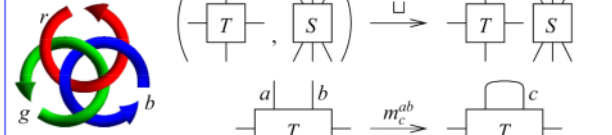


Dror Bar-Natan: Talks: Oberwolfach-1405:  
<http://www.math.toronto.edu/~drorbn/Talks/Oberwolfach-1405/>

## Some very good formulas for the Alexander polynomial, I

**Abstract.** I will describe some very good formulas for a (matrix plus scalar)-valued extension of the Alexander polynomial to tangles, then say that everything extends to virtual tangles, then roughly to simply knotted balloons and hoops in 4D, then the target space extends to (free Lie algebras plus cyclic words), and the result is a universal finite type of the knotted objects in its domain. Taking a cue from the BF topological quantum field theory, everything should extend (with some modifications) to arbitrary codimension-2 knots in arbitrary dimension and in particular, to arbitrary 2-knots in 4D. But what is really going on is still a mystery.

### Tangles.



### Why Tangles?

- Finitely presented. (meta-associativity:  $m_a^{ab} // m_a^{bc} = m_b^{bc} // m_b^{ab}$ )
  - Divide and conquer proofs and computations.
  - "Algebraic Knot Theory": If  $K$  is ribbon,  $Z(K) \in \{cl_2(Z) : cl_1(Z) = 1\}$ .
- (Genus and crossing number are also definable properties).



**Theorem 1.**  $\exists!$  an invariant  $\gamma$ : {pure framed  $S$ -component tangles}  $\rightarrow R \times M_{S \times S}(R)$ , where  $R = R_S = \mathbb{Z}\langle T_a \rangle_{a \in S}$  is the ring of rational functions in  $S$  variables, intertwining

$$1. \left( \begin{array}{c|cc} \omega_1 & S_1 & \omega_2 \\ \hline S_1 & A_1 & S_2 \\ & A_2 & A_2 \end{array} \right) \xrightarrow{\cup} \begin{array}{c|cc} \omega_1 \omega_2 & S_1 & S_2 \\ \hline S_1 & A_1 & 0 \\ & 0 & A_2 \end{array}$$

$$2. \begin{array}{c|ccc} \omega & a & b & S \\ \hline a & \alpha & \beta & \theta \\ b & \gamma & \delta & \epsilon \\ S & \phi & \psi & \Xi \end{array} \xrightarrow{\mu \neq 1 - \beta} \begin{array}{c|cc} \mu \omega & c & S \\ \hline c & \gamma + \alpha \delta / \mu & \epsilon + \delta \theta / \mu \\ S & \phi + \alpha \psi / \mu & \Xi + \theta \psi / \mu \end{array}_{T_a, T_b \rightarrow T_c}$$

and satisfying  $(\omega; a^{\nearrow}, b^{\nearrow}, b^{\searrow}, a^{\searrow}) \xrightarrow{\gamma} \left( \begin{array}{c|c} 1 & a \\ \hline a & 1 \end{array}; \begin{array}{c|cc} 1 & a & b \\ \hline a & 1 & 1 - T_a^{-1} \\ & 0 & T_a^{-1} \end{array} \right)$

**In Addition,** • This is really "just" a stitching formula for Burau/Gassner [LD, KLV, CT].

- $L \mapsto \omega$  is Alexander, mod units.
- $L \mapsto (\omega, A) \mapsto \omega \det(A - I) / (1 - T')$  is the MVA, mod units.
- The "fastest" Alexander algorithm.
- There are also formulas for strand deletion, reversal, and doubling.
- Every step along the computation is the invariant of something.
- Extends to and more naturally defined on v/w-tangles.
- Fits in one column, including propaganda & implementation.



