ac.il/~drorbn/papers/Categorification/>.

Road map:

1. ~~Produce all the info in a “cube of smoothings”.~~
2. ~~Produce a commuting cube of vector spaces and maps between them.~~
3. Produce a complex.
4. Compute homology.
5. Package everything nicely (almost) for public consumption.

```
K[3, 1] = Xm[1, 4, 2, 5] Xm[3, 6, 4, 1] Xm[5, 2, 6, 3];
K[5, 1] = Xm[1, 6, 2, 7] Xm[3, 8, 4, 9] Xm[5, 10, 6, 1] Xm[7, 2, 8, 3] Xm[9, 4, 10, 5];
K[10, 132] =
  Xm[5, 12, 6, 13] Xm[9, 16, 10, 17] Xm[11, 6, 12, 7] Xm[13, 20, 14, 1] Xm[15, 18, 16, 19]
  Xm[17, 10, 18, 11] Xm[19, 14, 20, 15] Xp[2, 8, 3, 7] Xp[4, 2, 5, 1] Xp[8, 4, 9, 3];
```

```
SetAttributes[{p, dx}, Orderless];
S[K_] := Module[{α = 0, ε, X, Z},
  ε /: ε - = 0;
  Z = Expand[
    dx[] K /. {
      (Xp | Xm) [i_, j_, k_, l_] => (++α;
        p[i, j] p[k, l] + dx[α] p[i, l] p[j, k] + ε e[α] X[i, j, k, l])
    } /. p[i_, j_] => p[i, j][Min[i, j]]
  ] /. p[i_, j_][m_] p[j_, k_][n_] => p[i, k][Min[m, n]] /. {
    X[i_, j_, k_, l_] p[i_, j_][m_] p[k_, l_][n_] => (c[m] c[n] → c[Min[m, n]]),
    X[i_, j_, k_, l_] p[i_, l_][m_] p[j_, k_][n_] => (c[Min[m, n]] → c[m] c[n])
  } /.
  p[i_, j_][m_] => c[m] /. dx[i___] dx[j___] => dx[i, j];
  CoefficientList[Z, ε, {2}]
]
```

```
{S0, S1} = S[K[3, 1]] // Column
```

```
c[1] c[2] c[3] dx[] + c[1] c[3] dx[1] + c[1] c[2] dx[2] + c[1] c[2] dx[3] +
  c[1] dx[1, 2] + c[1] dx[1, 3] + c[1] dx[2, 3] + c[1] c[2] dx[1, 2, 3]
dx[2, 3] e[1] (c[1] → c[1] c[2]) + dx[1, 3] e[2] (c[1] → c[1] c[2]) +
  dx[1, 2] e[3] (c[1] → c[1] c[2]) + c[3] dx[] e[1] (c[1] c[2] → c[1]) +
  dx[2] e[1] (c[1] c[2] → c[1]) + dx[3] e[1] (c[1] c[2] → c[1]) +
  dx[3] e[2] (c[1] c[2] → c[1]) + dx[2] e[3] (c[1] c[2] → c[1]) +
  c[2] dx[] e[2] (c[1] c[3] → c[1]) + dx[1] e[2] (c[1] c[3] → c[1]) +
  dx[1] e[3] (c[1] c[3] → c[1]) + c[1] dx[] e[3] (c[2] c[3] → c[2])
```

```
V0 = List@@Expand[S0 /. c[i_] => vp[i] + vm[i]]
```

```
