

$w\epsilon\beta := \text{http://www.math.toronto.edu/drorbn/Talks/Montreal-1306}$

### A Quick Introduction to Khovanov Homology

Dror Bar-Natan, Montreal, June 2013

#### Why Bother?

$w\epsilon\beta/\text{webercrafterz}$

$w\epsilon\beta/\text{onemoretap}$

$w\epsilon\beta/\text{cheat-pgame}$

**What is Categorification=Concretization=de-abstractation?** “3” is {cow, cow, cow} and {pig, pig, pig} and many other things...  
...categorification is choosing which 3 it is!

**N.** Natural numbers  $\mapsto$  finite sets, equalities  $\mapsto$  bijections, inequalities  $\mapsto$  injections and surjections:  

$$\binom{2n}{n} = \sum \binom{n}{k}^2 \mapsto \binom{X \times \{1, 2\}}{|X|} \leftrightarrow \bigcup \binom{X}{k} \times \binom{X}{k}$$

**Z.** Negative numbers:  $X^0$  “have”,  $X^1$  “owe”, “canceled debts” or even “equalities” need interpretation

**The Philosophy Corner**  
  
*Garip*

**Weaker Categorification.** Do the same in the category of vector spaces: “3” becomes  $V$  s.t.  $\dim V = 3$ , or better,  $V^\bullet = (\dots V^{r-1} \rightarrow V^r \rightarrow V^{r+1} \dots)$  s.t.  $d^2 = 0$  and  $\chi(V^\bullet) := \sum (-1)^r \dim V^r = 3 = \sum (-1)^r \dim H^r$ . Equalities become homotopies between complexes.

**Categorifying  $\mathbb{Z}[q^{\pm 1}]$ .**  $f = \sum a_j q^j$  becomes  $V = \bigoplus V_j$  s.t.  $q\dim V := \sum q^j \dim V_j = f$ , or better,  $V^\bullet = (\dots V^{r-1} \rightarrow V^r \rightarrow V^{r+1} \dots)$  s.t.  $d^2 = 0$ ,  $\deg d = 0$ , and  $\chi_q(V^\bullet) := \sum (-1)^r q\dim V^r = f = \sum (-1)^r q\dim H^r$ .  
**Note.** Setting  $V\{l\}_j := V_{j-l}$ , we get  $q\dim V\{l\} = q^l q\dim V$ .

**Khovanov:**  $K(L)$  is a chain complex of graded  $\mathbb{Z}$ -modules;  
 $V = \text{span}\{v_+, v_-\}$ ;  $\deg v_\pm = \pm 1$ ;  $q\dim V = q + q^{-1}$ ;  
 $K(\bigcirc^k) = V^{\otimes k}$ ;  $K(\times) = \text{Flatten} \left( 0 \rightarrow K(\bigcirc)\{1\} \rightarrow K(\sim)\{2\} \rightarrow 0 \right)$ ;  
 $K(\times) = \text{Flatten} \left( 0 \rightarrow K(\sim)\{-2\} \rightarrow K(\bigcirc)\{-1\} \rightarrow 0 \right)$ ;

$$\left( \bigcirc \bigcirc \xrightarrow{m} \bigcirc \bigcirc \right) \rightarrow (V \otimes V \xrightarrow{m} V)$$
  

$$\left( \bigcirc \bigcirc \xrightarrow{\Delta} \bigcirc \bigcirc \right) \rightarrow (V \xrightarrow{\Delta} V \otimes V)$$

**Example:**

$$= q + q^3 + q^5 - q^9$$

(here  $(-1)^\xi := (-1)^{\sum_{i < j} \xi_i}$  if  $\xi_j = \star$ )

**Theorem 1.** The graded Euler characteristic of  $K(L)$  is  $J(L)$ .  
**Theorem 2.** The homology  $\text{Kh}(L)$  of  $K(L)$  is a link invariant.  
**Theorem 3.**  $\text{Kh}(L)$  is strictly stronger than  $J(L)$ :  $J(\bar{5}_1) = J(10_{132})$  yet  $\text{Kh}(\bar{5}_1) \neq \text{Kh}(10_{132})$ .  
**References.** Khovanov's arXiv:math.QA/9908171 and arXiv:math.QA/0103190 and my <http://www.math.toronto.edu/drorbn/papers/Categorification/>.

