

Trees and Wheels and Balloons and Hoops

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 ωβ := http://www.math.toronto.edu/~drorbn/Talks/Toronto-1303

15 Minutes on Algebra

Let  $T$  be a finite set of "tail labels" and  $H$  a finite set of "head labels". Set

$$M_{1/2}(T; H) := FL(T)^H,$$

" $H$ -labeled lists of elements of the degree-completed free Lie algebra generated by  $T$ ".

$$FL(T) = \left\{ 2t_2 - \frac{1}{2}[t_1, [t_1, t_2]] + \dots \right\} / \left( \begin{array}{l} \text{anti-symmetry} \\ \text{Jacobi} \\ \dots \text{ with the obvious bracket.} \end{array} \right)$$

$$M_{1/2}(u, v; x, y) = \left\{ \left( x \rightarrow \begin{array}{l} u \\ \swarrow \quad \searrow \\ \quad \quad \quad v \end{array}, y \rightarrow \begin{array}{l} v \\ \swarrow \quad \searrow \\ \quad \quad \quad u \end{array} \right) \dots \right\}$$

Operations

**Tail Multiply**  $tm_w^{uv} : M_{1/2} \rightarrow M_{1/2}$  by  $\lambda \mapsto \lambda \parallel (u, v \rightarrow w)$ , satisfies "meta-associativity",  $tm_w^{uv} \parallel tm_w^{xy} = tm_w^{xy} \parallel tm_w^{uv}$ .

**Head Multiply**  $hm_z^{xy} : M_{1/2} \rightarrow M_{1/2}$  by  $\lambda \mapsto (\lambda \{x, y\}) \cup (z \rightarrow bch(\lambda_x, \lambda_y))$ , where

$$bch(\alpha, \beta) := \log(e^\alpha e^\beta) = \alpha + \beta + \frac{[\alpha, \beta]}{2} + \frac{[\alpha, [\alpha, \beta]] + [[\alpha, \beta], \beta]}{12} + \dots$$

satisfies  $bch(bch(\alpha, \beta), \gamma) = \log(e^{bch(\alpha, \beta)} e^\gamma) = bch(\alpha, bch(\beta, \gamma))$ , and hence meta-associativity,  $hm_z^{xy} \parallel hm_z^{yz} = hm_z^{yz} \parallel hm_z^{xy}$ .

**Tail by Head Action**  $tha^{ux} : M_{1/2} \rightarrow M_{1/2}$  by  $\lambda \mapsto \lambda \parallel RC_u^{\lambda_x}$ .

where  $C_u^{-\gamma} : FL \rightarrow FL$  is the substitution  $u \rightarrow e^{-\gamma} u e^\gamma$ , or more precisely,

$$C_u^{-\gamma} : u \rightarrow e^{-ad \gamma}(u) = u - [\gamma, u] + \frac{1}{2}[\gamma, [\gamma, u]] - \dots,$$

and  $RC_u^\gamma$  is the inverse of that. Note that  $C_u^{bch(\alpha, \beta)} = C_u^\alpha \parallel RC_u^\beta$  and hence "meta  $u^{xy} = (u^x)^y$ ",

$$hm_z^{xy} \parallel tha^{ux} = tha^{ux} \parallel tha^{uy} \parallel hm_z^{xy},$$

and  $tm_w^{uv} \parallel C_w^\gamma \parallel tm_w^{xy} = C_w^\gamma \parallel RC_w^{-\gamma} \parallel C_w^\gamma \parallel tm_w^{uv}$  and hence "meta  $(uv)^x = u^x v^x$ ",  $tm_w^{uv} \parallel tha^{ux} = tha^{ux} \parallel tha^{vx} \parallel tm_w^{uv}$ .