### Implementation key idea:

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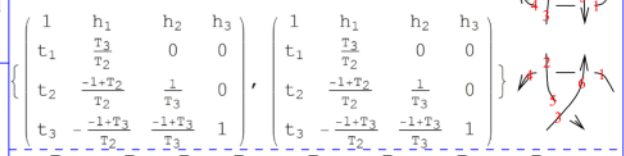
F := F[T_1, ..., T_n] // F[T_1^{\pm 1}, ..., T_n^{\pm 1}] := F[T_1, ..., T_n, T_1^{-1}, ..., T_n^{-1}]
M_{a,b} := Module[F, M] := Module[a, b, \gamma, \delta, \alpha, \phi, \psi, \Xi, \mu]
(a \ b) := (\partial_{c_1, b_1} \ \partial_{c_2, b_2} \ \partial_{c_3, b_3})
(\gamma \ \delta) := (\partial_{c_1, b_1} \ \partial_{c_2, b_2} \ \partial_{c_3, b_3})
(\phi \ \psi \ \Xi) := (\partial_{c_1, b_1} \ \partial_{c_2, b_2} \ \partial_{c_3, b_3})
r := (\mu - 1 - \beta) \omega, (t, 1), (\gamma + \alpha \delta / \mu, \epsilon + \delta \theta / \mu) // (\phi + \alpha \psi / \mu, \Xi + \theta \psi / \mu) \cdot (t, 1)
S := UnionCases[Factor[...], ...]
M = Outer[Factor[...], S, ...]
M = Prepend[M, ...] // Transpose
M = Prepend[M, ...]
M // MatrixForm
    
