

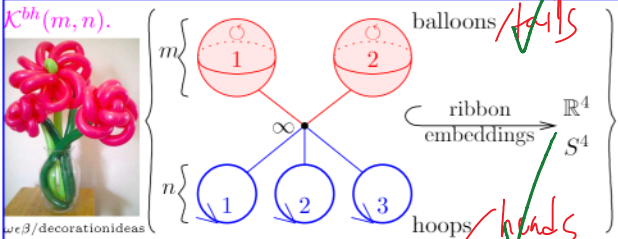
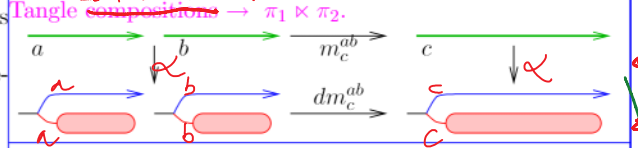
**Balloons and Hoops and their Universal Finite-Type Invariant**  
**BF Theory, and an Ultimate Alexander Invariant**

Dror Bar-Natan in Hamburg, August 2012  
 Toronto, Ontario, Canada  
<http://www.math.toronto.edu/~drorbn/Talks/Hamburg-1208>

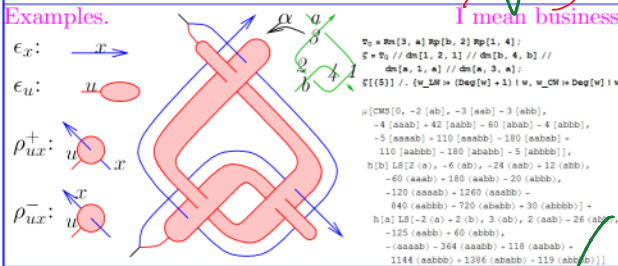


**Scheme.** • Balloons and hoops in  $\mathbb{R}^4$ , operations and relations with 3D.

- An ansatz for an invariant: computable, related to finite-type and to BF.
- Reduction to an “ultimate Alexander invariant”.

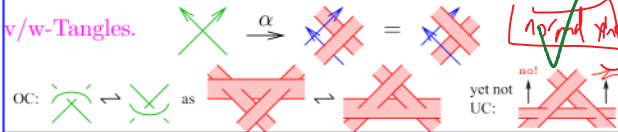


**Invariant #0.** With  $\Pi_1$  denoting “onest  $\pi_1$ ”, map  $\gamma \in \mathcal{K}^{bh}(m, n)$  to the triple  $(\Pi_1(\gamma^c), (u_i), (x_j))$ , where the meridian of the balls  $u_i$  normally generate  $\Pi_1$ , and the “longitudes”  $x_j$  are some elements of  $\Pi_1$ . \* acts like \*,  $tm$  acts by “merging” two meridians/generators,  $hm$  acts by multiplying two longitudes, and  $hta^{xu}$  acts by “conjugating a meridian by a longitude”:



$(\Pi, (u, \dots), (x, \dots)) \mapsto (\Pi * (\bar{u}) / (u = x\bar{u}x^{-1}), (\bar{u}, \dots), (x, \dots))$

Can we write the  $x$ 's as free words in the  $u$ 's?  
 If  $x = uv$ , compute  $x \parallel hta^{xu}$ :

$$x = uv \rightarrow \bar{u}v = u^xv = u^{\bar{u}v}v = u^{u^xv}v = u^{u^{xv}v}v = \dots$$


**The Meta-Group-Action  $M$ .** Let  $T$  be a set of “tail labels” (“balloon colours”),  $H$  a set of “head labels” (“hoop colours”). Let  $FL = FL(T)$  and  $FA = FA(T)$  be the (completed graded) free Lie and free associative algebras on generators  $T$  and let  $CW = CW(T)$  be the (completed graded) vector space of cyclic words on  $T$ , so there's  $\text{tr} : FA \rightarrow CW$ . Let

- u-Knots inject into  $\mathcal{K}^{bh}$  (likely u-tangles too).
- In fact, allowing punctures and cuts, v/w-tangles map onto  $\mathcal{K}^{bh}(m, n)$ ; the kernel contains Reidemeister moves and the “overcrossings commute” relation, and conjecturally, that's all.