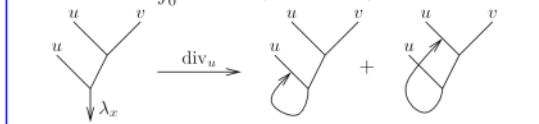
**Wheels.** Let  $M(T; H) := M_{1/2}(T; H) \times CW(T)$ , where  $CW(T)$  is the (completed graded) vector space of cyclic words on  $T$ , or equally well, on  $FL(T)$ :



**Operations.** On  $M(T; H)$ , define  $tm_w^{uv}$  and  $hm_z^{xy}$  as before, and  $tha^{ux}$  by adding some J-spice:

$$(\lambda; \omega) \mapsto (\lambda, \omega + J_u(\lambda_x)) \parallel RC_u^{\lambda_x},$$

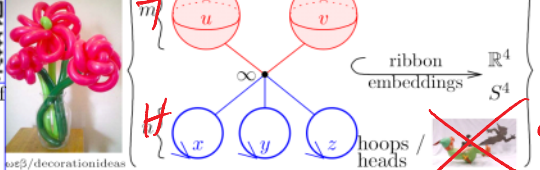
where  $J_u(\lambda_x) := \int_0^1 ds \operatorname{div}_u(\lambda_x \parallel RC_u^{s\lambda_x}) \parallel C_u^{-s\lambda_x}$ , and



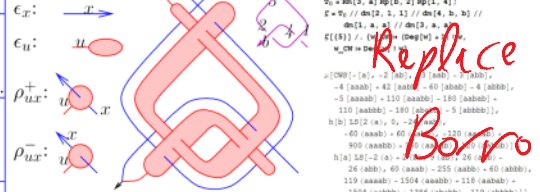
**Theorem Blue.** All blue identities still hold.

**Merge Operation.**  $(\lambda_1; \omega_1) * (\lambda_2; \omega_2) := (\lambda_1 \cup \lambda_2; \omega_1 + \omega_2)$ .

$\mathcal{K}^{bh}(T; H)$ .

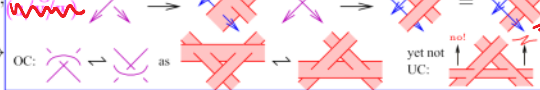


Examples.



I can business.  
 Replace by Borromean

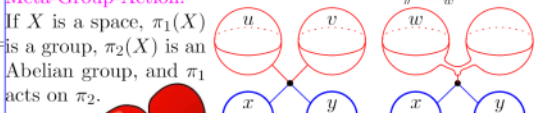
Tangles



•  $\delta$  injects u-Knots into  $\mathcal{K}^{bh}$  (likely u-tangles too).  
 •  $\delta$  maps v/w-tangles map to  $\mathcal{K}^{bh}$ ; the kernel contains Reidemeister moves and the "overcrossings commute" relation, and conjecturally, that's all. Allowing punctures and cuts,  $\delta$  is onto.

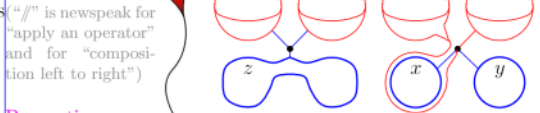
Operations

Punctures & Cuts Connected Sums.



Meta-Group-Action.

If  $X$  is a space,  $\pi_1(X)$  is a group,  $\pi_2(X)$  is an Abelian group, and  $\pi_1$  acts on  $\pi_2$ .



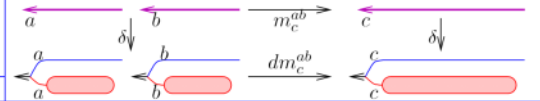
Properties.

- Associativities:  $m_a^{ab} \parallel m_a^{ac} = m_b^{bc} \parallel m_a^{ab}$ , for  $m = tm, hm$ .
- Action axiom  $t$ :  $tm_w^{uv} \parallel tha^{ux} = tha^{ux} \parallel tha^{vx} \parallel tm_w^{uv}$ .
- Action axiom  $h$ :  $hm_z^{xy} \parallel tha^{uz} = tha^{ux} \parallel tha^{uy} \parallel hm_z^{xy}$ .