{dx[1, 2] vm[1], dx[1, 3] vm[1], dx[2, 3] vm[1], dx[2] vm[1] vm[2], dx[3] vm[1] vm[2],
  dx[1, 2, 3] vm[1] vm[2], dx[1] vm[1] vm[3], dx[] vm[1] vm[2] vm[3], dx[1, 2] vp[1],
  dx[1, 3] vp[1], dx[2, 3] vp[1], dx[2] vm[2] vp[1], dx[3] vm[2] vp[1], dx[1, 2, 3] vm[2] vp[1],
  dx[1] vm[3] vp[1], dx[] vm[2] vm[3] vp[1], dx[2] vm[1] vp[2], dx[3] vm[1] vp[2],
  dx[1, 2, 3] vm[1] vp[2], dx[] vm[1] vm[3] vp[2], dx[2] vp[1] vp[2], dx[3] vp[1] vp[2],
  dx[1, 2, 3] vp[1] vp[2], dx[] vm[3] vp[1] vp[2], dx[1] vm[1] vp[3], dx[] vm[1] vm[2] vp[3],
  dx[1] vp[1] vp[3], dx[] vm[2] vp[1] vp[3], dx[] vm[1] vp[2] vp[3], dx[] vp[1] vp[2] vp[3]}
```

```
sign[as_List, beta_Integer] := Module[{s = 1}, Do[
  If[alpha < beta, s *= -1],
  {alpha, as}
]; s]
```

```
sign[{1, 2, 5, 8, 11}, 7]
-1
```

```
Count[{1, 2, 5, 8, 11}, 5]
1
```

```
Count[{1, 2, 5, 8, 11}, 7]
0
```

```
Count[{1, 2, 5, 8, 11}, x_ /; x < 7]
3
```

```
Clear[sign]
```

```
sign[as_List, beta_Integer] := (-1)Count[as, a_ /; a < beta]
```

```
sign[{1, 2, 5, 8, 11}, 7]
-1
```

```
Clear[d]
```

```
d[dx[as___] e[beta_] cs_. (c[i_] c[j_] -> c[k_])] := {s = sign[{as}, beta];
  dx[as] vp[i] vp[j] -> s dx[as, beta] vp[k],
  dx[as] vm[i] vp[j] -> s dx[as, beta] vm[k],
  dx[as] vp[i] vm[j] -> s dx[as, beta] vm[k],
  dx[as] vm[i] vm[j] -> 0,
  dx[beta___] /; {beta} != {as} -> 0
};
```

```
d[dx[as___] e[beta_] cs_. (c[k_] -> c[i_] c[j_])] := {s = sign[{as}, beta];
  dx[as] vp[k] -> s dx[as, beta] (vp[i] vm[j] + vm[i] vp[j]),
  dx[as] vm[k] -> s dx[as, beta] vm[i] vm[j],
  dx[beta___] /; {beta} != {as} -> 0
}
```

```
V0 /. d[dx[3] e[2] (c[1] c[2] -> c[1])]
{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, dx[2, 3] vm[1], 0, 0,
 0, 0, dx[2, 3] vm[1], 0, 0, 0, dx[2, 3] vp[1], 0, 0, 0, 0, 0, 0, 0}
```

```
V0 /. d[dx[1, 3] e[2] (c[1] -> c[1] c[2])]
{0, -dx[1, 2, 3] vm[1] vm[2], 0, 0, 0, 0, 0, 0, 0, -dx[1, 2, 3] (vm[2] vp[1] + vm[1] vp[2]),
 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0}
```