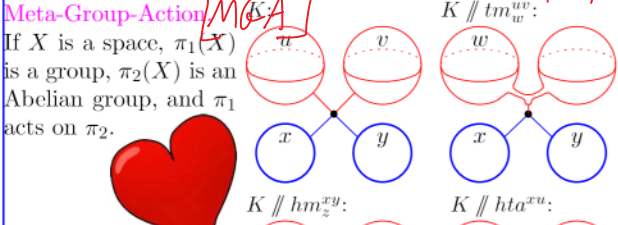
$$M(T, H) := \{(\bar{\lambda} = (\lambda_x)_{x \in H}, \omega) : \lambda_x \in FL, \omega \in CW\}$$

$$= \left\{ \left( \begin{array}{c} u & v \\ x & y \end{array} \right), \left( \begin{array}{c} u & v \\ y & -\frac{22}{7} \end{array} \right), \left( \begin{array}{c} u & v \\ v & v \end{array} \right), \dots \right\}$$

**Connected Sums.**

*makes sense!*

**Operations.** Set  $(\bar{\lambda}_1, \omega_1) * (\bar{\lambda}_2, \omega_2) := (\bar{\lambda}_1, \bar{\lambda}_2, \omega_1 + \omega_2)$  and with  $\mu = (\bar{\lambda}, \omega)$  define



$$tm_w^{uv} : \mu \mapsto \mu \parallel (u, v \mapsto w),$$

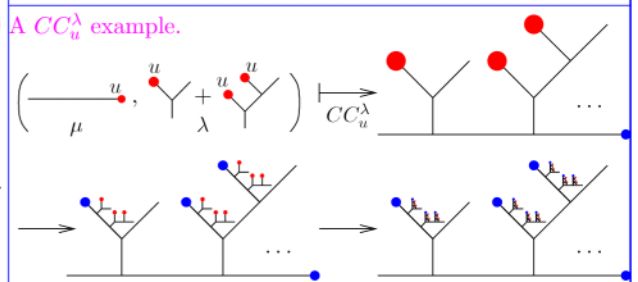
$$hm_z^{xy} : \mu \mapsto \left( (\dots, \bar{\lambda}_x, \bar{\lambda}_y, \dots, \text{bch}(\lambda_x, \lambda_y)_z), \omega \right)$$

“stable apply”

$$hta^{xu} : \mu \mapsto \underbrace{\mu \parallel (u \mapsto e^{\text{ad } \lambda_x}(\bar{u}))}_{\mu \parallel CC_u^{\lambda_x}} \parallel (\bar{u} \mapsto u) + (0, J_u(\lambda_x))$$

the “J-spice”

(“ $\parallel$ ” is newspeak for “apply an operator” and for “composition left to right”)



- Properties.**
- Associativities:  $m_c^a \parallel m_c^b = m_c^{ab} \parallel m_c^c$ , for  $m = tm, hm$ .
  - Action axiom  $t$ :  $tm_w^{uv} \parallel hta^{xu} = hta^{xu} \parallel hta^{xv} \parallel tm_w^{uv}$ .
  - Action axiom  $h$ :  $hm_z^{xy} \parallel hta^{zu} = hta^{xu} \parallel hta^{yu} \parallel hm_z^{xy}$ .
  - SD Product:  $dm_c^{ab} := hta^{ba} \parallel tm_c^{ab} \parallel hm_c^{ab}$  is associative.