finite link combi of

# Local Khovanov Homology (1)

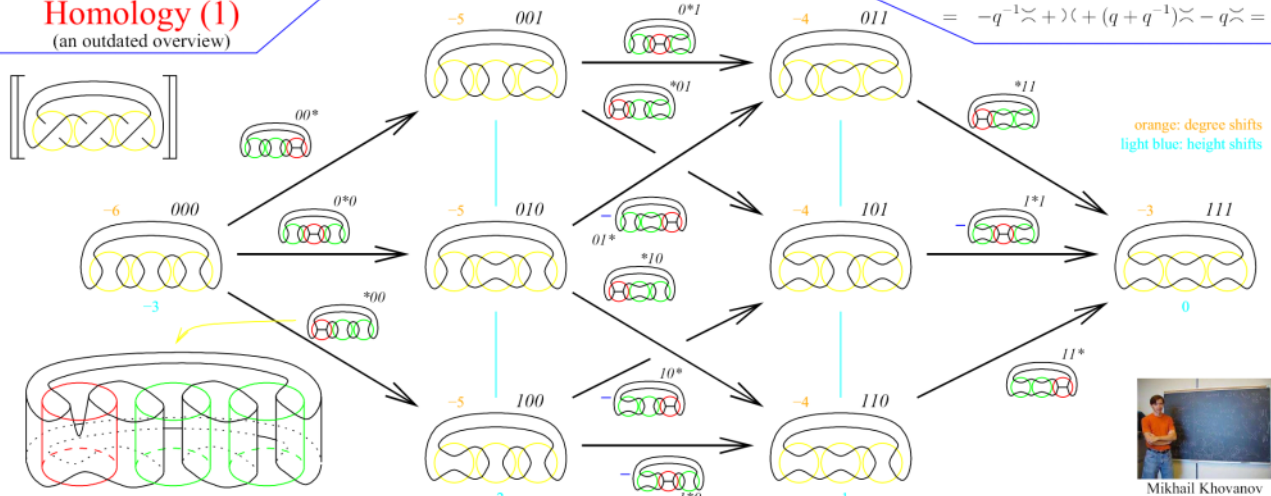
(an outdated overview)

## The Jones polynomial:

$$J : \mathcal{K} \mapsto q^{\text{lk}}(-q^2)^{\text{cr}}, \quad J : \mathcal{K} \mapsto -q^{-2} \text{cr} + q^{-1} \text{cr}, \quad \bigcirc^k \mapsto (q + q^{-1})^k$$

$$J : \left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right) \mapsto -q^{-1} \left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right) + \left( \begin{array}{c} \diagdown \\ \diagup \end{array} \right) + \left( \begin{array}{c} \diagup \\ \diagup \end{array} \right) - q \left( \begin{array}{c} \diagdown \\ \diagdown \end{array} \right) \quad \text{R2}$$

$$= -q^{-1}(\text{cr} + \text{cr}) + (q + q^{-1})\text{cr} - q\text{cr} = \text{cr}$$



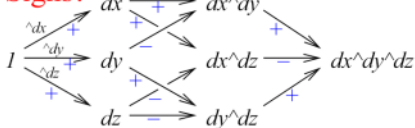
### What is it?

A cube for each knot/link projection;

Vertices: All fillings of  $\left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right)$  with  $\left( \begin{array}{c} \diagup \\ \diagup \end{array} \right)$  or with  $\left( \begin{array}{c} \diagdown \\ \diagdown \end{array} \right)$ .

Edges: All fillings of  $I \times \left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right) = \left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right)$  with  $I \times \left( \begin{array}{c} \diagup \\ \diagup \end{array} \right) = \left( \begin{array}{c} \diagup \\ \diagup \end{array} \right)$  or with  $I \times \left( \begin{array}{c} \diagdown \\ \diagdown \end{array} \right) = \left( \begin{array}{c} \diagdown \\ \diagdown \end{array} \right)$  and precisely one  $\left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right)$ .

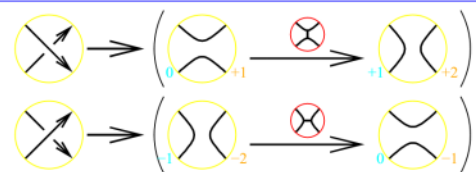
### Signs?



### More crossings?



### General Crossings



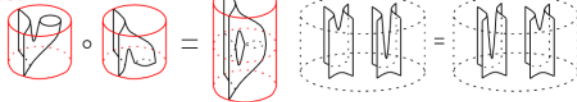
### Where does it live?