```

### Meta-Associativity

$$\gamma = \Gamma[\omega, \{t_1, t_2, t_3, t_8\}] \cdot \begin{pmatrix} \alpha_{11} & \alpha_{12} & \alpha_{13} & \theta_1 \\ \alpha_{21} & \alpha_{22} & \alpha_{23} & \theta_2 \\ \alpha_{31} & \alpha_{32} & \alpha_{33} & \theta_3 \\ \phi_1 & \phi_2 & \phi_3 & \Xi \end{pmatrix} \cdot \{h_1, h_2, h_3, h_8\};$$

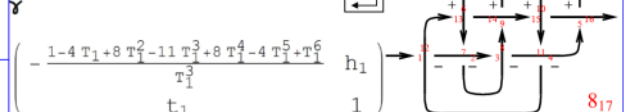
$$(\gamma // m_{12-1} // m_{13-1}) = (\gamma // m_{23-2} // m_{12-1})$$

True... **R3** ... divide and conquer!  
 $\{Rm_{51}, Rm_{62}, Rp_{34} // m_{14-1} // m_{25-2} // m_{36-3}, Rp_{61}, Rm_{24}, Rm_{35} // m_{14-1} // m_{25-2} // m_{36-3}\}$



$$\gamma = Rm_{12,1} Rm_{27} Rm_{83} Rm_{4,11} Rp_{16,5} Rp_{6,13} Rp_{14,9} Rp_{10,15};$$

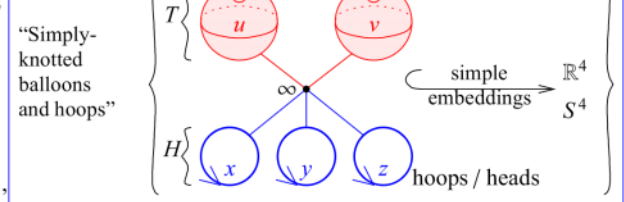
Do  $\gamma = \gamma // m_{1k-1}, \{k, 2, 16\}$ ;



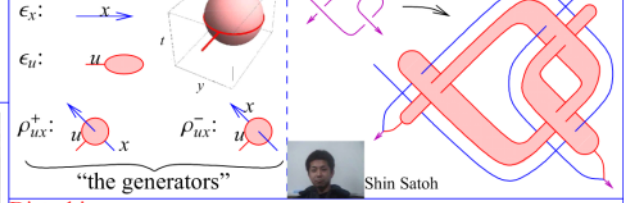
### Weaknesses, • $m_c^{ab}$ is non-linear.

- The product  $\omega A$  is always Laurent, but proving this takes induction with exponentially many conditions.

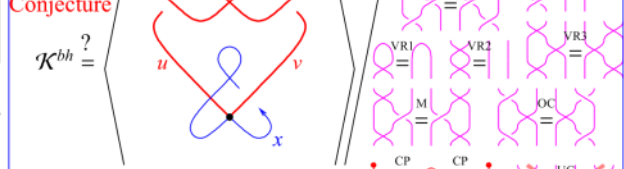
### $\mathcal{K}^{bh}(H; T)$ .



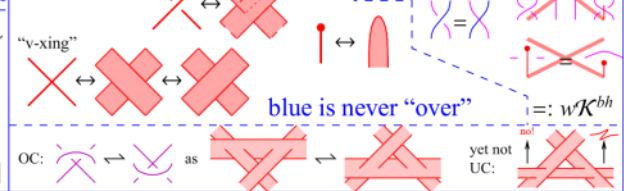
### Examples.



### Disturbing Conjecture



### Dictionary.



Some very good formulas for the Alexander polynomial, 2

Operations

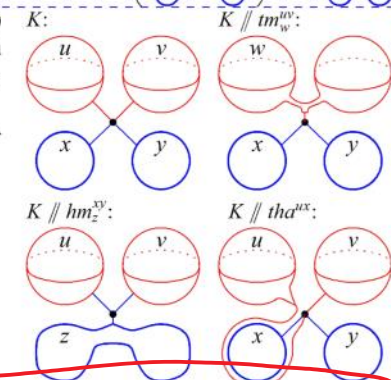
Punctures & Cuts

If  $X$  is a space,  $\pi_1(X)$  is a group,  $\pi_2(X)$  is an Abelian group, and  $\pi_1$  acts on  $\pi_2$ .

Proposition. The generators generate.

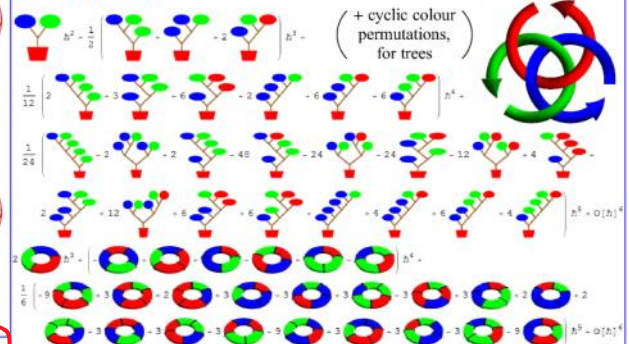


Connected Sums.



Theorem 3 [BND, BN].  $\exists!$  a homomorphic expansion, aka a homomorphic universal finite type invariant  $Z$  of  $w$ -knotted balloons and hoops.  $\zeta := \log Z$  takes values in  $FL(T)^H \times CW(T)$ .

$\zeta$  is computable!  $\zeta$  of the Borromean tangle, to degree 5:



Definition.  $l_{xu}$  is the linking number of hoop  $x$  with balloon  $u$ . For  $x \in H$ ,  $\sigma_x := \prod_{u \in T} T_u^{l_{xu}} \in R = R_T = \mathbb{Z}((T_a)_{a \in T})$ , the ring of rational functions in  $T$  variables.

Theorem 2 [BNS].  $\exists!$  an invariant  $\beta: wK^{fin}(H; T) \rightarrow K \times M_{T \times H}(R)$ , intertwining

$$1. \begin{pmatrix} \omega_1 & H_1 & \omega_2 & H_2 \\ T_1 & A_1 & T_2 & A_2 \end{pmatrix} \xrightarrow{\sqcup} \begin{pmatrix} \omega_1 \omega_2 & H_1 & H_2 \\ T_1 & A_1 & 0 \\ T_2 & 0 & A_2 \end{pmatrix}$$

$$2. \begin{pmatrix} \omega & H \\ u & \alpha \\ v & \beta \\ T & \Xi \end{pmatrix} \xrightarrow{m_w^{uv}} \begin{pmatrix} \omega & H \\ w & \alpha + \beta \\ T & \Xi \end{pmatrix}_{T_u, T_v \rightarrow T_w}$$

$$3. \begin{pmatrix} \omega & x & y & H \\ T & \alpha & \beta & \Xi \end{pmatrix} \xrightarrow{hm_z^{xy}} \begin{pmatrix} \omega & z & H \\ T & \alpha + \sigma_x \beta & \Xi \end{pmatrix}$$

$$4. \begin{pmatrix} \omega & x & H \\ u & \alpha & \theta \\ T & \phi & \Xi \end{pmatrix} \xrightarrow[\nu := 1 + \alpha]{tha^{x\nu}} \begin{pmatrix} \nu \omega & x & H \\ u & \sigma_x \alpha / \nu & \sigma_x \theta / \nu \\ T & \phi / \nu & \Xi - \phi \theta / \nu \end{pmatrix}$$