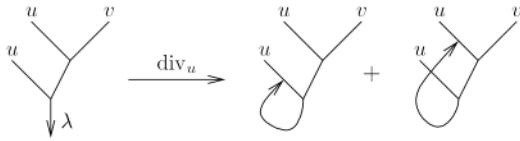
## Balloons and Hoops and their Universal Finite-Type Invariant, 2

The Meta-Cocycle  $J$ . Set  $J_u(\lambda) := J(1)$  where

$$J(0) = 0, \quad \lambda_s = \lambda // CC_u^{s\lambda},$$

$$\frac{dJ(s)}{ds} = (J(s) // \text{der}(u \mapsto [\lambda_s, u])) + \text{div}_u \lambda_s,$$

and where  $\text{div}_u \lambda := \text{tr}(u\sigma_u(\lambda))$ ,  $\sigma_u(v) := \delta_{uv}$ ,  $\sigma_u([\lambda_1, \lambda_2]) := \iota(\lambda_1)\sigma_u(\lambda_2) - \iota(\lambda_2)\sigma_u(\lambda_1)$  and  $\iota$  is the inclusion  $FL \hookrightarrow FA$ :



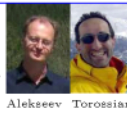
**Claim.**  $CC_u^{\text{bch}(\lambda_1, \lambda_2)} = CC_u^{\lambda_1} // CC_u^{\lambda_2} // CC_u^{\lambda_1}$  and

$$J_u(\text{bch}(\lambda_1, \lambda_2)) = J_u(\lambda_1) // CC_u^{\lambda_2} // CC_u^{\lambda_1} + J_u(\lambda_2 // CC_u^{\lambda_1}),$$

and hence  $tm$ ,  $hm$ , and  $hta$  form a meta-group-action.

**Why ODEs?** Q. Find  $f$  s.t.  $f(x+y) = f(x)f(y)$ .

A.  $\frac{df(s)}{ds} = \frac{d}{ds} f(s + \epsilon) = \frac{d}{d\epsilon} f(s) f(\epsilon) = f(s)C$ . Now solve this ODE using Picard's theorem or power series.



Alekseev Torossian

The Invariant  $\zeta$ . Set  $\zeta(\rho^\pm) = (\pm u_x, 0)$ . This at least defines an invariant of  $u/v$ -tangles, and if the topologists will deliver a "Reidemeister" theorem, it is well defined on  $\mathcal{K}^{bh}$ .

$$\zeta: \begin{array}{c} \text{u} \\ \diagdown \\ \text{x} \end{array} \mapsto \left( + \begin{array}{c} \text{u} \\ | \\ \text{x} \end{array}, 0 \right) \quad \begin{array}{c} \text{x} \\ \diagdown \\ \text{u} \end{array} \mapsto \left( - \begin{array}{c} \text{u} \\ | \\ \text{x} \end{array}, 0 \right)$$

**Theorem.**  $\zeta$  is (the log of) a universal finite type invariant (a homomorphic expansion) of  $w$ -tangles.

**Tensorial Interpretation.** Let  $\mathfrak{g}$  be a finite dimensional Lie algebra (any!). Then there's  $\tau : FL(T) \rightarrow \text{Fun}(\oplus_T \mathfrak{g} \rightarrow \mathfrak{g})$  and  $\tau : CW(T) \rightarrow \text{Fun}(\oplus_T \mathfrak{g})$ . Together,  $\tau : M(T, H) \rightarrow \text{Fun}(\oplus_T \mathfrak{g} \rightarrow \oplus_H \mathfrak{g})$ , and hence

$$e^\tau : M(T, H) \rightarrow \text{Fun}(\oplus_T \mathfrak{g} \rightarrow \mathcal{U}^{\otimes H}(\mathfrak{g})).$$

**$\zeta$  and BF Theory.** Let  $A$  denote a  $\mathfrak{g}$ -connection on  $S^4$  with curvature  $F_A$ , and  $B$  a  $\mathfrak{g}^*$ -valued 2-form on  $S^4$ . For a hoop  $\gamma_x$ , let  $\text{hol}_{\gamma_x}(A) \in \mathcal{U}(\mathfrak{g})$  be the holonomy of  $A$  along  $\gamma_x$ . For a ball  $\gamma_u$ , let  $\mathcal{O}_{\gamma_u}(B) \in \mathfrak{g}^*$  be the integral of  $B$  (transported via  $A$  to  $\infty$ ) on  $\gamma_u$ .