In  $\text{Kom}(\text{Mat}(\langle \text{Cob} \rangle / \{S, T, G, NC\})) / \text{homotopy}$

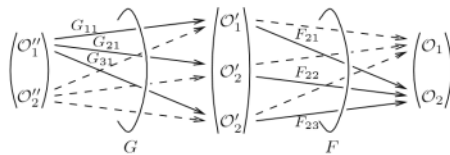
*Kom*: Complexes *Mat*: Matrices

*Cob*: Cobordisms  $\langle \dots \rangle$ : Formal lin. comb.

*Cob*:



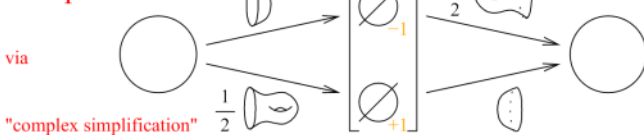
*Mat*(C):



S:  $\left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right) = 0$     T:  $\left( \begin{array}{c} \diagup \\ \diagup \end{array} \right) = 2$     G:  $\left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right) = 0$

NC:  $2 \left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right) = \left( \begin{array}{c} \diagup \\ \diagup \end{array} \right) + \left( \begin{array}{c} \diagdown \\ \diagdown \end{array} \right) + \left( \begin{array}{c} \diagup \\ \diagdown \end{array} \right)$

### Computable!



### Complexes:

$$\Omega = (\Omega^{-n} \longrightarrow \Omega^{-n+1} \longrightarrow \dots \longrightarrow \Omega^{n+1})$$

### Morphisms:

$$\begin{array}{ccccccc} \dots & \longrightarrow & \Omega_0^{r-1} & \xrightarrow{d^{r-1}} & \Omega_0^r & \xrightarrow{d^r} & \Omega_0^{r+1} & \longrightarrow & \dots \\ & & \downarrow F^{r-1} & & \downarrow F^r & & \downarrow F^{r+1} & & \\ \dots & \longrightarrow & \Omega_1^{r-1} & \xrightarrow{d^{r-1}} & \Omega_1^r & \xrightarrow{d^r} & \Omega_1^{r+1} & \longrightarrow & \dots \end{array}$$

### Homotopies:

$$\begin{array}{ccccc} \Omega_0^{r-1} & \xrightarrow{d^{r-1}} & \Omega_0^r & \xrightarrow{d^r} & \Omega_0^{r+1} \\ \downarrow F^{r-1} & \swarrow h^r & \downarrow F^r & \swarrow h^{r+1} & \downarrow F^{r+1} \\ \Omega_1^{r-1} & \xrightarrow{d^{r-1}} & \Omega_1^r & \xrightarrow{d^r} & \Omega_1^{r+1} \\ \downarrow G^{r-1} & \swarrow h^r & \downarrow G^r & \swarrow h^{r+1} & \downarrow G^{r+1} \end{array}$$

$$F^r - G^r = h^{r+1} d^r + d^{r-1} h^r$$

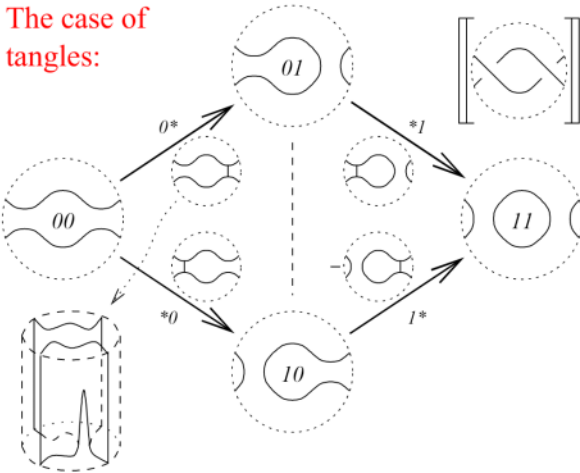
All arrows in an arbitrary additive category!

**The Main Point.** "The cube",  $\text{Kh}(L)$ , is an up-to-homotopy invariant of knots and links. It's Euler characteristic is the Jones polynomial, yet it is strictly stronger than the Jones polynomial. It is functorial (in the appropriate sense) and practically computable.

**The Categorification Speculative Paradigm.** • Every object in math is the Euler characteristic of a complex.  
• Every operation lifts to an operation between complexes.  
• Every identity remains true, up to homotopy.

