

Balloons and Hoops and their Universal Finite-Type Invariant, BF Theory, and an Ultimate Alexander Invariant

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Abstract. Balloons are two-dimensional spheres. Hoops are one-dimensional loops. Knotted Balloons and Hoops (KBH) in 4-space behave much like the first and second fundamental groups of a topological space - hoops can be composed like in π_1 , balloons like in π_2 , and hoops "act" on balloons as π_1 acts on π_2 . We will observe that ordinary knots and tangles in 3-space map into KBH in 4-space and become amalgams of both balloons and hoops. We give an ansatz for a tree and wheel (that is, free-Lie and cyclic word) -valued invariant Z of KBHs in terms of the said compositions and action and we explain its relationship with finite type invariants. We speculate that Z is a complete evaluation of the BF topological quantum field theory in 4D, though we are not sure what that means. We show that a certain "reduction and repackaging" of Z is an "ultimate Alexander invariant" that contains the Alexander polynomial (multivariable, if you wish), has extremely good composition properties, is evaluated in a topologically meaningful way, and is least-wasteful in a computational sense. If you believe in categorification, here's a wonderful playground.

The Meta-Group-Action M . Let T be a set of "tail labels" ("balloon colours"), H a set of "head labels" ("hoop colours"), and let $\{h_x\}_{x \in H}$ be a set of formal symbols. Let $FL = FL(T)$ and $FA = FA(T)$ be the (graded) free Lie and free associative algebras on generators T and let $CW = CW(T)$ be the (graded) vector space of cyclic words on T , so there's $\text{tr} : FA \rightarrow CW$, and both FA and CW are FL -modules. Let

$$M(T, H) := \{ \mu = (\omega, \lambda = (\lambda_x)_{x \in H}) : \omega \in CW, \lambda_x \in FL \}$$

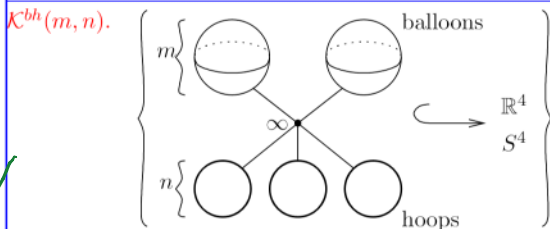
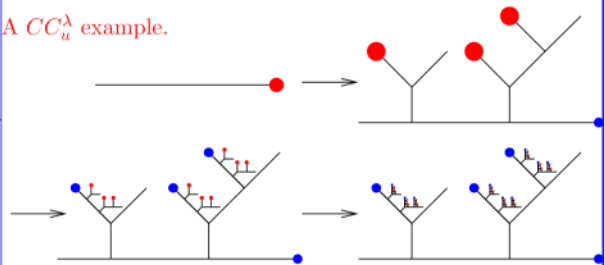
(e.g., $\mu = \dots$).
With $\mu = (\omega, \lambda)$ define

$$tm_w^{uv} : \mu \mapsto \mu // (u, v \mapsto w),$$

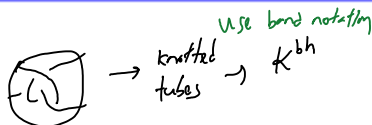
$$hm_z^{xy} : \mu \mapsto \left(\omega, \left(\dots, \widehat{\lambda}_x, \widehat{\lambda}_y, \dots, \text{bch}(\lambda_x, \lambda_y)_z \right) \right)$$

$$hta^{xu} : \mu \mapsto \underbrace{\mu // \left(u \mapsto e^{\text{ad } \lambda_x}(\bar{u}) \right)}_{\mu // CC_u^{\lambda_x}} \underbrace{\left(\bar{u} \mapsto u \right)}_{\text{"stable apply"}} + \underbrace{\left(J_u(\lambda_x), 0 \right)}_{\text{the "J-spice"}}$$

A CC_u^λ example.



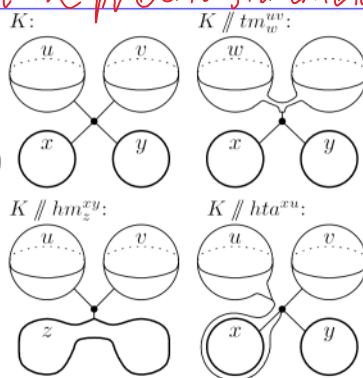
Example.



- u-Tangles inject into \mathcal{K}^{bh} .
- In fact, n -component v/w-tangles map into $\mathcal{K}^{bh}(n, n)$ and we have a conjectural understanding of \mathcal{K}^{bh} in these terms. *it would be nice to have a precise statement.*

Meta-Group-Action.