Cattaneo

**Loose Conjecture.** For  $\gamma \in \mathcal{K}(T, H)$ ,

$$\int \mathcal{D}A \mathcal{D}B e^{\int B \wedge F_A} \prod_u e^{\mathcal{O}_{\gamma_u}(B)} \bigotimes_x \text{hol}_{\gamma_x}(A) = e^\tau(\zeta(\gamma)).$$

That is,  $\zeta$  is a complete evaluation of the BF TQFT.

**Issues.** How exactly is  $B$  transported via  $A$  to  $\infty$ ? How does the ribbon condition arise? Or if it doesn't, could it be that  $\zeta$  can be generalized??

The  $\beta$  quotient, 1. • Arises when  $\mathfrak{g}$  is the 2D non-Abelian Lie algebra.

• Arises when reducing by relations satisfied by the weight system of the Alexander polynomial.



"God created the knots, all else in topology is the work of mortals."  
Leopold Kronecker (modified)



www.katlas.org The Knot Atlas

The  $\beta$  quotient, 2. Let  $R = \mathbb{Q}[\{c_u\}_{u \in T}]$  and  $L_\beta := R \otimes T$  with central  $R$  and with  $[u, v] = c_u v - c_v u$  for  $u, v \in T$ . Then  $FL \rightarrow L_\beta$  and  $CW \rightarrow R$ . Under this,

$$\mu \rightarrow (\bar{\lambda}, \omega) \quad \text{with } \bar{\lambda} = \sum_{x \in H, u \in T} \lambda_{ux} u x, \quad \lambda_{ux}, \omega \in R,$$

$$\text{bch}(u, v) \rightarrow \frac{c_u + c_v}{e^{c_u + c_v} - 1} \left( \frac{e^{c_u} - 1}{c_u} u + e^{c_u} \frac{e^{c_v} - 1}{c_v} v \right),$$

if  $\lambda = \sum \lambda_v v$  then with  $c_\lambda := \sum \lambda_v c_v$ ,

$$u // CC_u^\lambda = \left( 1 + c_u \lambda_u \frac{e^{c_\lambda} - 1}{c_\lambda} \right)^{-1} \left( e^{c_\lambda} u - c_u \frac{e^{c_\lambda} - 1}{c_\lambda} \sum_{v \neq u} \lambda_v v \right),$$

$\text{div}_u \lambda = c_u \lambda_u$ , and the ODE for  $J$  integrates to

$$J_u(\lambda) = \log \left( 1 + \frac{e^{c_\lambda} - 1}{c_\lambda} c_u \lambda_u \right),$$

so  $\zeta$  is formula-computable to all orders! Can we simplify?

**Repackaging.** Given  $((\lambda_{ux}), \omega)$ , set  $c_x := \sum_v c_v \lambda_{vx}$ , replace  $\lambda_{ux} \rightarrow \alpha_{ux} := c_u \lambda_{ux} \frac{e^{c_x} - 1}{c_x}$  and  $\omega \rightarrow \log \omega$ , use  $t_u = e^{c_u}$ , and write  $\alpha_{ux}$  as a matrix. Get " $\beta$  calculus".

**$\beta$  Calculus.** Let  $\beta(H, T)$  be

$$\left\{ \begin{array}{c|ccc|c} \omega & x & y & \cdots & \omega \text{ and the } \alpha_{ux} \text{'s are} \\ u & \alpha_{ux} & \alpha_{uy} & \cdot & \text{rational functions in} \\ v & \alpha_{vx} & \alpha_{vy} & \cdot & \text{variables } t_u, \text{ one for} \\ \vdots & \cdot & \cdot & \cdot & \text{each } u \in T. \end{array} \right\},$$



In preparation, Selmani & B-N.