## Local Khovanov Homology (2)

The case of tangles:



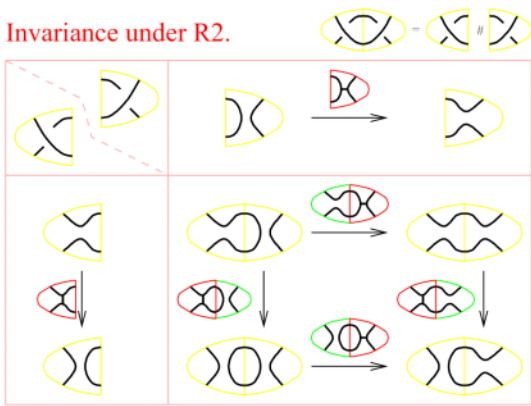
The Reduction Lemma. If  $\phi$  is an isomorphism then the complex

$$[C] \xrightarrow{\begin{pmatrix} \alpha \\ \beta \end{pmatrix}} [b_1 \ D] \xrightarrow{\begin{pmatrix} \phi & \delta \\ \gamma & \epsilon \end{pmatrix}} [b_2 \ E] \xrightarrow{(\mu \ \nu)} [F]$$

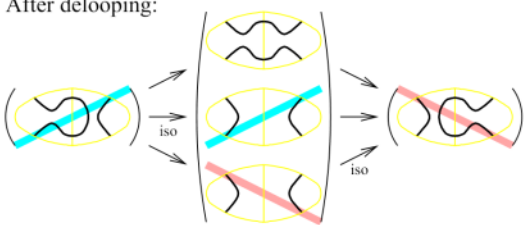
is isomorphic to the (direct sum) complex

$$[C] \xrightarrow{\begin{pmatrix} 0 \\ \beta \end{pmatrix}} [b_1 \ D] \xrightarrow{\begin{pmatrix} \phi & 0 \\ 0 & \epsilon - \gamma\phi^{-1}\delta \end{pmatrix}} [b_2 \ E] \xrightarrow{(0 \ \nu)} [F]$$

Invariance under R2.



After delooping:



J. Rasmussen: Leads to a no-analysis proof of a conjecture by Milnor.

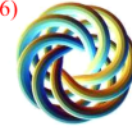


Kurt Reidemeister

I mean business.



In 1 day says  $T(7,6)$   
 $\dim_j H_r$  is given by:

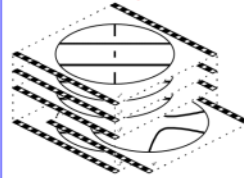


Old techniques:

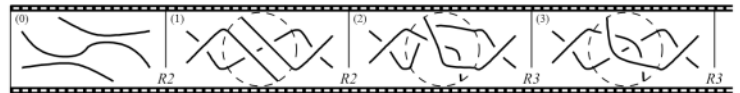
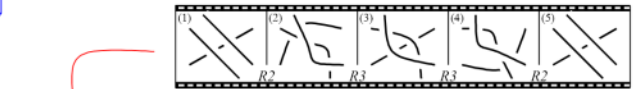
~1,000 years,  
 ~1Ggb RAM.  
 (now down to seconds)

$j \setminus r$	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	
57																				1	
55																				1	1
53																1	2		1	1	
51															1	1	2		1	1	
49															3	1			1		
47															3	1			1		
45															2	1	2				
43															1	2					
41															1	1	2				
39															1	1	1				
37															1	1	1				
35															1	1	1				
33															1	1	1				
31															1	1	1				
29															1	1	1				

Functoriality / cobordisms.



M. Jacobsson



A more general theory: Remove G and NC, add

$$4Tu: \begin{matrix} 1 & 2 \\ \text{crossing} & \text{cup/cap} \\ 3 & 4 \end{matrix} + \begin{matrix} \text{cup/cap} & \text{crossing} \end{matrix} = \begin{matrix} \text{cup/cap} & \text{cup/cap} \end{matrix} + \begin{matrix} \text{crossing} & \text{crossing} \end{matrix}$$

(minor further revisions are necessary)

"God created the knots,  
 all else in topology is the work of mortals"

Leopold Kronecker (modified)



Visit!

Edit!

<http://katlas.org>

*Wk/*

<http://www.math.toronto.edu/~drorbn/papers/Cobordism/>  
<http://www.math.toronto.edu/~drorbn/papers/FastKh/>  
<http://www.math.toronto.edu/~drorbn/Talks/Montreal-1306/>