Meta-associativity.

$$\begin{matrix} m_a^{ab} \parallel m_b^{bc} \\ = m_b^{bc} \parallel m_a^{ab} \end{matrix} \quad \begin{matrix} a \downarrow b \downarrow c \\ \rightarrow \rightarrow \rightarrow \\ \rightarrow \rightarrow \rightarrow \end{matrix}$$

Tangle concatenations  $\rightarrow \pi_1 \times \pi_2$ . With  $dm_c^{ab} := tha^{ab} \parallel tm_c^{ab} \parallel hm_c^{ab}$ ,



Trees and Wheels and Balloons and Hoops and Why I Care

**The  $\beta$  quotient, 2.** Let  $R = \mathbb{Q}[\{c_u\}_{u \in T}]$  and  $L_\beta := R \otimes T$  with central  $R$  and with  $[u, v] = c_u v - c_v u$  for  $u, v \in T$ . Then  $FL \rightarrow L_\beta$  and  $CW \rightarrow R$ . Under this,

$$\mu \rightarrow (\bar{\lambda}; \omega) \quad \text{with } \bar{\lambda} = \sum_{x \in H, u \in T} \lambda_{ux} u x, \quad \lambda_{ux}, \omega \in R,$$

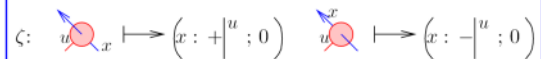
$$bch(u, v) \rightarrow \frac{c_u + c_v}{e^{c_u} + e^{c_v} - 1} \left( \frac{e^{c_u} - 1}{c_u} u + e^{c_v} \frac{e^{c_v} - 1}{c_v} v \right),$$

Handwritten notes in red and green ink: "Replace by Borromean", "add: sat of u's K123, VR123, D", and a large green checkmark.

$\mu \rightarrow (\lambda; \omega)$  with  $\lambda = \sum_{x \in H, u \in T} \lambda_{ux} ux$ ,  $\lambda_{ux}, \omega \in R$ ,  
 $\text{bch}(u, v) \rightarrow \frac{c_u + c_v}{e^{c_u + c_v} - 1} \left( \frac{e^{c_u} - 1}{c_u} u + e^{c_u} \frac{e^{c_v} - 1}{c_v} v \right)$ ,  
 if  $\lambda = \sum \lambda_v v$  then with  $c_\lambda := \sum \lambda_v c_v$ ,  
 $u \parallel RC_u^\lambda = \left( 1 + c_u \lambda_u \frac{e^{c_\lambda} - 1}{c_\lambda} \right)^{-1} \left( e^{c_\lambda} u - c_u \frac{e^{c_\lambda} - 1}{c_\lambda} \sum_{v \neq u} \lambda_v v \right)$ ,  
 $\text{div}_u \lambda = c_u \lambda_u$ , and the ODE for  $J$  integrates to  
 $J_u(\lambda) = \log \left( 1 + \frac{e^{c_\lambda} - 1}{c_\lambda} c_u \lambda_u \right)$ ,  
 so  $\zeta$  is formula-computable to all orders! **Can we simplify?**

**Repackaging.** Given  $((x : \lambda_{ux}); \omega)$ , set  $c_x := \sum_v c_v \lambda_{vx}$ , replace  $\lambda_{ux} \rightarrow \alpha_{ux} := c_u \lambda_{ux} \frac{e^{c_x} - 1}{c_x}$  and  $\omega \rightarrow \log \omega$ , use  $t_u = e^{c_u}$  and write  $\alpha_{ux}$  as a matrix. Get **" $\beta$  calculus"**.

**The Invariant  $\zeta$ .** Set  $\zeta(\rho^\pm) = (\pm u_x; 0)$ . This at least defines an invariant of  $u/v/w$ -tangles, and if the topologists will deliver a "Reidemeister" theorem, it is well defined on  $\mathcal{K}^{bh}$ .



**Theorem.**  $\zeta$  is (the log of) a universal finite type invariant (a homomorphic expansion) of  $w$ -tangles.

**Tensorial Interpretation.** Let  $\mathfrak{g}$  be a finite dimensional Lie algebra (any!). Then there's  $\tau : FL(T) \rightarrow \text{Fun}(\oplus_T \mathfrak{g} \rightarrow \mathfrak{g})$  and  $\tau : CW(T) \rightarrow \text{Fun}(\oplus_T \mathfrak{g})$ . Together,  $\tau : M(T; H) \rightarrow \text{Fun}(\oplus_T \mathfrak{g} \rightarrow \oplus_H \mathfrak{g})$ , and hence

$$e^\tau : M(T; H) \rightarrow \text{Fun}(\oplus_T \mathfrak{g} \rightarrow \mathcal{U}^{\otimes H}(\mathfrak{g})).$$