$$tm_w^{uv} : \begin{array}{c|ccc} \omega & \cdots & \omega & \cdots \\ u & \alpha & \omega & \cdots \\ v & \beta & \alpha + \beta & \\ \vdots & \gamma & \gamma & \end{array} \mapsto \begin{array}{c|ccc} \omega & \cdots & \omega & \cdots \\ T_1 & \alpha_1 & T_2 & \alpha_2 \\ & \omega_1 \omega_2 & H_1 & H_2 \\ & T_1 & \alpha_1 & 0 \\ & T_2 & 0 & \alpha_2 \end{array},$$

$$hm_z^{xy} : \begin{array}{c|ccc} \omega & x & y & \cdots \\ \vdots & \alpha & \beta & \gamma \end{array} \mapsto \begin{array}{c|ccc} \omega & & z & \cdots \\ \vdots & \alpha + \beta + \langle \alpha \rangle \beta & \gamma & \end{array},$$

$$hta^{xu} : \begin{array}{c|ccc} \omega & x & \cdots & \omega \epsilon \\ u & \alpha & \beta & \mapsto u \alpha(1 + \langle \gamma \rangle / \epsilon) \quad \beta(1 + \langle \gamma \rangle / \epsilon) \\ \vdots & \gamma & \delta & \vdots \quad \gamma / \epsilon \quad \delta - \gamma \beta / \epsilon \end{array},$$

where  $\epsilon := 1 + \alpha$ ,  $\langle \alpha \rangle := \sum_v \alpha_v$ , and  $\langle \gamma \rangle := \sum_{v \neq u} \gamma_v$ , and let

$$R_{ux}^+ := \frac{1}{u} \left| \begin{array}{c} x \\ t_u - 1 \end{array} \right. \quad R_{ux}^- := \frac{1}{u} \left| \begin{array}{c} x \\ t_u^{-1} - 1 \end{array} \right.$$

On long knots,  $\omega$  is the Alexander polynomial!

**Why bother? (1)** An ultimate Alexander invariant: Manifestly polynomial (time and size) extension of the (multi-variable) Alexander polynomial to tangles. Every step of the computation is the computation of the invariant of some topological thing (no fishy Gaussian elimination!). If there should be an Alexander invariant to have an algebraic categorification, it is this one!

See also  $\omega \epsilon \beta / \text{regina}$  and  $\omega \epsilon \beta / \text{gwu}$ .

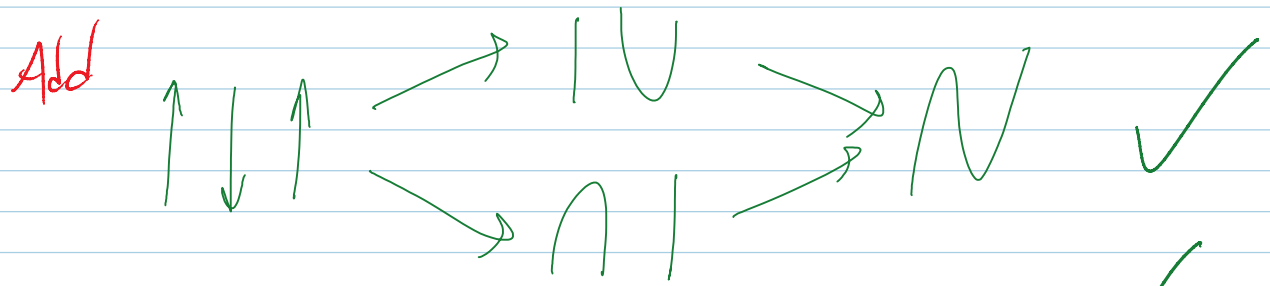
**Why bother? (2)** Related to A-T, K-V, and E-K, should have vast generalization beyond  $w$ -knots and the Alexander polynomial.

See also  $\omega \epsilon \beta / \text{wko}$ ,  $\omega \epsilon \beta / \text{caen}$ , and  $\omega \epsilon \beta / \text{swiss}$ .

Change all  $\zeta$ 's to  $\delta$ 's!

and

→ |||



Fix the orientations at the ends of  $\downarrow$ . ✓

Redraw the  $\pi_1 \times \pi_2$  box. ~