To do: 1. Signs. 2. Deg. 3. C0[r,d]. 4. d0[r]. 4.5. Verify d0//d0==0. 5. rankr=20[r,d]. 6. Put it all together!

**V0**

```
{dx[1, 2] vm[1], dx[1, 3] vm[1], dx[2, 3] vm[1], dx[2] vm[1] vm[2], dx[3] vm[1] vm[2],
 dx[1, 2, 3] vm[1] vm[2], dx[1] vm[1] vm[3], dx[] vm[1] vm[2] vm[3], dx[1, 2] vp[1],
 dx[1, 3] vp[1], dx[2, 3] vp[1], dx[2] vm[2] vp[1], dx[3] vm[2] vp[1], dx[1, 2, 3] vm[2] vp[1],
 dx[1] vm[3] vp[1], dx[] vm[2] vm[3] vp[1], dx[2] vm[1] vp[2], dx[3] vm[1] vp[2],
 dx[1, 2, 3] vm[1] vp[2], dx[] vm[1] vm[3] vp[2], dx[2] vp[1] vp[2], dx[3] vp[1] vp[2],
 dx[1, 2, 3] vp[1] vp[2], dx[] vm[3] vp[1] vp[2], dx[1] vm[1] vp[3], dx[] vm[1] vm[2] vp[3],
 dx[1] vp[1] vp[3], dx[] vm[2] vp[1] vp[3], dx[] vm[1] vp[2] vp[3], dx[] vp[1] vp[2] vp[3]}
```

```
Dim[dx[as___] rest_] := Length@{as};
```

```
Deg[dx[as___] rest_] :=
```

```
Length@{as} + Count[rest, _vp, {0, 1}] - Count[rest, _vm, {0, 1}]
```

```
Deg /@ V0
```

```
{1, 1, 1, -1, -1, 1, -1, -3, 3, 3, 3, 1, 1, 3, 1, -1, 1, 1, 3, -1, 3, 3, 5, 1, 1, -1, 3, 1, 1, 3}
```

```
Count[f[1, 3, 3, 4], 3]
```

```
2
```

```
C0[r_, d_] := Select[V0, (Deg[#] == d & Dim[#] == r) &]
```

```
C0[2, 1]
```

```
{dx[1, 2] vm[1], dx[1, 3] vm[1], dx[2, 3] vm[1]}
```

```
Cases[{1, 2, a, b, c}, _Symbol]
```

```
{a, b, c}
```

```
Cases[{1, 2, a, b, c}, _Integer]
```

```
{1, 2}
```

```
Clear[C0];
```

```
C0[r_, d_] := Cases[V0, as_dx rest_ /; Length@as == r & Deg[as rest] == d];
```

```
C0[r_] := Cases[V0, as_dx rest_ /; Length@as == r];
```

```
C0[2, 1]
```

```
{dx[1, 2] vm[1], dx[1, 3] vm[1], dx[2, 3] vm[1]}
```

```
r = 2
```

```
2
```

```
Clear[d0];
```

```
d0[r_] [expr_] := Plus@@ (expr /. (d /@ Select[List@@S1, Dim[#] == r &]))
```

```
? /.
```

*expr /. rules* applies a rule or list of rules in an attempt to transform each subpart of an expression *expr*.

ReplaceAll[*rules*] represents an operator form of ReplaceAll that can be applied to an expression. >>

**C0[1]**

{dx[2] vm[1] vm[2], dx[3] vm[1] vm[2], dx[1] vm[1] vm[3], dx[2] vm[2] vp[1],  
 dx[3] vm[2] vp[1], dx[1] vm[3] vp[1], dx[2] vm[1] vp[2], dx[3] vm[1] vp[2],  
 dx[2] vp[1] vp[2], dx[3] vp[1] vp[2], dx[1] vm[1] vp[3], dx[1] vp[1] vp[3]}

**d0[1] /@ C0[1]**

{0, 0, 0, dx[1, 2] vm[1] - dx[2, 3] vm[1],  
 dx[1, 3] vm[1] + dx[2, 3] vm[1], -dx[1, 2] vm[1] - dx[1, 3] vm[1],  
 dx[1, 2] vm[1] - dx[2, 3] vm[1], dx[1, 3] vm[1] + dx[2, 3] vm[1],  
 dx[1, 2] vp[1] - dx[2, 3] vp[1], dx[1, 3] vp[1] + dx[2, 3] vp[1],  
 -dx[1, 2] vm[1] - dx[1, 3] vm[1], -dx[1, 2] vp[1] - dx[1, 3] vp[1]}

**d0[2] /@ (d0[1] /@ C0[1])**

{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 0}

**d0[1] /@ (d0[0] /@ C0[0])**

{0, 0, 0, 0, 0, 0, 0, 0}

{1, 2, 3} /. {n\_Integer => n<sup>2</sup>, n\_Integer => n<sup>3</sup>}

{1, 4, 9}

{1, 2, 3} /. {{n\_Integer => n<sup>2</sup>}, {n\_Integer => n<sup>3</sup>}}

{{1, 4, 9}, {1, 8, 27}}

**Plus@@({1, 2, 3} /. {{n\_Integer => n<sup>2</sup>}, {n\_Integer => n<sup>3</sup>}})**

{2, 12, 36}

**Deg /@ C0[2]**

{1, 1, 1, 3, 3, 3}

{r = 2, deg = 3}

{2, 3}

**Expand[d0[r] /@ C0[r, deg]]**

{dx[1, 2, 3] vm[2] vp[1] + dx[1, 2, 3] vm[1] vp[2],  
 -dx[1, 2, 3] vm[2] vp[1] - dx[1, 2, 3] vm[1] vp[2],  
 dx[1, 2, 3] vm[2] vp[1] + dx[1, 2, 3] vm[1] vp[2]}

**C0[r + 1, deg]**

{dx[1, 2, 3] vm[2] vp[1], dx[1, 2, 3] vm[1] vp[2]}