**$\zeta$  and BF Theory.** (See Cattaneo-Rossi, arXiv:math-ph/0210037) Let  $A$  denote a  $\mathfrak{g}$ -connection on  $S^4$  with curvature  $F_A$ , and  $B$  a  $\mathfrak{g}^*$ -valued 2-form on  $S^4$ . For a hoop  $\gamma_x$ , let  $\text{hol}_{\gamma_x}(A) \in \mathcal{U}(\mathfrak{g})$  be the holonomy of  $A$  along  $\gamma_x$ . For a ball  $\gamma_u$ , let  $\mathcal{O}_{\gamma_u}(B) \in \mathfrak{g}^*$  be the integral of  $B$  (transported via  $A$  to  $\infty$ ) on  $\gamma_u$ .



**Loose Conjecture.** For  $\gamma \in \mathcal{K}(T; H)$ ,  
 $\int \mathcal{D}A \mathcal{D}B e^{\int B \wedge F_A} \prod_u e^{\mathcal{O}_{\gamma_u}(B)} \otimes_x \text{hol}_{\gamma_x}(A) = e^\tau(\zeta(\gamma))$ .

That is,  $\zeta$  is a complete evaluation of the BF TQFT.

**Issues.** How exactly is  $B$  transported via  $A$  to  $\infty$ ? How does the ribbon condition arise? Or if it doesn't, could it be that  $\zeta$  can be generalized??

**The  $\beta$  quotient, 1.** • Arises when  $\mathfrak{g}$  is the 2D non-Abelian Lie algebra.

• Arises when reducing by relations satisfied by the weight system of the Alexander polynomial.



"God created the knots, all else in topology is the work of mortals."  
 Leopold Kronecker (modified)



[www.katlas.org](http://www.katlas.org) The Knot Atlas

**Paper in progress:**  $\omega\epsilon\beta/\text{kbh}$     **Class next year:**  $\omega\epsilon\beta/1350$

**$\beta$  Calculus.** Let  $\beta(H, T)$  be  $\left\{ \begin{array}{l} \omega \mid x \ y \ \dots \\ u \mid \alpha_{ux} \ \alpha_{uy} \ \dots \\ v \mid \alpha_{vx} \ \alpha_{vy} \ \dots \\ \vdots \end{array} \right\}$  and the  $\alpha_{ux}$ 's are rational functions in variables  $t_u$ , one for each  $u \in T$ .



$$\begin{array}{l}
 tm_{uv}^{uv} : \begin{array}{c} \omega \mid \dots \\ u \mid \alpha \\ v \mid \beta \\ \vdots \mid \gamma \end{array} \mapsto \begin{array}{c} \omega \mid \dots \\ w \mid \alpha + \beta \\ \vdots \mid \gamma \end{array}, \quad \begin{array}{c} \omega_1 \mid H_1 \\ T_1 \mid \alpha_1 \end{array} \cup \begin{array}{c} \omega_2 \mid H_2 \\ T_2 \mid \alpha_2 \end{array} \\
 = \begin{array}{c} \omega_1 \omega_2 \mid H_1 \ H_2 \\ T_1 \mid \alpha_1 \ 0 \\ T_2 \mid 0 \ \alpha_2 \end{array}
 \end{array}$$

$$hm_z^{xy} : \begin{array}{c} \omega \mid x \ y \ \dots \\ \vdots \mid \alpha \ \beta \ \gamma \end{array} \mapsto \begin{array}{c} \omega \mid z \ \dots \\ \vdots \mid \alpha + \beta + \langle \alpha \rangle \beta \ \gamma \end{array},$$

$$\begin{array}{c}
 \omega \mid x \ \dots \\ u \mid \alpha \ \beta \\ \vdots \mid \gamma \ \delta \\ \vdots \mid \gamma \ \delta \\ \vdots \mid \gamma \ \delta
 \end{array} \mapsto \begin{array}{c} \omega \epsilon \mid x \ \dots \\ u \mid \alpha(1 + \langle \gamma \rangle / \epsilon) \ \beta(1 + \langle \gamma \rangle / \epsilon) \\ \vdots \mid \gamma / \epsilon \ \delta - \gamma \beta / \epsilon \end{array},$$