If X is a space, $\pi_1(X)$ is a group, $\pi_2(X)$ is an Abelian group, and π_1 acts on π_2 .



("//" is newspeak for "apply an operator" and for "composition left to right")

Properties.

- Associativities: $m_x^{xy} // m_z^{xz} = m_y^{yz} // m_x^{xy}$, for $m = tm, hm$.
- Action axiom t : $tm_w^{uv} // hta^{xw} = hta^{xu} // hta^{xv} // tm_w^{uv}$,
- Action axiom h : $hm_z^{xy} // hta^{zu} = hta^{xu} // hta^{yu} // hm_z^{xy}$.
- SD Product: $dm_c^{ab} := hta^{ba} // tm_c^{ab} // hm_c^{ab}$ is associative.

The Meta-Cocycle J . Set $J_u(\lambda) := J(1)$ where

$$J(0) = 0, \quad \lambda_s = \lambda // CC_u^{s\lambda}$$

$$\frac{dJ(s)}{ds} = (J(s) // \text{der}(u \mapsto [\lambda_s, u])) + \text{div}_u \lambda_s.$$

Claim.

$$CC_u^{\text{bch}(\lambda_1, \lambda_2)} = CC_u^{\lambda_1} // CC_u^{\lambda_2} // CC_u^{\lambda_1}$$

$$J_u(\text{bch}(\lambda_1, \lambda_2)) = J_u(\lambda_1) // CC_u^{\lambda_2} // CC_u^{\lambda_1} + J_u(\lambda_2 // CC_u^{\lambda_1}),$$

and hence tm , hm , and hta form a meta-group-action.

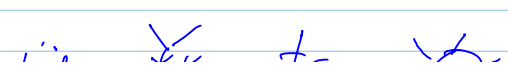
The invariant \mathcal{J}

Example: $\mathcal{J}(\text{diagram})$ ✓

Completed
Completed

✓
✓

All:

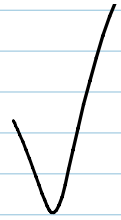


(The log of)
claim: \mathcal{J} is a "universal" /

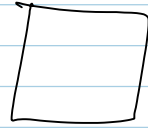
1.006

$$L_{\text{lib}} = \mathbb{F}_K \quad t_{r_1} = \text{Sun}$$

claim: \mathcal{J} is a
"universal
finite type
invariant"



\mathcal{J} and BF



Caftaneo

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need an aside on how FL/CW parametrize formulas in f.d. Lie algebras.

Also, say "the Alexander relation"

The β quotient. Let $R = \mathbb{Q}[\{c_u\}_{u \in T}]$ and $L_\beta := R \otimes T$ with central R and with $[u, v] = c_u v - c_v u$ for $u, v \in T$. Then $FL \rightarrow L_\beta$ and $CW \rightarrow R$. Under this,

$$\mu \rightarrow (\omega, \bar{\lambda}) \quad \text{with } \omega \in R, \quad \bar{\lambda} = \sum_{x \in H, u \in T} \lambda_{ux} ux,$$

$$\text{bch}(u, v) \rightarrow \frac{c_u + c_v}{e^{c_u + c_v} - 1} \left(\frac{e^{c_u} - 1}{c_u} u + e^{c_u} \frac{e^{c_v} - 1}{c_v} v \right),$$

if $\lambda = \sum \lambda_v v$ then with $c_\lambda := \sum \lambda_v c_v$,

$$u // CC_u^\lambda = \left(1 + c_u \lambda_u \frac{e^{c_\lambda} - 1}{c_\lambda} \right)^{-1} \left(e^{c_\lambda} u - c_u \frac{e^{c_\lambda} - 1}{c_\lambda} \sum_{v \neq u} \lambda_v v \right),$$

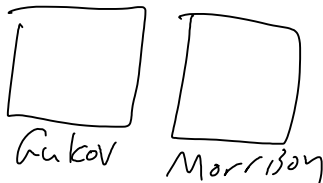
$\text{div}_u \lambda = c_u \lambda_u$, and the ODE for J integrates to

$$J_u(\lambda) = \log \left(1 + \frac{e^{c_\lambda} - 1}{c_\lambda} c_u \lambda_u \right),$$

so ζ is formula-computable exactly to all orders!

✓ §A, repackaged

✓ Polynomiality, efficiency, categorification



Further directions.

V-knots?

Janus?

AT & EV?

E-k?

must put pictures of AT somewhere.

Somewhere:

Proof. 1. I can prove.

2. Who needs a proof when you can compute? ~~X~~



"God created the knots, all else in topology is the work of mortals."
Leopold Kronecker (modified)



www.katlas.org

The Knot Atlas