and satisfying  $(\epsilon_x; \epsilon_u; \rho_{ux}^+) \xrightarrow{\beta} \left( \begin{matrix} 1 & x \\ & u \end{matrix}; \begin{matrix} 1 & \\ & u \end{matrix}; \begin{matrix} 1 & x \\ & T_u^{\pm 1} - 1 \end{matrix} \right)$ .

Proposition. If  $T$  is a  $u$ -tangle and  $\beta(\delta T) = (\omega, A)$ , then  $\gamma(T) = (\omega, \sigma - A)$ , where  $\sigma = \text{diag}(\sigma_a)_{a \in S}$ . Under this,  $m_c^{ab} \leftrightarrow tha^{ab} // m_c^{ab} // hm_c^{ab}$ .

References.

[BN] D. Bar-Natan, *Balloons and Hoops and their Universal Finite Type Invariant, BF Theory, and an Ultimate Alexander Invariant*, ωεβ/KBH, arXiv:1308.1721.  
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 [CT] D. Cimasoni and V. Turaev, *A Lagrangian Representation of Tangles*, Topology **44** (2005) 747-767, arXiv:math.GT/0406269.  
 [KLW] P. Kirk, C. Livingston, and Z. Wang, *The Gassner Representation for String Links*, Comm. Cont. Math. **3** (2001) 87-136, arXiv:math/9806035.  
 [LD] J. Y. Le Dimct, *Enlacements d'Intervalles et Représentation de Gassner*, Comment. Math. Helv. **67** (1992) 306-315.

Proposition [BN]. Modulo all relations that universally hold for the 2D non-Abelian Lie algebra and after some changes-of-variable,  $\zeta$  reduces to  $\beta$  and the KBH operations on  $\zeta$  reduce to the formulas in Theorem 2.

A Big Question. Does it all extend to arbitrary 2-knots (not necessarily "simple")? To arbitrary codimension-2 knots?

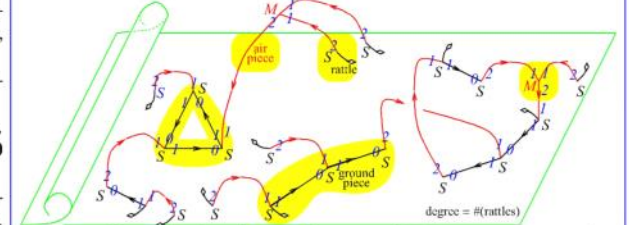
BF Following [CR].  $A \in \Omega^1(M = \mathbb{R}^4, \mathfrak{g})$ ,  $B \in \Omega^2(M, \mathfrak{g}^*)$ ,  
 $S(A, B) := \int_M \langle B, F_A \rangle$ .

With  $\kappa: (S = \mathbb{R}^2) \rightarrow M$ ,  $\beta \in \Omega^0(S, \mathfrak{g})$ ,  $\alpha \in \Omega^1(S, \mathfrak{g}^*)$ , set  
 $O(A, B, \kappa) := \int \mathcal{D}\beta \mathcal{D}\alpha \exp\left(\frac{i}{\hbar} \int_S \langle \beta, d_{\kappa} \alpha + \kappa^* B \rangle\right)$ .

The BF Feynman Rules. For an edge  $e$ , let  $\Phi_e$  be its direction, in  $S^3$  or  $S^1$ . Let  $\omega_3$  and  $\omega_1$  be volume forms, on  $S^3$  and  $S^1$ . Then

$$Z_{BF} = \sum_{\text{diagrams } D} \frac{[D]}{|\text{Aut}(D)|} \int_{\mathbb{R}^2} \dots \int_{\mathbb{R}^2} \int_{\mathbb{R}^4} \dots \int_{\mathbb{R}^4} \prod_{e \in D} \Phi_e^* \omega_3 \prod_{e \in D} \Phi_e^* \omega_1$$

(modulo some  $STU$ - and  $IHX$ -like relations).



Issues. • Signs don't quite work out, and BF seems to reproduce only "half" of the wheels invariant.

- There are many more configuration space integrals than BF Feynman diagrams and than just trees and wheels.
- I don't know how to define "finite type" for arbitrary 2-knots.

Leopold Kronecker (modified) "God created the knots, all else in topology is the work of mortals."

*i should have found a catchy name for*