where  $\epsilon := 1 + \alpha$ ,  $\langle \alpha \rangle := \sum_v \alpha_v$ , and  $\langle \gamma \rangle := \sum_{v \neq u} \gamma_v$ , and let

$$R_{ux}^+ := \frac{1}{u} \mid \frac{x}{t_u - 1} \quad R_{ux}^- := \frac{1}{u} \mid \frac{x}{t_u^{-1} - 1}.$$

On long knots,  $\omega$  is the Alexander polynomial!

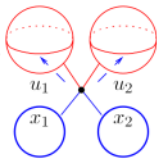
**Why bother? (1)** An ultimate Alexander invariant: Manifestly polynomial (time and size) extension of the (multivariable) Alexander polynomial to tangles. Every step of the computation is the computation of the invariant of some topological thing (no fishy Gaussian elimination!). *If there should be an Alexander invariant to have an algebraic categorification, it is this one.* See also  $\omega\epsilon\beta/\text{regina}$ ,  $\omega\epsilon\beta/\text{gwu}$ .



**Why bother? (2)** Related to A-T, K-V, and E-K, should have vast generalization beyond  $w$ -knots and the Alexander polynomial. See also  $\omega\epsilon\beta/\text{wko}$ ,  $\omega\epsilon\beta/\text{caen}$ ,  $\omega\epsilon\beta/\text{swiss}$ .

## Trees and Wheels and Balloons and Hoops - Extras / Recycling

**Invariant #0.** With  $\Pi_1$  denoting “honest  $\pi_1$ ”, map  $\gamma \in \mathcal{K}^{bh}(m, n)$  to the triple  $(\Pi_1(\gamma^c), (u_i), (x_j))$ , where the meridian of the balls  $u_i$  normally generate  $\Pi_1$ , and the “longitudes”  $x_j$  are some elements of  $\Pi_1$ .  
 $*$  acts like  $*$ ,  $tm$  acts by “merging” two meridians/generators,  $hm$  acts by multiplying two longitudes, and  $tha^{ux}$  acts by “conjugating a meridian by a longitude”:



Not computable!  
(but nearly)

$(\Pi_1(u, \dots), (x, \dots)) \mapsto (\Pi_1 * \langle \bar{u} \rangle / (u = x \bar{u} x^{-1}), (\bar{u}, \dots), (x, \dots))$

$$= \left\{ \left( x : \begin{array}{c} u \quad v \\ \diagdown \quad \diagup \\ \quad \quad \quad \end{array} , y : \begin{array}{c} v \\ | \\ -\frac{22}{7} \\ | \\ \begin{array}{c} u \quad v \\ \diagdown \quad \diagup \\ \quad \quad \quad \end{array} ; \begin{array}{c} u \quad v \\ \diagdown \quad \diagup \\ \quad \quad \quad \end{array} \right) \dots \right\}$$

**Failure #0.** Can we write the  $x$ 's as free words in the  $u$ 's?

If  $x = uv$ , compute  $x \parallel tha^{ux}$ :

$$x = uv \rightarrow \bar{u}v = u^x v = u^{\bar{u}v} v = u^{u^x v} v = u^{u^{u^x v} v} v = \dots$$

**Why ODEs? Q.** Find  $f$  s.t.  $f(x+y) = f(x)f(y)$ .

**A.**  $\frac{df(s)}{ds} = \frac{d}{d\epsilon} f(s+\epsilon) = \frac{d}{d\epsilon} f(s)f(\epsilon) = f(s)C$ .



Now solve this ODE using Picard's theorem or power series.

**Scheme.** • Balloons and hoops in  $\mathbb{R}^4$ , algebraic structure and relations with 3D.

- An ansatz for a “homomorphic” invariant: computable, related to finite-type and to BF.
- Reduction to an “ultimate Alexander invariant”.

**An  $RC_u^\lambda$  example.**

